

**APPLICATION FOR CERTIFICATE OF FINANCIAL RESPONSIBILITY FOR
OWNERS OF OIL**

(***WARNING: For security purposes all ZIP files transmitted to DFW/OSPR via e-mail will be returned undeliverable***)

(Please type or print clearly in English when completing this application. Refer to page 6 of this application packet for instructions)

SECTION A. General Information – Applicant

1. Company name of applicant, mailing address, telephone number, facsimile number and e-mail address. Include English equivalent of legal name if customarily written in a language other than English.

Company name:

Mailing address:

Telephone number:

Facsimile number:

E-mail address:

2. If a subsidiary or not wholly owned, provide the following information:

a. Name and address of parent corporation/owner entities:

b. Date of incorporation:

c. State or foreign country in which incorporated:

3. Address of applicant's principal office in the U.S., if any:

4. Company name, mailing address, telephone number, facsimile number, and e-mail address of financial person to contact if necessary to clarify this application:

Company name:

Mailing address:

Telephone number:

Facsimile number:

E-mail address:

5. Name and street address (not a P.O. Box) where the certificate(s) should be delivered, and telephone number:

SECTION C. EVIDENCE OF FINANCIAL RESPONSIBILITY

1. Owners or operators of tankers and large barges, and owners of oil must demonstrate the financial ability to pay at least one billion U.S. dollars (USD \$1,000,000,000) for damages arising from an oil spill.

These amounts are in effect unless amended by Section 8670.37.53(a) of the Government Code.

2. Applicant must check all method(s) to be used to demonstrate evidence of financial responsibility in item 4 below. For each method used attach copies of the required evidence as indicated below.

3. **Method(s) for demonstrating financial responsibility** (Indicate the method or methods selected):

Insurance. Submit any of the following evidence:

1. Insurance policy or other documentation, and a certificate of insurance specifying the nature, type, amount of insurance, and any conditions and limitations in the coverage for marine pollution liability; or
2. A certificate of insurance that is acceptable to the Administrator and an endorsement executed by the underwriter or insurer which contains the language set forth in the California standard endorsement. Refer to the California Endorsement for Vessels Calling upon California- Oil Spill Liabilities, DFW Form 1930 (8/15) and the California Endorsement for Facilities - Oil Spill Liabilities, DFW Form 1962 (3/15); or
3. Similar or comparable assurance acceptable to the Administrator.

If an insurance policy or broker's cover note is being submitted as evidence for excess coverage over and above a P&I Club policy, then one of the following is required:

- a. Language that the policy covers damages as specified in Government Code Section 8670.56.5; or
- b. The insurance policy shall be signed by the underwriter and shall state that the insurance policy covers the assured's legal liability for oil pollution claims, and is issued under the same terms and conditions as the underlying P&I Club policy; or
- c. The underwriter shall acknowledge in writing that the broker has the authority to include language in the broker's cover note that the insurance policy covers the assured's legal liability for oil pollution claims, and is issued under the same terms and conditions as the underlying P&I Club policy.

When multiple underwriters are providing coverage, the underwriter who sets rates and conditions shall execute the appropriate documents.

[Refer to Title 14, California Code of Regulations, Section 795(a) for complete information regarding this method for demonstrating financial responsibility.]

Other

[E.g., self insurance, surety bond, etc.]

SECTION C. DECLARATION

(Must be completed by all applicants)

I, _____ (print name) am the applicant, or I am a principal of the applicant, an authorized agent, or official of the applicant, and have the authority to sign this application on behalf of the applicant. I DECLARE under penalty of perjury that I have examined this application, including all accompanying schedules and statements, and to the best of my knowledge, information and belief, find it to be true, correct, and complete. Furthermore, it is agreed that the applicant named in Section A of this application is the responsible party in the event of an oil spill. I execute this declaration in my capacity as applicant, principal of the applicant, official of the applicant or as the authorized agent as evidenced by the delegation of authority provided below:

Date

Signature

Title or Official Capacity

* Note: If the Declaration is signed by an authorized agent of the applicant, the applicant or a principal of the applicant must sign the following Section D. Delegation of Authority:

SECTION D. DELEGATION OF AUTHORITY BY THE APPLICANT

(Must be completed by the applicant or principal of the applicant if the above declaration has been executed by an agent acting on behalf of the applicant)

I, _____ (name of the applicant) hereby

declare that _____ (name of authorized agent whose signature appears in Section C) is authorized to submit an application for a California Certificate of Financial Responsibility on behalf of the applicant.

Date

Signature

Title or Official Capacity

INSTRUCTIONS

Please type or print clearly in English when completing this application.

1. Submit completed application by selecting one of the following methods:

U.S. Mail or Courier Service:
Department of Fish and Wildlife
Office of Spill Prevention and
Response (OSPR)
1700 K Street, Suite 250
Sacramento, California 95811

Fax (916) 323-4727:

E-Mail: cacofr-tank@wildlife.ca.gov
***WARNING: For security purposes
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via e-mail will be returned undeliverable***

2. Miscellaneous application instructions:

If a question does not apply, answer "not applicable."

Applications which are incomplete will not be processed until receipt of the additional information needed to complete processing

If additional space is required, supplemental sheets may be attached.

3. Certificate Renewal Process:

Certificate renewal applications are sent to the applicant approximately seventy-five (75) days prior to the expiration of the existing certificate. In order to renew a certificate, renewal applications must be submitted prior to the expiration date.

Please contact the Financial Responsibility Unit at (916) 324-0003 if you have any questions.