

**2019 FHR PSN**  
**APPENDIX E**  
**FUNDING APPROVAL SUBMISSIONS**

## Funding Approval Submissions

If a proposal is funded, the grantee must submit additional information before a grant agreement is prepared and executed. Special requirements for various agreements are explained below. The applicable forms described in this section are for informational purposes only. **Do not submit these forms with your proposal.** When applicants are notified that their project has been approved for funding, they shall supply the information and/or complete, sign, and return the forms provided if not already on file.

- *Final Resolution of Project Approval* – If the applicant is a public entity, such as a Resource Conservation District, city, county, water agency, etc. that has a governing body, then a resolution of project approval from the governing body will be a requirement of entering into an agreement. It is suggested that the governing body be made aware of the proposal and be prepared to submit the resolution when returning the signed agreement. Nonprofit organizations do not fall into this category.
- *Certification of Nonfederal Contributions: In-kind/Third Party.* Applicants that have identified nonfederal cost share will be asked to sign and submit a certification which allows FRGP to use those funds as federal match. Supporting documentation of cost share expenses must be maintained by the grantee and a summary will be required as part of the Final Report of the grant.
- *Payee Data Record form (STD. 204)* The State of California is required to file reportable payment information with the Internal Revenue Service (IRS) and the Franchise Tax Board (FTB) in accordance with Section 6041 of the IRS code and Section 18802 of the State's Revenue and Taxation Code (<http://nrm.dfg.ca.gov/FileHandler.ashx?DocumentID=152218>).
- Federal Taxpayer ID Number
- *Final Landowner Agreements* will be required for all projects which require access to private or public lands. Agreements must include reasonable access by the grantee and CDFW or its agents for oversight of project implementation, inspection, monitoring, and post-project evaluation for a period of 10 years following completion of the project. CDFW and its representatives shall have access to the project site at least once every 12 months from the start date of the grant for 10 years, or an appropriate term negotiated prior to grant execution. CDFW shall provide advance notice to landowners prior to accessing the project site. Agreements should also outline the terms of maintenance for the project for a 10 year period. Additional landowner agreement requirements apply by project type.
- *A Drug-Free Workplace Certification (STD. 21)* will be required for all grants regardless of grant dollar amount. Federal and State agencies and public entities such as Resource Conservation Districts are excluded from this requirement (<http://www.documents.dgs.ca.gov/dgs/fmc/pdf/std021.pdf>).
- *Federal Funding Accountability and Transparency Act 2006 Contractor Certification (DFW 868).* Any project receiving federal funds as part of the grant award is required to complete this form. The form will be included in the grant package.
- *NOAA performance measures for each worksite.* Performance measures are not required

in the 2019 FHR application, but if awarded the grantee will be required to update WebGrants with worksite performance measures (see Appendix G for performance measures).

- Update the budget in WebGrants to reflect the proposed Detailed Project Budget Spreadsheet. Applicants should only input budget category subtotals in WebGrants, but provide an itemized Detailed Project Budget Spreadsheet as a supplemental document (see Appendix A & B and WebGrants for budget instructions).

### General Terms and Conditions

Successful applicants must agree to the appropriate terms and conditions for their entity type. In accordance with AB 20, awarded University of California and California State University applicants must agree to the [UTC-116 - University Terms & Conditions - Exhibit "C" for University of California and California State University Agreements](#) (UTC-116 Exhibit C). All other awarded entities must agree to the CDFW General Grant Provisions. UTC-116 Exhibit C and the [CDFW General Grant Provisions](#) include information regarding audits, amendments, liability insurance and rights in data.

Once grant is awarded and the grant is executed, actions of the grantee that may lead to suspension or cancellation of the grant agreement include, but are not limited to:

- Failing to commence work within one year of execution date of the grant.
- Withdrawing from the grant program.
- Failing to complete proposed water right changes/dedications.
- Failing to submit required documentation within the time periods specified in the grant agreement.
- Failing to submit evidence of environmental or permit compliance as specified by the grant agreement.
- Changing project scope without prior approval from CDFW.
- Failing to complete the project.
- Failing to demonstrate sufficient progress.
- Failing to comply with pertinent laws.