



**IMPORTANT:** Read Title 14, Section 650, California Code of Regulations (CCR), as well as all instructions (last section of this form), permit descriptions, and all Standard Conditions for Scientific Collecting Permits (SCP), available at <https://www.wildlife.ca.gov/Licensing/Scientific-Collecting>. All Sections of the application are required, unless otherwise indicated.

**SECTION 1. PERMIT REQUEST**

**Type of Application:** (select one)

<input type="checkbox"/> New	<input type="checkbox"/> Renewal With Changes	<input type="checkbox"/> Renewal Without Changes	Permit ID to Renew
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**Reporting Requirements** Principal Investigators and Student Permitholders shall report wildlife taken and/or possessed either within 30 days following the expiration of the permit, upon submitting a renewal application, whichever comes first, or as required by the Authorizations or conditions of the permit (refer to Title 14, subsection 650(p), CCR for reporting requirements). Failure to submit required reports shall result in revocation of an existing permit, and may result in denial of subsequent applications by that Permitholder, or denial of future approval as a Permitholder or Authorized Individual on other permits, pursuant to Title 14, subsections 650(p) and 650(r), CCR.

**Application and Permit Fees:** (applies to both New and Renewals)

Fees exclude a nonrefundable three percent (3%) application fee, not to exceed \$7.50 per item (Section 700.4, Title 14, CCR).	<b>Individual or Entity (3 year)</b>	<b>Student (1 year)</b>
<b>Application Fee</b> (nonrefundable and submitted with application)	\$44.76	\$25.00
<b>Permit Fee</b> (submit at time of application – refundable if denied, or submit or when notified of approved permit)	\$185.34	\$50.00

**SECTION 2. PERMITHOLDER INFORMATION**

**Permitholder Type:** Pursuant to Title 14, subsection 650(f), select the type of Permitholder you are applying as:

<input type="checkbox"/> ENTITY	Complete <b>Section 2a</b> if applying as an eligible Entity. The Entity is the Permitholder, while the Executive Signatory is the principal officer or responsible party for the permit, and who designates a Principal Investigator. The Executive Signatory and the Principal Investigator may be the same person - refer to Section 3b of this application.
<input type="checkbox"/> INDIVIDUAL	Complete <b>Section 2b</b> if applying as an eligible Individual. A person applying for an Individual permit shall serve as both the Permitholder and the Principal Investigator.
<input type="checkbox"/> STUDENT	Complete <b>Section 2c</b> if applying as an eligible Student (students may also apply for Individual and/or Entity permits).

**Permitholder Affiliation:** Select one or more categories or type(s):

Academic/ Non-Profit	Government	Business	Independent	Other (describe below)
<input type="checkbox"/> University of California <input type="checkbox"/> University – Cal State <input type="checkbox"/> University – Private/ Out of State <input type="checkbox"/> K-12 Education <input type="checkbox"/> Junior/ City College <input type="checkbox"/> Aquarium or Zoo <input type="checkbox"/> 501(c)3 Non-profit <input type="checkbox"/> Research/ Conservation/ Education (501(c)3 Non-profit) <input type="checkbox"/> Academic/ Non-Profit –Other	<input type="checkbox"/> Federal Agency <input type="checkbox"/> Native American Tribe <input type="checkbox"/> State Agency <input type="checkbox"/> Municipal <input type="checkbox"/> Non-municipal Utility <input type="checkbox"/> Government –Other	<input type="checkbox"/> Environmental Consulting <input type="checkbox"/> Business - Timber/ Forest Management <input type="checkbox"/> Business – Biomedical/ Pharmaceutical <input type="checkbox"/> Business – Other	<input type="checkbox"/> Environmental Consulting <input type="checkbox"/> Research <input type="checkbox"/> Independent – Other	

**2a. ENTITY**

NAME OF ENTITY (ORGANIZATION, AFFILIATION, OR PARTNERSHIP)		GO ID NUMBER (FROM ALDS)
ENTITY MAILING ADDRESS		ENTITY ID (if applicable)
CITY	STATE	ZIP CODE
PREFERRED TELEPHONE	ALTERNATE TELEPHONE	

**Entity Executive Signatory** (Note: if the Executive Signatory will also act as the Principal Investigator, complete Section 3b as well)

SC ID (if known)	FIRST NAME	M.I.	LAST NAME	GO ID (FROM ALDS)
TITLE /POSITION			E-MAIL ADDRESS	



PREFERRED TELEPHONE	ALTERNATE TELEPHONE
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PREFERRED MAILING ADDRESS
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CITY	STATE	ZIP CODE
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**2b. INDIVIDUAL (Principal Investigator)**

SC ID (if known)	FIRST NAME	M.I.	LAST NAME	GO ID (FROM ALDS)
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GOVERNMENT-ISSUED IDENTIFICATION (SELECT ONE)	STATE, COUNTRY, OR TRIBE OF ID	ID NUMBER
<input type="checkbox"/> U.S. STATE ID <input type="checkbox"/> PASSPORT <input type="checkbox"/> TRIBAL MEMBER		

E-MAIL ADDRESS	DOB (MM/DD/YEAR)
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PREFERRED TELEPHONE	ALTERNATE TELEPHONE
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PREFERRED MAILING ADDRESS
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CITY	STATE	ZIP CODE
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**Individual Affiliation:** (if applicable)

AFFILIATION'S NAME
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AFFILIATION'S ADDRESS
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CITY	STATE	ZIP CODE
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**Individual Qualifications and References** (Refer to requirements in Section 3a of this application, and Title 14, subsection 650(h) CCR):

<input type="checkbox"/> STATEMENT OF QUALIFICATIONS ATTACHED	<input type="checkbox"/> RESUME OR CURRICULUM VITAE ATTACHED
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<input type="checkbox"/> REFERENCE 1:	FIRST NAME	M.I.	LAST NAME
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TITLE /POSITION	E-MAIL ADDRESS
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PREFERRED TELEPHONE	ALTERNATE TELEPHONE
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AFFILIATION'S NAME (if applicable)
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<input type="checkbox"/> REFERENCE 2:	FIRST NAME	M.I.	LAST NAME
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TITLE /POSITION	E-MAIL ADDRESS
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PREFERRED TELEPHONE	ALTERNATE TELEPHONE
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AFFILIATION'S NAME (if applicable)
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**2c. STUDENT**

SC ID (if known)	FIRST NAME	M.I.	LAST NAME	GO ID (FROM ALDS)
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GOVERNMENT-ISSUED IDENTIFICATION (SELECT ONE)	STATE, COUNTRY, OR TRIBE OF ID	ID NUMBER
<input type="checkbox"/> U.S. STATE ID <input type="checkbox"/> PASSPORT <input type="checkbox"/> TRIBAL MEMBER		

E-MAIL ADDRESS	DOB (MM/DD/YEAR)
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PREFERRED TELEPHONE	ALTERNATE TELEPHONE
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STUDENT PREFERRED MAILING ADDRESS
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CITY	STATE	ZIP CODE
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**Student Affiliation:**

UNIVERSITY/ ACADEMIC INSTITUTION	DEPARTMENT AND RESEARCH GROUP	DEGREE PURSUED (e.g., B.S.)
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AFFILIATION'S ADDRESS

CITY	STATE	ZIP CODE
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**Student Qualifications** (Refer to requirements in Section 3a of this application, and Title 14, subsection 650(h) CCR):

<input type="checkbox"/> STATEMENT OF QUALIFICATIONS ATTACHED	<input type="checkbox"/> RESUME OR CURRICULUM VITAE ATTACHED
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**Student Sponsorship:** One faculty member shall provide proof of sponsorship of the student – complete the information below.

SPONSOR FIRST NAME	M.I.	LAST NAME NAME
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UNIVERSITY/ ACADEMIC INSTITUTION	DEPARTMENT AND RESEARCH GROUP
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TITLE /POSITION	E-MAIL ADDRESS
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PREFERRED TELEPHONE	ALTERNATE TELEPHONE
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SPONSOR MAILING ADDRESS

CITY	STATE	ZIP CODE
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LETTER OF SPONSORSHIP ATTACHED (Refer to Title 14, subsection 650(h)(4) CCR for the responsibilities of a Sponsor).

**SECTION 3. QUALIFICATIONS AND PROPOSED LIST OF AUTHORIZED INDIVIDUALS**

**3a. Required Qualifications**

The following information is required for all persons requested in the application to independently conduct permitted activities, conduct a portion of the permitted activities, or be named on the permit as an Authorized Individual (refer to Title 14, subsection 650(h) CCR):

- 1. Statement of Qualifications (SOQ):** A statement of qualifications that quantifies and describes experience with requested wildlife and/or similar wildlife. The statement of qualifications shall include approximate number of hours of focused activity in occupied habitat, as well as the approximate number of hours conducting the proposed activities, capture methods, and procedures for each requested wildlife species, wildlife taxonomic group, or similar wildlife taxon, locations and dates experience was obtained, and the name(s) of persons who provided training, any relevant survey, or hands-on training, or experience, and for Principal Investigators only, how responsibilities under subsection 650(b)(19) are met;
- 2. Resume or curriculum vitae:** A resume or *curriculum vitae* that describes the educational background and wildlife-related experience, including a list of any relevant publications; and
- 3. References:** Except for Student Permitholders, current contact information (indicated below) for two (2) references (for example, other Permitholders or experts) who can verify the applicant's experience with requested wildlife.

**3b. Principal Investigator (PI) (ONLY APPLICABLE FOR ENTITY PERMITHOLDERS)**

The PI is responsible for providing adequate supervision and training of all Authorized Individuals named or covered on the permit - refer to Title 14, subsection 650(f), CCR. If the Executive Signatory is not the same person applying as the Principal Investigator (PI) from Section 2a of this application, complete the required information below, including Required Qualifications from Section 3a of this application.

SC ID	FIRST NAME	M.I.	LAST NAME	DOB (MM/DD/YEAR)
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GOVERNMENT-ISSUED IDENTIFICATION (SELECT ONE)	STATE, COUNTRY, OR TRIBE OF ID	ID NUMBER
<input type="checkbox"/> U.S. STATE ID <input type="checkbox"/> PASSPORT <input type="checkbox"/> TRIBAL MEMBER		

TITLE /POSITION	E-MAIL ADDRESS
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PREFERRED TELEPHONE	ALTERNATE TELEPHONE
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PI PREFERRED MAILING ADDRESS (FOR SCP CORRESPONDENCE)



CITY		STATE	ZIP CODE
AFFILIATION'S NAME (if applicable)			
AFFILIATION'S ADDRESS			
CITY		STATE	ZIP CODE
<input type="checkbox"/> STATEMENT OF QUALIFICATIONS ATTACHED		<input type="checkbox"/> RESUME OR CURRICULUM VITAE ATTACHED	
<input type="checkbox"/> REFERENCE 1:	FIRST NAME	M.I.	LAST NAME
TITLE /POSITION		E-MAIL ADDRESS	
PREFERRED TELEPHONE		ALTERNATE TELEPHONE	
AFFILIATION'S NAME (if applicable)			
<input type="checkbox"/> REFERENCE 2:	FIRST NAME	M.I.	LAST NAME
TITLE /POSITION		E-MAIL ADDRESS	
PREFERRED TELEPHONE		ALTERNATE TELEPHONE	
AFFILIATION'S NAME (if applicable)			

**3c. PROPOSED LIST OF AUTHORIZED INDIVIDUALS (LAI) (NOT APPLICABLE FOR STUDENT PERMITHOLDERS)**

Complete the required information below for each person proposed to work independently under the PI. A maximum of eight (8) Authorized Individuals, aside from the PI, may be requested for each Authorization included with the permit fees (refer to Title 14, subsection 650(j), CCR, and Section 4 of this application), and only eight (8) may be approved per Authorization at any given time. Attach the Required Qualifications for each Authorized Individual (refer to Section 3a of this application). If no persons are requested as Authorized Individuals, skip to Section 4 of this application.

**Total No.** Authorized Individuals requested:

SC ID	FIRST NAME	M.I.	LAST NAME	DOB (MM/DD/YEAR)
GOVERNMENT-ISSUED IDENTIFICATION (SELECT ONE)			STATE, COUNTRY OR TRIBE OF ID	ID NUMBER
<input type="checkbox"/> U.S. STATE ID	<input type="checkbox"/> PASSPORT	<input type="checkbox"/> TRIBAL MEMBER		
E-MAIL ADDRESS			PREFERRED TELEPHONE	
AFFILIATION'S NAME (if applicable)				
<input type="checkbox"/> STATEMENT OF QUALIFICATIONS ATTACHED			<input type="checkbox"/> RESUME OR CURRICULUM VITAE ATTACHED	
<input type="checkbox"/> REFERENCE 1:	FIRST NAME	M.I.	LAST NAME	
TITLE /POSITION			E-MAIL ADDRESS	
PREFERRED TELEPHONE			ALTERNATE TELEPHONE	
AFFILIATION'S NAME (if applicable)				
<input type="checkbox"/> REFERENCE 2:	FIRST NAME	M.I.	LAST NAME	
TITLE /POSITION			E-MAIL ADDRESS	
PREFERRED TELEPHONE			ALTERNATE TELEPHONE	



AFFILIATION'S NAME (if applicable)
<b>&lt;Add New Record&gt;</b> <i>Select to provide the same required information for each person requested as an Authorized Individual</i>

<b>3d. RELATED PERMITS</b>				
Are there any collaborators, or other persons or entities (e.g., Permitholders, and/or Principal Investigators or Authorized Individuals not listed above) with permits related to the work planned with this permit? If yes, provide the following information.			<input type="checkbox"/> <b>Yes</b>	<input type="checkbox"/> <b>No</b>
FIRST NAME	LAST NAME	AFFILIATION NAME	PERMIT ID	
<b>&lt;Add New Record&gt;</b>				



**SECTION 4. GENERAL USE PERMIT INFORMATION – MARINE**

The Marine program reviews applications for marine and anadromous fish, marine algae, seagrasses, and marine invertebrates taken in marine waters.

**Purpose and Activities:** Conducting surveys and monitoring, and educational activities, which involve capturing, handling, measuring, and releasing a specified number of marine wildlife, only for the purpose of identification and documentation for educational and scientific purposes throughout California (see Authorizations below for specified methods). Certain methods of non-invasive marking may be allowed, as well as sacrifice of some invertebrates and non-native species.

**4a. PURPOSE OF PERMIT**

Check the applicable purposes and permit use subcategory(ies) below which you are requesting pursuant to Title 14, subsection 650(c), CCR. For “other” - specify in blank below another activity that does not clearly fit into one of these categories.

<input type="checkbox"/> <b>Science</b>	<input type="checkbox"/> <b>Education</b>
<input type="checkbox"/> Research <input type="checkbox"/> Survey/ Inventory <input type="checkbox"/> Monitoring <input type="checkbox"/> Museum Collection <input type="checkbox"/> other (specify– e.g., restoration, etc.)	<input type="checkbox"/> Capture and release and/or display of wild animals in the field (e.g., tidepools, field demonstrations, etc.) <input type="checkbox"/> Display non-releasable, non-restricted species live in captivity <input type="checkbox"/> Display of dead salvaged specimens <input type="checkbox"/> other - (specify – e.g., biological collection service, museum or teaching collection, etc.)
<Other - Text Field>	<Other - Text Field>

**4b. JUSTIFICATION OF USE:**

Use the space below to summarize your proposed research and activities. It is not necessary to identify each study or research project, if not known at the time of application, but you should describe:

- What marine areas or any specific locales you anticipate working in (e.g., bays or estuaries);
- What types of work are expected to be conducted (e.g., research, biological measurements, surveys and monitoring for gathering presence/absence information for environmental documents or permits required by law, educational field trainings or demonstrations; population assessments or other bioassessment);
- Information about any study(ies) or project(s) collaborated on with other researchers; and
- If applicable, what species are being targeted, or what species could be encountered with permitted methods, if known.

<Text Box>

**Review of Species Information**

<input type="checkbox"/>	I acknowledge that I, and any other person named or covered in this application as an Authorized Individual, have reviewed, or will have reviewed, the Department’s California Natural Diversity Database Quickview or other online tool, the California Endangered Species Act (CESA) Candidate, Endangered and Threatened species list, the Department’s Special Animals List for Fully Protected species and California Species of Special Concern, and other sources of information for sensitive wildlife species prior to conducting planned activity(ies). I/ we am/are aware certain sensitive species may be encountered in the area of my/our planned activities. If methods and locations authorized by this permit may result in take of CESA-listed, CESA-Candidate, or Fully Protected species, I will contact the appropriate review program about whether I/we need a Memorandum of Understanding (MOU), pursuant to Fish and Game Code Section 2081(a), and/or Title 14, Section 670.7, CCR.
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**4c. MARINE AUTHORIZATIONS**

Select one or more of the following Marine Authorizations (**M1-M3**) by checking each taxonomic group applicable to your purpose and justification provided. Enter the PI (Section 3b, required) and/or proposed Authorized Individuals (Section 3c, if applicable) proposed to work under each selected Authorization. The PI, plus a maximum of any eight (8) Authorized Individuals, may be requested for each Authorization, but only eight (8) may be approved per Authorization at any given time. The same Authorized Individuals may be requested for all Authorizations. The Marine review program may approve or deny the PI, and any Authorized Individuals for that Authorization, and may alter the Authorization’s LAI based on review of Required Qualifications submitted.

**Authorized Individuals (and any unnamed Field Assistants) conducting activities under an issued Marine General Use Permit shall adhere to the following Marine Conditions:**

- All Standard Conditions for Scientific Collecting Permits;
- Take of seagrasses is prohibited under a Marine General Use Permit.



- Plankton may be taken, retained samples may not exceed 2 liters per tow, with no limits on the number of tows that can be made per day and only a plankton net with a 1 meter maximum mouth diameter may be used. Any organisms greater than 1 centimeter in size must be released at the site of capture. Plankton sampling is prohibited under this General Use Permit within any Marine Protected Area or Marine Managed Area as described in Title 14, Section 632 and Public Resources Code Sections 36602 and 36710, ecological reserve described in Title 14, Section 630 CCR, and marine life refuge as described in Fish and Game Code Section 10500, as described in Fish and Game Code Section 10500,
- Water samples may be taken, retained samples may not exceed 10 liters per sample, with no limits on the number of samples that can be taken per day. Any visible organisms captured in the sample must be released on site. Water sampling is prohibited under this General Use Permit within any Marine Protected Area or Marine Managed Area as described in Title 14, Section 632 and Public Resources Code Sections 36602 and 36710, ecological reserve described in Title 14, Section 630 CCR, and marine life refuge as described in Fish and Game Code Section 10500, as describe in Fish and Game Code Section 10500,
- **Modifications for fishery management:** The Department reserves the right to modify, or suspend, a permit to reduce take limits on any species, should a fishery management action lead to reduced fishing limits, or the closure of a fishery. This would only be done as a measure of last resort, but it would apply to all active General Use permits affected.
- **Reporting:** Form DFW 1379(a) shall be used as a trip log and shall be maintained at all times and present while collecting, detailing the current month's collecting activities. A compiled log for the entire permit shall be submitted quarterly on a calendar basis on the following schedule- April 15<sup>th</sup>, July 15<sup>th</sup>, October 15<sup>th</sup>, and January 15<sup>th</sup> to the Marine program contact, as outlined in permit conditions. If you did not collect any wildlife or collected the same individuals as another Permitholder, you still need to submit a report. Refer to the instructions on form DFW 1379a. All quarterly reports submitted under this permit shall come from the Permitholder, or the Principal Investigator.
- All restrictions from one or more of your selected wildlife group(s) below that match your justification in Section 4b.

#### Marine Fishes (M1)

**Marine fish in California may be taken in marine waters, in accordance with the restrictions below.**

**Wildlife and Activities:** Marine fishes may be taken and/or possessed, as outlined below.

**Prohibited Wildlife:** The following species shall not be targeted and if caught, shall be immediately released without further handling. Any incidental capture (by-catch) [live or dead] of Prohibited Wildlife species shall be reported on DFW 1379a and shall be released on site.

- Bluefin tuna (*Thunnus orientalis*), broomtail grouper (*Mycteroperca xenarcha*), eulachon (*Thaleichthys pacificus*), garibaldi (*Hypsypops rubicundus*), giant sea bass (*Stereolepis gigas*), gulf grouper (*Mycteroperca jordani*), Pacific halibut (*Hippoglossus stenolepis*);
- Rockfish: bronzespotted (*Sebastes gilli*), canary (*Sebastes pinniger*), cowcod (*Sebastes levis*), yelloweye (*Sebastes ruberrimus*);
- Salmonids: Chinook salmon (*Oncorhynchus tshawytscha*), coho salmon (*Oncorhynchus kisutch*), steelhead trout (*Oncorhynchus mykiss*), pink salmon (*Oncorhynchus gorbushca*);
- Sharks: basking shark (*Cetorhinus maximus*), leopard shark (*Triakis semifasciata*), megamouth shark (*Megachasma pelagios*), scalloped hammerhead shark (*Sphyrna lewini*), white shark (*Carcharodon carcharias*);
- Smelts: delta smelt (*Hypomesus transpacificus*), longfin smelt (*Spirinchus thaleichthys*);
- Sturgeon: green sturgeon (*Acipenser medirostris*), white sturgeon (*Acipenser transmontanus*); and
- Gobies: tidewater goby (*Eucyclogobius newberryi*).

**Methods and Equipment:** Only the following methods may be used: hook and line by rod and reel with only one line and no more than two hooks per rod, dip net, spear, slurp gun, hand, and fish trap (equipment marked per Standard Conditions for SCPs, Standard Condition M).

**Daily Limit:** No more than 20 marine fish (all species combined) may be taken per day under this Authorization (includes all Authorized Individuals named or covered under this Authorization). Non-lethal catch and immediate release of marine fish for the purposes of educational activities within tide pools, taking biological measurements, or conducting population assessments, does not count toward this daily limit. The following species-specific sub-limits within the 20 fish daily limit apply:

- A maximum of 10 individuals per species for the following: Tunas (*Scombridae*) [excluding bluefin tuna (*Thunnus orientalis*)], Pacific bonito (*Sarda chiliensis*), groundfish as defined in Title 14, Section 1.91, (unless otherwise restricted below), yellowtail (*Sebastes flavidus*), greenlings (*Hexagrammidae*) (all species), basses (*Paralabrax spp.*);
- A maximum of 5 individuals per species for the following: The following groundfish –black rockfish (*Sebastes melanops*), cabezon (*Scorpaenichthys marmoratus*), bocaccio (*Sebastes paucispinis*), lingcod (*Ophiodon elongatus*), California scorpionfish (*Scorpaena guttata*); and the following marine fish- California sheephead (*Semicossyphus pulcher*), striped bass (*Morone saxatilis*), striped marlin (*Kajikia audax*), California halibut (*Paralichthys californicus*), white seabass (*Atractoscion nobilis*), Pacific swordfish (*Xiphias gladius*), and all sharks, excluding those sharks prohibited by this permit.



**Annual limit:** No more than 200 individual marine fish (all species combined) may be taken annually, from the date of issuance of this permit under this Authorization (includes all Authorized Individuals named or covered under this Authorization). The following species-specific sub-limits within the 200 annual limit apply:

- An annual maximum of 100 individuals per species of the following: Tunas [excluding bluefin tuna (*Thunnus orientalis*)], groundfish as defined in Title 14, Section 1.91 CCR (unless otherwise restricted below), yellowtail (*Sebastes flavidus*), Pacific bonito (*Sarda chiliensis*), greenlings (*Hexagrammidae*) (all species), basses (*Paralabrax spp.*);
- An annual maximum of 50 individual per species of the following: The following groundfish – [black rockfish (*Sebastes melanops*), cabezon (*Scorpaenichthys marmoratus*), bocaccio (*Sebastes paucispinis*), lingcod (*Ophiodon elongatus*), California scorpionfish (*Scorpaena guttata*)], and the following marine fish – California sheephead (*Semicossyphus pulcher*), striped bass (*Morone saxatilis*), striped marlin (*Kajikia audax*), California halibut (*Paralichthys californicus*), Pacific halibut (*Hippoglossus stenolepis*), white seabass (*Atractoscion nobilis*), pacific swordfish (*Xiphias gladius*), and all sharks, excluding those sharks prohibited by this permit.

**Size and season restrictions:** There are no size restrictions for any species authorized under this permit. All marine fish authorized under this permit may be taken year round in all marine waters not prohibited under this permit. Take is prohibited in anadromous waters during the spawning season of any anadromous species.

**Procedures and Disposition:** Only capture, handle and release, taken alive into captivity, used for live or dead display, sacrifice, or salvage is authorized under this permit. Salvaged species count toward the daily take limits. All practical steps shall be taken to minimize incidental death or harm of species captured. A descending device shall be in possession of each Authorized Individual and used for all incidental caught species or released species experiencing barotrauma. Tagging, marking, use of chemicals or dyes, use of anesthetics, or surgery is not authorized under this permit, but may be under a Specific Use permit. Any handling technique not listed here is not authorized under this permit. Non-lethal samples (i.e., genetic) may be taken, but each individual organism sampled will count toward the Daily and Annual Limits described in this Authorization.

**Locations:** Authorized in locations defined in Title 14, Section 27.00 CCR, except that no collecting under this General Use permit can occur within any protected area such as a Marine Protected Area, or other Marine Managed Areas as described in Title 14, Section 632 and Public Resources Code Sections 36602 and 36710, ecological reserve described in Title 14, Section 630 CCR, marine life refuge as described in Fish and Game Code Section 10500, cowcod conservation area, or within the rockfish conservation areas, in addition to all depth and area closures as described in Title 14, Section 27.20 through 29.85, CCR.

**Additional conditions for Marine Fishes may be specified as Conditions of your Marine General Use Permit at time of issuance.**

**M1 Proposed Authorized Individuals:**

SC ID	FIRST NAME	LAST NAME
<Filtered Dropdown List from Section 3c>	<Filtered Dropdown List from Section 3c>	<Filtered Dropdown List from Section 3c>

<Add New Record>

**M1 Measures Minimizing Harm of Wildlife:**

Describe what measures will be employed to minimize incidental harm or death of all captured wildlife, including how you will avoid the incidental capture (by-catch) of non-target wildlife and special status species, and describe how you will minimize risk to wildlife during handling and/or procedures (e.g., personnel are trained in identification and supervision, discriminant capture methods, handling procedures and duration, etc.).

<Text Box>

**Marine/ Tidal Invertebrates (M2)**

**Marine invertebrates may be taken in marine waters, in accordance with the restrictions below.**

**Wildlife and Activities:** Only the following marine invertebrates may be taken and/or possessed, as outlined below: barnacles, brittle stars, chitons, chiones, clams, cockles, crabs, isopods, limpets, lobster, mussels, mysids, native oysters, octopuses, opisthobranches, polychaetes, prawns, scallops, sand dollars, sea cucumber, sea jellies, sea urchins, shrimp, squid, tunicates, snails, and worms except that no worms may be taken in any mussel bed, unless taken incidental to the harvesting of mussels. Any non-native marine invertebrate considered invasive may be taken, but only when sacrificed on site. Transporting live invasive species is not authorized under this permit.





**Prohibited Wildlife:** The following marine invertebrates shall not be targeted and if caught, shall be immediately released without further handling: abalone (*Haliotis sp.*; all species), live rock, speckled (Bay) scallops (*Argopecten ventricosus*). Any incidental capture (by-catch) of Prohibited Wildlife species shall be reported on DFW 1379a.

**Methods and Equipment:** Only the following methods may be used: hook and line, hoop net [as defined by Title 14, Section 29.80(b) through (f), CCR], dip net, hand, hand tools, and trap [as defined by Title 14, Section 29.80(b) through (f), CCR]. Traps shall be marked per Standard Conditions for SCPs, Standard Condition M.

**Daily Limit:** No more than 50 individuals (all species combined) may be taken per day under this Authorization (includes all Authorized Individuals named or covered under this Authorization). Non-lethal catch and immediate release of any mobile invertebrate for the purposes of educational activities within tide pools, taking biological measurements, or for population assessments does not count toward this daily limit. Removal of sessile invertebrates counts toward the daily limit. The following species specific sub-limits within the 50 marine invertebrate daily limit apply:

- A maximum of 10 marine invertebrate per species from any of the following list: Kelle’s whelk (*Kelletia kelletii*), gaper clams (*Tresus nuttalli*), geoduck clams (*Panopea generosa*), spiny lobster (*Panulirus interruptus*), Pismo clams (*Tivela stultorum*), rock scallops (*Crassadoma gigantea*), moon snails (*Neverita sp.*), Dungeness crab (*Metacarcinus magister*), giant keyhole limpet (*Megathura crenulata*), gumboot chiton (*Cryptochiton stelleri*), and owl limpets (*Lottia gigantea*).

**Annual Limit:** No more than 500 individuals (all species combined) may be taken annually, from the date of issuance of this permit. The following species specific sub-limits within the 500 annual limit apply:

- An annual maximum of 100 marine invertebrate per species from any of the following: Kelle’s whelk (*Kelletia kelletii*), gaper clams (*Tresus nuttalli*), geoduck clams (*Panopea generosa*), spiny lobster (*Panulirus interruptus*), Pismo clams (*Tivela stultorum*), rock scallops (*Crassadoma gigantea*), moon snails (*Neverita spp.*), Dungeness crab (*Metacarcinus magister*), giant keyhole limpet (*Megathura crenulata*), gumboot chiton (*Cryptochiton stelleri*), and owl limpets (*Lottia gigantea*).

**Size and season restrictions:** There are no size restrictions for any species authorized under this permit.

**Procedures and Disposition:** Only capture, handle and release, taken alive into captivity, used for live or dead display, sacrifice, or salvages authorized. Salvaged species count toward the daily take limits. All practical steps shall be taken to minimize incidental death or harm of species captured. Tagging, marking, use of chemicals or dyes, use of anesthetics, or surgery, is not authorized under this permit. Any handling technique not listed here is not authorized under this permit. Non-lethal samples (i.e., genetic, slime, crustacean limbs) may be taken but each individual organism sampled will count toward the Daily and Annual Limits described in this Authorization.

**Locations:** Authorized in locations as defined in Title 14, Section 27.00 CCR, except that no collecting under this General Use permit can occur within any protected area such as a Marine Protected Area, or other Marine Managed Areas as described in Title 14, Section 632 CCR and Public Resources Code Sections 36602 and 36710, ecological reserve described in Title 14, Section 630 CCR, marine life refuge as described in Fish and Game Code Section 10500, cowcod conservation area, or within the rockfish conservation areas, in addition to all depth and area closures as described in Title 14, Section 27.20 through 29.85 CCR.

**Additional conditions for marine invertebrates may be specified as Conditions of your Marine General Use Permit at time of issuance.**

**M2 Proposed Authorized Individuals:**

SC ID	FIRST NAME	LAST NAME
<Filtered Dropdown List from Section 3c>	<Filtered Dropdown List from Section 3c>	<Filtered Dropdown List from Section 3c>

<Add New Record>

**M2 Measures Minimizing Harm of Wildlife:**

Describe what measures will be employed to minimize incidental harm or death of all captured wildlife, including how you will avoid the incidental capture (by-catch) of non-target wildlife and special status species, and describe how you will minimize risk to wildlife during handling and/or procedures (e.g., personnel are trained in identification and supervision, discriminant capture methods, handling procedures and duration, etc.).

<Text Box>

**Marine Algae (M3)**



**Marine algae may be taken in marine waters, in accordance with the restrictions below.**

**Wildlife and Activities:** No more than 10 pounds wet weight (all species combined) may be taken per day under this Authorization (includes all Authorized Individuals named or covered under this Authorization). No more than 100 pounds wet weight, single species or in combination of all species, may be taken annually, from the issuance of this permit. Kelp may not be cut greater than four feet below the sea surface, except that only kelp blades may be taken along the length of the stipe. Kelp holdfast and/or kelp sporophylls may not be taken or disturbed.

**Prohibited Wildlife:** Seagrasses and sea palm may not be cut or disturbed. Any non-native algae considered to be invasive may not be taken or disturbed.

**Methods and type of equipment:** Hand and hand tools.

**Disposition:** Only tissue sampling, display, or sacrifice is authorized.

**Locations:** As defined in Title 14, Section 27.00 CCR, except that no collecting under this General Use permit can occur within any protected area such as a Marine Protected Area, or other Marine Managed Areas as described in Title 14, Section 632 CCR and Public Resources Code Sections 36602 and 36710, ecological reserve described in Title 14, Section 630 CCR, marine life refuge as described in Fish and Game Code Section 10500, cowcod conservation area, or within the rockfish conservation areas, in addition to all depth and area closures as described in Title 14, Section 27.20 through 29.85 CCR.

**Additional conditions for Marine Algae may be specified as Conditions of your Marine General Use Permit at time of issuance.**

**M3 Proposed Authorized Individuals:**

SC ID	FIRST NAME	LAST NAME
<Filtered Dropdown List from Section 3c>	<Filtered Dropdown List from Section 3c>	<Filtered Dropdown List from Section 3c>

<Add New Record>

**M3 Measures Minimizing Harm of Wildlife:**

Describe what measures will be employed to minimize incidental harm or death of all captured wildlife, including how you will avoid the incidental capture (by-catch) of non-target wildlife and special status species, and describe how you will minimize risk to wildlife during handling and/or procedures (e.g., personnel are trained in identification and supervision, discriminant capture methods, handling procedures and duration, etc.).

<Text Box>



**SECTION 5. OTHER PERMITS AND ENVIRONMENTAL DOCUMENTS**

**5a. FEDERAL PERMITS**

Do you currently hold/ are named on, have applied for, or will apply for a federal permit pertinent to your requested activities?  
 Select all that apply, and attach copies of permits, or applications.

**Yes**  
 **No**

<input type="checkbox"/> Federal Endangered Species Act 10(a)(1)(A) Native Endangered and Threatened Species Recovery Permit – U.S. Fish and Wildlife Service Permit No(s):	<input type="checkbox"/> Bird Banding Permit – U.S. Geological Survey Permit No(s):
<input type="checkbox"/> Federal Endangered Species Act 10(a)(1)(A) Scientific Research Permit – National Oceanic and Atmospheric Administration, Fisheries (NMFS) Permit No(s):	<input type="checkbox"/> Migratory Bird Permit – U.S. Fish and Wildlife Service Permit No(s):
<input type="checkbox"/> Federal Endangered Species Act 4(d) rule – Research Permit No(s):	<input type="checkbox"/> Other (e.g., National Parks Service, National Marine Sanctuaries, Bureau of Land Management, National Wildlife Refuge, etc.) Type and Permit No.(s):

**5b. STATE PERMITS**

Do you currently hold, or are named on, or have applied for, or will apply for a state permit pertinent to your requested activities?  
 Select all that apply, and attach copies of permits and/or applications.

**Yes**  
 **No**

<input type="checkbox"/> California Endangered Species Act and/or Fully Protected Species Research MOU(s) – Department Permit or MOU Names or No.(s):	Common name(s) ( <i>Scientific name</i> )
<input type="checkbox"/> Scientific Research and Collecting Permits – CA State Parks Permit No(s):	<input type="checkbox"/> Other (e.g., California Dept. of Food and Agriculture) Type and Permit No.(s):

**5c. RELEVANT ENVIRONMENTAL DOCUMENTS**

Are you proposing to conduct activities to meet requirements of an environmental plan or document or to meet other environmental compliance required by law? Select all that may apply, and provide the name and date of the document(s), if applicable.

**Yes**  
 **No**

<input type="checkbox"/> Federal – Federal Endangered Species Act Section 7 Consultation, Biological Opinion	<input type="checkbox"/> Federal Hydropower Licensing (Federal Energy Regulatory Commission)
<input type="checkbox"/> Renewable Energy (i.e., wind, solar, etc.)	<input type="checkbox"/> California Environmental Quality Act
<input type="checkbox"/> National Environmental Policy Act	<input type="checkbox"/> Fish Restoration Grants Program
<input type="checkbox"/> Natural Community Conservation Plan	<input type="checkbox"/> Lake and Streambed Alteration Agreement
<input type="checkbox"/> Hatchery, or Department Fishery Management Plan	<input type="checkbox"/> Water Operations (U.S. Bureau of Reclamation, California Dept. of Water Resources, Interagency Ecological Program, etc.)

Other



**SECTION 6. APPLICATION CERTIFICATION**

In signing this application, the applicant to serve as a Permitholder, or to serve as the Executive Signatory (for an Entity permit), and any requested Authorized Individuals agree to the following:

*“I certify that the information submitted in this application is complete and accurate to the best of my knowledge and belief. I understand that if I fail to provide required information, my permit may be denied. I further certify I have read, understand, and agree to abide the applicable provisions of the Fish and Game Code, and Title 14, Section 650, CCR, and will abide by all the conditions and attachments of the issued permit. I certify that I am not currently under any Department of Fish and Wildlife license or permit revocation or suspension, and there are no other legal or administrative proceedings pending that may disqualify me from obtaining this permit or any amendments. I understand that any false statement herein may make this permit void and subject me to suspension or revocation of this permit pursuant to Title 14, Section 650, CCR, and to the criminal penalties set forth in Fish and Game Code Section 12002. I further understand I am responsible for ensuring all persons working under this permit will be adequately supervised, and comply with the requirements noted above.”*

<b>APPLICANT SIGNATURE</b> X	<b>DATE</b>
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## INSTRUCTIONS FOR THE GENERAL USE APPLICATION

Aside from reading these instructions, it is also important that you read Title 14, Section 650, California Code of Regulations (CCR), permit descriptions, and all Standard Conditions for All Scientific Collecting Permits (SCPs) (form DFW 1379d), so that you have a thorough understanding of requirements (available at <https://www.wildlife.ca.gov/Licensing/Scientific-Collecting>). Additional State and federal permits may be required, and your application may be deemed incomplete if copies of these permits are not submitted with the SCP General Use Permit Application. **All sections of the application are required, unless otherwise indicated.**

### COMPLETING THE APPLICATION

**Pursuant to Title 14, subsection 650(e), CCR**, application forms are available on the department's internet web site: [www.wildlife.ca.gov](http://www.wildlife.ca.gov). Applications shall be submitted in an electronic format when available; no handwritten applications shall be accepted. Name(s) of all persons listed on the permit application shall match those on government-issued identification. Failure to answer any questions fully, or failure to submit any required information, or the proper application fee, may delay the processing of your application, or result in denial of the application. **The Department will determine if an application is complete within 40 calendar days.** Once the application is determined to be complete, the Department will approve or deny the application within 60 calendar days, notwithstanding certain pending permits that require notification to the public. Therefore, please allow a minimum of 12 weeks for processing an application. Applications are processed in the order received.

**APPLICANTS RENEWING AN SCP SHALL ALSO:** Enclose or submit via e-mail a completed Mandatory Wildlife Report (DFW 1379a) and any additional reports required by their previous SCP. See "Reporting Requirements" below.

**Section 1 – Permit Request:** Select the type of application request, New or Renewal.

**Section 2 – Permitholder Information:** Select the type of Permitholder you are applying as.

**2a Entity** – An "Entity Permit" may be issued to an eligible entity in the name of the entity as the Permitholder. The Entity is the Permitholder, while the Executive Signatory is the principal officer or responsible party for the permit, and who designates a Principal Investigator. The Executive Signatory and the Principal Investigator may be the same person.

**2b Individual** – An "Individual Permit" may be issued in the name of an eligible person who acts as both the Permitholder and Principal Investigator, and that person is responsible for overseeing all activities conducted under the permit.

**2c Student** – A "Student Permit" may be issued in the name of a student. The Student acts as the Permitholder; however, one faculty member affiliated with their college or university shall act as a Student Sponsor if applying as a student. Student Permits are valid for one year, and only allow the Student Permitholder to work independently. Students may also apply as an Entity or Individual Permitholder (valid for three years, and with payment of Individual and Entity fees), and may then adequately supervise a LAI.

### Section 3 – Qualifications and Proposed List of Authorized Individuals

**3a Required Qualifications** – Prepare and submit required qualifications for all persons requesting to be named on the List of Authorized Individuals (Not applicable for applicants as Student Permitholders). Attach Statements of Qualifications, and Resumes or *curriculum vitae*.

**3b Principal Investigator** – Fill in the information for the Principal Investigator (Entity and Individual permits only). Complete the required information for the person proposed to work as the Principal Investigator (PI) for an Entity permit. Attach the Required Qualifications. The PI is responsible for providing adequate supervision and training of all Authorized Individuals named or covered on the List of Authorized Individuals (LAI).

**3c Proposed List of Authorized Individuals** – A maximum of eight (8) Authorized Individuals, aside from the PI, may be requested for each Authorization included with the permit fees to be named on the LAI, and only eight (8) may be approved per Authorization at any given time. Complete the required information, and attach the Required Qualifications for each proposed Authorized Individual. If no persons are requested to work as Authorized Individuals, skip to Section 4.

**3d Related Permits** – Are there any collaborators, or other persons or entities (e.g., Permitholders, and/or Principal Investigators or Authorized Individuals not listed) with permits related to the work planned with this permit? If yes, provide information for each.

**Section 4 – General Use Permit Information** Describe details of all of your requested activities in this section. Attach additional documents if necessary.

**4a Purpose of Permit** – Check the applicable purpose(s) and permit use subcategory(ies) for this permit. For "other" - specify in blank below any other activity that does not clearly fit into one of these categories.



**4b Justification of Use** – Use the space provided to summarize your proposed wildlife and activities. It is not necessary to identify each study or research project, if not known at the time of application; however, an answer is required to justify your request. Check the box to acknowledge that the Permitholder and all Authorized Individuals have reviewed the Department’s California Natural Diversity Database Quick view or other online tool, the California Endangered Species Act (CESA) Candidate, Endangered, and Threatened species list, the Department’s Special Animals List for Fully Protected species and California Species of Special Concern, and other sources of information for sensitive wildlife species.

**4c Authorizations** – Select one or more of the Authorizations (M1-M3) by checking each taxonomic group applicable to your purpose and justification provided. If applying as an Entity or Individual Permitholder, enter the PI (Section 3b, required) and/or Authorized Individuals (Section 3c, if applicable) proposed to work under each selected Authorization. The PI, plus a maximum of any eight (8) Authorized Individuals, may be requested for each Authorization, but only eight (8) may be approved per Authorization at any given time. The same Authorized Individuals may be requested for all Authorizations. The Marine review program may approve or deny the PI, and any Authorized Individuals for that Authorization, and may alter the Authorization’s LAI based on review of Required Qualifications submitted.

**Section 5 – Other Permits and Environmental Documents** Provide a complete copy of current federal and State permit(s), or applications for such permits that apply to this permit application, and any other written State authorizations, such as a current MOU, if applicable.

**Section 6 – Application Certification** Verify all fields are complete and required documents are attached, sign and date.

### REPORTING REQUIREMENTS

The Mandatory Wildlife Report (form DFW 1379a), or “Report” is required for every General and Specific Use level permit. Each permit may have slightly different timeframes for frequency of submission of the Report, so check permit Authorizations and/or conditions for details on submission frequency and timing. The report form is available with separate instructions at <https://www.wildlife.ca.gov/Licensing/Scientific-Collecting> in a fill and save format. Upon completion of the electronic report, send it to the correct contact listed in your permit conditions. If no contact is specified in your permit conditions, send the report to [SCPPermits@wildlife.ca.gov](mailto:SCPPermits@wildlife.ca.gov). Any other report format required pursuant to Title 14, subsection 650(p)(2), and specified in the conditions of your SCP shall also be submitted by the specified timeframes. See also Standard Conditions for all Scientific Collecting Permits (form DFW 1379d), Standard Conditions G and I for more reporting guidance.

### PAYMENT POLICY

The Department accepts cashier’s checks, money orders, personal or business checks,\* or credit cards.\*\* If a receipt for payment is needed before the permit is issued, please notify the Department when the application form is submitted. The application fee is non-refundable for all permit categories and types. Application fees shall be submitted with an application, and the permit fee is due within 60 calendar days upon after notice of permit approval, or the Department may refuse to issue the permit, and may change the approval into a denial. Before or upon approval of your application, the permit fee shall be paid before issuance of your approved SCP – refer to Title 14, subsection 703~~(d)~~(c), CCR for details.

**\*Personal or business checks** will be accepted by the Department if a name and address are imprinted on the check. A check returned to the Department due to insufficient funds will render your permit invalid. The Department may also deny the issuance or renewal of any permit if a person has failed to reimburse the Department for the amount due. Make checks payable to the Department of Fish and Wildlife.

**\*\*Credit Cards** – Licenses, permits, tags, stamps, or registrations may be purchased with a Visa or MasterCard by completing a Credit Card Authorization Form (DFW 1443). The form is available at <https://www.wildlife.ca.gov/Licensing/Scientific-Collecting>.

**Cash** can no longer be accepted at Department offices as of January 1, 2017.

### NOTICE

**Disclosure Statement** - Under Section 650, Title 14, of the CCR, the Department is authorized to collect information from applicants to maintain a record of licensure. Under Fish and Game Code Section 391, personal information submitted on this application may be released for law enforcement purposes, pursuant to court order, or for official natural resources management purposes, or as may otherwise be required under the California Public Records Act. Issued SCPs are public records, and their related applications may be subject to public disclosure under the California Public Records Act, or other applicable law. People with concerns about records being released to the public should contact CDFW before submitting an application.

A licensee or Permitholder may obtain a copy of his/her license records maintained by the Department by submitting a written request to the Custodian of Records, Department of Fish and Wildlife, License and Revenue Branch, 1740 N. Market Blvd., Sacramento, CA 95834 or [lrb@wildlife.ca.gov](mailto:lrb@wildlife.ca.gov). All requests shall include the requestor’s name, address, and telephone number.