



The California Department of Fish and Wildlife (CDFW) is required, under the Federal Funding Accountability and Transparency Act of 2006 (FFATA), to report specific information about sub-recipients of Federal funding ([www.fsr.gov](http://www.fsr.gov)). As a sub-recipient you are required to complete this certification and return it to CDFW with your final agreement package (§200.302). Failure to comply will result in an incomplete package and may remove it from consideration or execution.

Sub-recipients that meet the parameters below are exempt from the System for Award Management (SAM) registration and reporting requirements, but still must complete this certification. If there is any change in the Sub-recipient's business status, organization, or operation that results in loss of the exemption, the sub-recipients must register in the SAM and provide a new FFATA Sub-Recipient Certification to the CDFW payable grant coordinator.

All Sub-recipients receiving \$30,000 or more must provide the following information to meet FFATA reporting requirements. Please fill out the following form accurately and completely.

<b>Sub-recipient Unique Entity ID (UEI) (SAM):</b>		<b>Sub-recipient Name:</b>	
<b>Sub-recipient Address:</b>			
<b>City:</b>	<b>State:</b>	<b>Zip:</b>	<b>Congressional District:</b>
<b>Sub-recipient Principal Place of Performance:</b>			
<b>City:</b>	<b>State:</b>	<b>Zip:</b>	<b>Congressional District:</b>
<b>Sub-recipient may be exempt from the sub-award reporting requirements if they meet the parameters set forth by FFATA. Please answer the following two questions and follow the instructions:</b>			
<b>Q1.</b> In your business or organization's previous fiscal year, did your business or organization (including parent organization, all branches and all affiliates worldwide) receive (1) 80% or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, sub-grants and/or cooperative agreements and (2) \$25,000,000 or more in annual gross revenue from U.S. Federal contracts, subcontracts, loans, grants, sub-grants and/or cooperative agreements?  Yes <input type="checkbox"/> If yes, you must answer Q2.  No <input type="checkbox"/> If no, you are not required to provide data.		<b>Q2.</b> Does the public have access to information about the compensation of the senior executives in your business or organization (including parent organization, all branches, and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Security Exchange Act of 1934 (5 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue code of 1986 (i.e., on IRS Form 990)?  Yes <input type="checkbox"/> If yes, you are not required to provide data.  No <input type="checkbox"/> If no, you must provide names and total compensation of the top five officials.	
<b>Names and total compensation of the top five officials:</b>		<b>Name:</b>	<b>Amount:</b>
<b>Name:</b>	<b>Amount:</b>	<b>Name:</b>	<b>Amount:</b>
<b>Name:</b>	<b>Amount:</b>	<b>Name:</b>	<b>Amount:</b>

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY, that I am duly authorized by the prospective Grantee, Sub-Awardees, or Sub-Recipient to execute this certification and that the information listed above is true and accurate. This certification is made under the laws of the State of California

<b>Printed Name:</b>	<b>Position Title:</b>	<b>Date Executed:</b>
<b>Signature:</b>		<b>County where Executed:</b>

CDFW INTERNAL USE ONLY		
<b>Payable Grant Number</b> (Include amendment # if applicable):	<b>Project Title:</b>	
<b>FAS Staff Name:</b>	<b>This agreement is funded by:</b> <input type="checkbox"/> Grant <input type="checkbox"/> Contract	<b>FFATA Report Submittal Date</b> (Date entered into FSRs):
<b>FAS Comments:</b>		