



State of California – Natural Resources  
Agency  
California Department of Fish and  
Wildlife



## **2019 Endangered Species Conservation and Recovery Program Grants**

### **REVISED\* Proposal Solicitation Notice**

**\*This notice is revised to reflect a new submission deadline.**

The California Department of Fish and Wildlife (CDFW) is seeking high quality proposals for projects that will further the conservation and recovery of federally listed threatened and endangered species in the state. Projects proposed for funding may involve management, research, monitoring, and outreach activities or any combination thereof. Proposals should include clear and specific information about how the proposed work would contribute to species recovery or prevent the need to list a species under the federal Endangered Species Act. Successful applications will be those that demonstrate a direct conservation benefit to the species or its habitat. Eligible activities may include, but are not limited to:

- Introduction of species into suitable habitats within their historic range
- Enhancement or restoration of habitat
- Surveys and inventories of habitats
- Species status surveys
- Propagation of animals and plants
- Research such as genetic analysis to determine genetic health and population structure
- Monitoring of candidate and recently recovered species
- Public education and outreach tools such as website development or coordination workshops with local landowners to address a specific threat to a species

Priority will be given to projects designed to have a direct impact on the recovery of federally listed species, prevent extinction of a listed species or prevent the need to list a candidate species, although proposals involving candidate and recently delisted species will also be considered even though no recovery plan exists. Proposals that involve only research will be considered a lower priority for funding relative to management proposals and must provide information that can be directly used to prevent listing, recover, manage, or improve current management strategies for a species. Proposals involving only public education and outreach activities must demonstrate a high probability of improving or increasing public understanding of and participation in conservation activities.

For the 2019 grant cycle, CDFW will give priority to projects that address the following themes:

- Actions or assessments directly supporting the de-listing of currently listed species.
- Projects that identify and implement land and water resource management practices for the conservation and recovery of federally listed species.
- Assessment or conservation actions critically needed for the conservation and recovery of federally listed species, candidate species, and recently de-listed species, with a priority given to species listed under both ESA and CESA.
- Scientific investigations into the adaptive response of listed species to changing environmental conditions, including prolonged drought, altered water and fire regimes, proliferation of non-native species, and habitat type conversion. See [CDFW](#) and [California](#) reports on vulnerable species and ecosystems.
- Applied science that reduces the impact of changing environmental conditions on listed species or promotes resilience in listed species populations or their habitats to these stressors, with priority given to species listed under both ESA and CESA.
- Activities and applied research in natural communities which include assemblages of listed species when grant-funded activities and research will benefit multiple listed species.

Funding for this program is provided to CDFW through the federal Cooperative Endangered Species Conservation Fund (Section 6 of the Endangered Species Act) which provides funding to states and territories for species and habitat conservation actions on non-federal lands. States and territories must contribute a minimum non-federal match of 25% of the estimated program costs of approved projects. CDFW is responsible for managing native fish, wildlife, and plant species in California, and directs the use of federal Cooperative Endangered Species Conservation Fund resources through this Endangered Species Conservation and Recovery Program (ESCRP) grant program in coordination with the United States Fish and Wildlife Service (USFWS). Authority for CDFW to administer the ESCRP program is granted through §1501.5 of the Fish and Game Code. Anticipated total funding available for the 2019 grant cycle is dependent on federal allocation of Section 6 funds to California in the 2019 federal budget year. In recent years approximately \$1.8 million has been available through this program.

Applicants should be aware that the federal government has not yet released the Notice of Funding Opportunity (NOFO) for this grant program. CDFW has prepared this Proposal Solicitation Notice (PSN) using the most recent NOFO as a guide in order to respond to the federal NOFO in a timely manner once it is released. When the new NOFO is released it may specify eligibility and submission requirements which differ from those stated in this PSN in which case CDFW will work with applicants to modify proposals submitted under this PSN to meet the new federal requirements, when possible.

### Solicitation Procedure

This document details the ESCRP grant eligibility requirements, the proposal submission process, proposal review procedures, and other pertinent topics for the 2019 grant cycle. Potential applicants are encouraged to thoroughly read this PSN prior to deciding to submit a proposal.

In order to be considered for funding, all applications must submit a complete proposal using the supplied templates at the bottom of this page by **5:00 PM Pacific Standard Time on January 31, 2019**. Project proposals must be submitted to [wildlifemgt@wildlife.ca.gov](mailto:wildlifemgt@wildlife.ca.gov) with only “**ESCRP 2019**” in the subject line. Failure to complete all required application components will make the proposal incomplete. Incomplete proposals will not be considered for funding.

### Eligibility Information and Grant Requirements

1. Entities eligible to submit grant proposals in response to this PSN include public agencies, state departments, colleges and universities, tribal governments, and nonprofit entities.
2. The proposed project must address the conservation of federally listed endangered, threatened, candidate, proposed, or recently recovered species.
3. Proposals must be developed in consultation with [USFWS biological staff](#) and [CDFW biological staff](#) and identify a CDFW staff person willing to sponsor the project by administering the sub-grant between CDFW and the project lead. **Proposals developed without first consulting agency biologists or which fail to identify a CDFW sub-grant sponsor will not be considered for funding.**
4. Funded activities must be completed within the grant period which may not exceed 3 years of grant approval date.
5. Required Non-federal Match: A minimum non-federal match of 25% of the total cost of the project (25% of the sum of the federal amount requested plus the non-federal match) is required. It must be spent during the grant term and described in the proposal for a project to be eligible. Applicants must describe in the proposal how the minimum match will be provided, including the match source and amount. Match may include in-kind services, volunteer contributions, or partial funding for the project from a non-federal source. If match will be provided by entities outside of CDFW **a letter on the entity’s letterhead indicating their commitment to provide the described non-federal match contribution must be included with the application.**
6. Grant funds cannot be used for federal compliance or to obtain any federal permits for the project.
7. Grant funds cannot be used to satisfy federal, state, or local regulatory requirements, including mitigation, avoidance, minimization, and monitoring.
8. Funds may be granted for EITHER the planning phase, OR the implementation phase of habitat restoration projects, but not both phases in the same grant cycle.
9. Statement of Qualifications: If the proposed scope of work includes the handling of wildlife or activities that could modify the behavior of wildlife (e.g. broadcast surveys, baiting, etc.), field staff must demonstrate their qualification to carry out project work, including documentation of relevant field experience. A Statement of Qualifications must be submitted with the proposal and include permit numbers and expiration dates for any relevant take authorizations.

10. Landowner Permission: For work proposed to be performed on lands not owned or managed by the applicant, written proof of permission to access the land from the fee title owner or land management agency must be supplied. For projects involving multiple landowners, all landowners or appointed designees must provide written permission to complete the project. Applicants for projects proposing on-the-ground habitat improvement must submit documentation showing that they have adequate tenure to the properties to be accessed, improved or restored for at least 25 years. Proof of adequate land tenure includes, but is not necessarily limited to:
- Fee title ownership
  - An easement or license agreement
  - Other agreement between the applicant and the fee title owner, or the owner of an easement on the property, sufficient to give the applicant adequate site control for the purposes of the project and long-term management
11. Environmental Compliance and Permitting: Activities funded under this program must be in compliance with all applicable state and federal environmental laws and regulations, including CEQA, NEPA, CESA, ESA, and other environmental permitting requirements. State scientific collecting permits, CESA MOUs and federal ESA Recovery Permits must be current for staff identified to conduct work that “takes” or otherwise impacts state or federally-listed species. The applicant is responsible for obtaining all permits necessary to carry out the proposed work. Applicants must identify the project’s expected permitting requirements, state what permits have been obtained or the process through which the permits will be obtained including the anticipated timeframe for obtaining each permit. Proposals for projects that are subject to CEQA and NEPA must identify the state and/or federal lead agencies and document whether the agency or agencies have accepted the lead role. The applicant must coordinate with CDFW prior to proposal submission if CDFW is anticipated to act as CEQA lead agency for the project. Projects that fail to comply with this requirement will not be eligible for funding for this Solicitation.
12. Data and Reports: CDFW’s intent is to improve the management of biological resources over time by incorporating adaptive management principles and processes into conservation planning and resource management. Recipients of ESCRP grants or contracts will be required to submit data and information in a format acceptable to CDFW prior to close of the grant and reports will need to be compliant with requirements of the Americans with Disabilities Act. CDFW-approved format for the types of information generated by a specific project will be provided to the recipient at the time of award and incorporated into any sub-grant agreement or contract.

2019 Grant Cycle Time Line (Subject to Change)\*

<b>**January 31, 2019**</b>	Complete project proposals due to CDFW
<b>**February 2019**</b>	Administrative review (pass/fail)
<b>**March – April 2019**</b>	Responsive proposals ranked by Technical Advisory Committee (TAC), Management Advisory Committee (MAC), and Director
<b>**April 2019**</b>	CDFW forwards selections to USFWS
~August 31, 2019	Awards are announced by USFWS
~September 1 – September 30, 2019	CDFW works with applicants to prepare final grant packages for submission to USFWS

~September 1 – December 31, 2019	USFWS reviews and approves individual grants
~November 15, 2019 – April 30, 2020	Sub-grant agreements prepared and executed by CDFW. Funds available to projects following execution.

Note - projects approved for this current grant cycle will not receive funding until after federal appropriation of funds, approval by the USFWS, and execution of a grant agreement between CDFW and grantee. Funds typically become available for sub-grant project work 3-4 months after grants are approved by the USFWS.

## Review Process

*Administrative Review.* An administrative review will determine if proposals are complete and meet all the requirements for technical review. This review will use a “Pass/Fail” scoring method based on the criteria presented below. Proposals which receive a “Fail” for one or more of the criteria will be considered incomplete and will not be considered for funding under this PSN.

<b>Criteria</b>	<b>Score</b>
Proposal was received by the deadline	Pass/Fail
Applicant is an eligible entity	Pass/Fail
Proposal was developed in consultation with CDFW and USFWS staff	Pass/Fail
Applicant identifies CDFW grant sponsor	Pass/Fail
Proposal is responsive to this Solicitation’s priorities and represents an eligible project type	Pass/Fail
Proposal identifies source of matching non-federal funds or services totaling at least 25% of the total project cost	Pass/Fail
All proposal components have been completed in the required formats, including all proposal forms and associated documents: <ul style="list-style-type: none"> <li>• Proposal Narrative using the 2019 template (maximum 8 pages of text)</li> <li>• Budget Worksheet using the 2019 template (must agree with amounts listed in the narrative)</li> <li>• Statement of Qualifications (if applicable)</li> <li>• Proof of land ownership or landowner permission to conduct work on land (if applicable)</li> <li>• Match commitment letters (if applicable)</li> <li>• Copies of any required permits that are already approved or issued for the project (if applicable)</li> </ul>	Pass/Fail

*Technical Review.* CDFW’s Technical Advisory Committee (TAC) members will evaluate and score proposals using the below criteria:

1. Project is urgently needed to avoid imminent extinction of a federally listed or candidate species.
2. Project is necessary to avoid a significant decline in a federally listed or candidate species' population, distribution, or range; or to avoid or reverse a significant reduction in habitat quality.
3. Project generates scientific information needed to support recovery planning, management, and conservation actions of federally listed, candidate, or recently recovered species.

4. Project will increase the statewide population, distribution, or range of federally listed, candidate, or recently recovered species; or improve habitat quality over a substantial area.
5. Project is the last step to meet federal recovery plan goals.
6. Project is the necessary next identified step from the species' federal recovery plan.
7. Project implements a priority action from the species' federal recovery plan.
8. Project implements an identified recovery action from an effort other than a federal recovery plan.
9. Project implements an important recovery action, although the action has not been identified in an existing plan.
10. Project actively restores, enhances, manages, or preserves a substantial portion of a federally listed, candidate, or recently recovered species' habitat.
11. Proposal demonstrates substantial benefits to multiple Species of Greatest Conservation Need as identified in the [State Wildlife Action Plan](#) (see Appendix C).
12. Project objectives include the following "SMART" elements: Specific, Measurable, Achievable, Relevant and Time-bound.
13. Proposal identifies appropriate, defensible, and achievable scientific and non-scientific methodology.
14. Project includes an education and/or outreach effort AND the proposal demonstrates how the education or outreach will substantially benefit one or more federally listed, candidate, or recently recovered species.

*Management Review.* TAC proposal scores are provided to the Management Advisory Committee (MAC). MAC then ranks the proposals based on a combination of considerations, including TAC scores, responsiveness to the priorities identified in this PSN, project budget, match, cost share, and past performance of grantee. The list of proposals recommended for funding is then based on a combination of TAC and MAC ranks, as well as other factors such as final Cooperative Endangered Species Conservation Fund apportionment to California, relative distribution of funds between priorities and geographic provinces, and other regional management and statewide considerations that extend beyond technical scientific and conservation benefit. The Director of CDFW, or his designee, has the discretion to adjust project ranks or recommended funding levels in order to address any conservation issues critical to the state at the time. A list of the highest ranked proposals is then conveyed to the USFWS.

*USFWS Review and Award.* USFWS Traditional Section 6 Program staff reviews CDFW's list of proposals to ensure they meet the eligibility requirements of the federal program and to confirm USFWS Field Office staff were consulted by project proponents to identify priority species recovery actions. Once top proposals which address the priorities of both the CDFW and the USFWS are identified, the USFWS Regional Office forwards the selected grants to USFWS headquarters and the US Department of Interior (USDOI) for review. When the proposals are approved by USDOI and the USFWS, the USFWS Regional Director will issue a letter to CDFW announcing which proposals have been awarded funding. The number of proposals selected will be determined by the size of the federal allotment and the budgets of the top ranked proposals.

*Final Approval Process.* Once the final list of projects to be awarded is announced by the USFWS, CDFW prepares a Federal Assistance Application (FAA) package for submittal to USFWS. CDFW grant sponsors are responsible for managing the grant from start to finish,

including all sub-grants or contracts. The CDFW grant sponsor will work with any sub-grant awardees and CDFW's Federal Assistance Section to prepare the required elements of the FAA. At this time in the process, the grant proposal and budget may be updated to reflect current project status, rates, and the approved funding level. Significant changes in scope and funding are not allowed. The FAA is then submitted to USFWS for their review and approval (assuming federal funds for the ESCRCP have been appropriated in the current budget year). Once the grant is approved and funding is obligated by USFWS, CDFW commences processing of any sub-grants or contracts associated with the project. Funding is not available for use by non-CDFW applicants until a sub-grant or contract is fully executed between CDFW and the sub-grantee or contractor.

### Proposal Forms and Templates

[2019 ESCRCP Proposal Template](#)

[2019 ESCRCP Budget Worksheet](#)

For questions regarding this PSN or the ESCRCP program, please contact Daniel Applebee at [Daniel.Applebee@wildlife.ca.gov](mailto:Daniel.Applebee@wildlife.ca.gov) or 916-323-1575.