

Guidance on required spill management team documentation for new contingency plan submissions and renewals

Beginning January 1, 2023, all new contingency plans and 5-year resubmittals must include documentation of a certified SMT. The SMT must be certified to a Tier that accounts for the RWCS volume listed in the plan, and the certification must cover all geographic region(s) in which the facility or vessel operates (see Title 14, California Code of Regulations § 830.3).

To be approved by OSPR, a new plan or resubmittal must include the documentation described in the table below. Vessel or facility owners or operators who are using internal personnel for their certified SMT must provide the SMT number corresponding to an application that has been approved by OSPR. Owners or operators who are relying wholly or partially on external personnel for their certified SMT must also provide documentation of a contract or other approved agreement with the external SMT provider(s). If a plan holder is relying exclusively on an external SMT, then documentation of a contract or agreement with the external SMT(s) is sufficient.

Submission Type	SMT includes Plan Holder Personnel <i>only</i>	SMT Includes both Plan Holder and External Personnel	SMT includes External Personnel <i>only</i>
New Contingency Plan	SMT Number for a Complete Application	SMT Number for a Complete Application & documentation of contract/agreement	Documentation of contract/agreement with external provider(s)
Renewal/Resubmittal of Approved Contingency Plan	SMT Number for an Interim Certification	SMT Number for an Interim Certification & documentation of contract/agreement	Documentation of contract/agreement with external provider(s)