25. Application For a Restricted Species Permit Amendment

Today's Item Information \square Action \boxtimes

Review an application for a restricted species permit amendment to import, possess, transport or rear, or conduct research on, transgenic painted urchins and transgenic sea squirts, and take action, if warranted.

Summary of Previous/Future Actions (N/A)

Background

Pursuant to Section 671.1, subsection (a)(8)(H), when the Department approves a restricted species permit for transgenic aquatic animals, the Commission must review the Department's determination during a regularly scheduled public meeting. The Commission may deny the issuance of a permit if it determines the applicant cannot meet regulatory requirements for importation, transportation, possession, and confinement.

In August 2024, the University of California San Diego (UCSD) submitted an application to the Department to amend its existing restricted species permit to authorize the use of transgenic painted urchins and sea squirts for research purposes (Exhibit 1). The transgenic organisms would be generated from existing in-house colonies. The applicant submitted an updated emergency action plan as required.

On January 31, 2025, the Commission received a memo from the Department indicating that its Marine Region staff and Shellfish Health Lab reviewed the permit application and assessed UCSD's proposed confinement and security measures for the transgenic urchins and sea squirts against regulatory requirements. The Department noted that UCSD agreed to comply with confinement and security conditions specified in Commission regulations in Section 671.1. Based on its satisfaction that UCSD can meet these conditions, the Department recommended the permit's issuance (Exhibit 2). The Department's recommendation emphasized UCSD's commitment to these conditions. However, while highlighting its review of containment and security obligations, the Department's memo does not address UCSD's ability to meet importation and transportation obligations.

February Commission meeting

At its February 2025 meeting, the Commission reviewed an <u>application for a new restricted</u> <u>species permit</u> from another research facility, for transgenic zebrafish. As part of the application process, the research facility disclosed that a UCSD researcher relocated transgenic zebrafish from UCSD to its facility, even though the facility was not yet permitted to house restricted species. Subsection (a)(8)(C) of Section 671.1 prohibits the movement of live transgenic aquatic animals from a permitted facility unless authorized by the Department.

The applicant's disclosure indicates that on September 3, 2024, wild-type embryos were transported by car from UCSD in La Jolla to the facility in Los Angeles to begin establishing a zebrafish colony; transgenic zebrafish were then transported from UCSD to the other facility between September 20 and October 10, 2024. UCSD did not request authorization from the Department to move live animals to Los Angeles; the other facility notified the Department of

Author. Susan Ashcraft 1

Staff Summary for April 16-17, 2025

the movements on October 11, 2024 and immediately notified its research team that no research was to be conducted using the zebrafish until a permit was obtained.

As of November 1, 2024 there were approximately 25 tanks containing just over 700 fish at the then-unpermitted zebrafish facility, where the fish continued to receive care at levels applied at the UCSD facilities. In early April 2025, staff learned that UCSD was still unaware that live restricted species had been transported from its campus to a facility in Los Angeles, in multiple instances, without Department notification or authorization or use of UCSD's internal protocols for the movement of restricted species.

At the February Commission meeting, after staff highlighted the unauthorized animal movements, the Commission directed staff to send a letter to UCSD underscoring the importance of permit compliance and urging diligence in training and monitoring its students, staff, and faculty. Staff sent a letter to UCSD (Exhibit 3) and has been in communication with Department staff and UCSD directly. Under the circumstances, staff questions whether UCSD's current training, monitoring and compliance protocols are adequate "to meet all regulatory requirements for importation, transportation, possession, and confinement of transgenic aquatic animals", as outlined in Section 671.1. Staff also notes that the Department's recommendation to allow the requested permit amendments was formulated prior to realizing UCSD's unauthorized actions.

Significant Public Comments (N/A)

Recommendation

Commission staff: Continue this item to a future meeting. Request that UCSD submit documentation of its review of the identified incident and its plans to ensure diligence in training and monitoring of its students, staff, and faculty to demonstrate how UCSD will ensure consistent permit compliance.

Department: Allow the issuance of the permit.

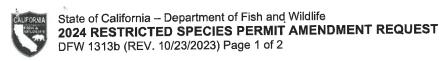
Exhibits

- 1. <u>UCSD application for a permit amendment, received January 31, 2025 (received by</u> the Department August 22, 2024)
- 2. <u>Department memo, received January 31, 2025</u>
- 3. Letter from executive director to UCSD, dated April 11, 2025

Motion

Moved by _____ and seconded by _____ that the Commission continues this item to a subsequent meeting and requests the University of California San Diego to submit documentation of its plans to ensure diligence in training and monitoring of its students, staff, and faculty that will demonstrate how UCSD will ensure satisfactory and consistent restricted species permit compliance.

Author. Susan Ashcraft 2





IMPORTANT! YOU MAY NOT OBTAIN ANIMALS PRIOR TO AMENDMENT APPROVAL

Fees include a nonrefundable three percent (3%) application fee, not to exceed \$7.50 per item. (Section 700.4, Title 14, California Code of Regulations CCR).

SEE INSTRUCTIONS ON PAG			,						
FIRST NAME		M.I. LAST NAME			¥:		PERMIT	NUMBER	1
Marisela J		Diaz				1729			
BUSINESS NAME						DAY TELEPHONE 858-534-4263			
University of California, San	Diego					1		mont tha	t anah
LOCATION OF ANIMAL(S) HO of those facilities meet the minim 671.3-671.4 and/or 671.7(b), Title	um applicable housing re	peing held at mu equirements as s	Itiple locations set forth in sub	s require inspection esection 671.1(a)	(8)(A-F), \$	Sections	ie Departi	nent tha	l each
ADDRESS	5 14, 01 110 0011.	CITY	STATE						
9500 Gilman Drive	La Jolla		CA	92093		San Diego			
ADDRESS		CITY	Y STATE ZIP			CODE COUNTY			
List all restricted animals TO BE Use the following letters to den animals to be acquired within t 671.1(c)(3)(J), Title 14, of the common the ID number field a Only Section below for animals California Wildlife Rehabilitation 5846 or SPU@wildlife.ca.gov if	ote sex: M=Male, F=Fe he next year. Unique le CCR): M=Microchip, T= and identify either W=We being imported into Ce on Facility require a Ne	male, and U=N dentifiers: Use Tattoo, and A= eight, V=Volume alifornia. For Ca ative Species E	eutered or Ur the following Alternative Me or C=Count alifornia Resid	letters to denote thod. Aquacultrin the method fieldents Only: All remit. Contact the	e unique ure and fi eld. Reme native sp	identifying ish permitember to decies ob and Reve	g method ttees: Ide complete tained fr	Is (See entify the the Improm a lice)	Section e actual ortation censed
COMMON NAME	SCIENTIFIC NAME			ID NUMBE	:R	METHOD		SEX	AGE
Jerboa, Lesser Egyptian	Jaculus Jaculus			1		Α		U	All
Spiny Mouse	Acomys cahirinus			1		Α		U	All
Painted Urchin	Lytechinus pictus			1000		С		U	All
Sea Squirt	Ciona intestinalis	200		С		U	All		
WILL ANIMALS BE IMPORTED Jerboas, painted urchins, see		·		RTATION SECTION SECTIO	PN .	₩ NO, E	XPLAIN:		
Spiny mice will be received	from a peer academ	ic institution							
IMPORT ONLY: COMPLETE T	HIS SECTION IF YOU AR	E IMPORTING A	NIMALS INTO	CALIFORNIA					
LIST SPECIES TO BE IMPORTED N					UMBER OF ANIMALS		ORIGIN (State or Country)		
Spiny mouse				1	Connecticut DAY TELEPHONE				
PERSON/BUSINESS SHIPPING A	NIMALS					DAY	LEPHONE	=	
Yale University, Animal Care			STATE		ZIP CC	DE			
			New Haven			СТ		06520)
300 Cedar St, PO Box #208003 NAME OF CARRIER			POINT OF ENTRY INTO CALIFORNIA						
To be determined.			To be dete	rmined.					
I certify under penalty of perjury unviolating any city or county laws. I a which was obtained by fraud or dec the permit will be considered invalic comply with the terms and condition punishable by fine of not more than may be	agree to comply with the present (Fish and Game Code of and must be surrendered sof a permit may result in the strong of a permit of the strong of a permit of the strong of a permit of the strong of the s	ovisions of Section Section 1052b). I If where purchase revocation of curre	on 671, Title 14, understand that ed and I will be ent permit and/o for not more th	of the CCR. I und t in the event that t subject to crimina or denial of future p	lerstand it his informa I prosecuti ermits. Vio	is unlawful ation is fou ion. I furthe lation of thi	I to use or Ind to be u er understa is section i	possess ntrue or i and that i s a misde	a permit ncorrect, failure to emeanor,
APPLIC						DATE 14/24			
FOR DE REVIEV	DNLY TRANSACTION#			ISSUED BY/DATE					

INSTRUCTIONS FOR COMPLETING THE RESTRICTED SPECIES PERMIT AMENDMENT REQUEST

Use this form to: 1) add species you are not currently authorized to possess; 2) increase the number of animals where there are condition limitations; or 3) add/change facility locations.

Please allow 45 business days for processing your request. Amendments for transgenic species must go before the Fish and Game Commission, so you must allow an additional 30 business days. Incomplete requests will be returned and could delay the issuance of your amendment. Contact the Department of Fish and Wildlife (Department), License and Revenue Branch at (916) 928-5846 or SPU@wildlife.ca.gov if you need additional information regarding Restricted Species Permits.

To complete this application, you must:

- 1. It is mandatory to complete all items unless exempted.
- 2. Sign and date the amendment request in ink (an original signature is required).

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- 3. Provide a list of animals to be acquired.
- 4. Provide a statement of purpose describing in detail the planned use for each animal. Applicants shall include relevant materials, as appropriate, including any lists of prospective clients with their contact information or contracts with clients or websites, scripts, brochures or flyers promoting or describing the planned use of the animals. If the animals will be used in an educational program, the applicant shall provide an explanation why live restricted species are necessary and samples of the educational material and message that will be distributed (not required for animal care, AZA, breeding, research and single event breeding permittees).
- 5. Provide a resume that provides dates and details documenting you or your full-time employee's qualifying experience caring for restricted animals at a facility engaged in a similar or directly related activity to the permit requested and for the animal(s) to be acquired. This experience shall have been acquired within the previous five years and include a total of at least one year full-time, hands-on experience caring for a species in the same family or closely related taxonomic family as the species requested (required for breeding, exhibiting, nuisance bird abatement, shelter and single event breeding permittees only).
- 6. Provide a letter of recommendation, written within the previous five years on **letterhead stationery**, with an <u>original</u> signature, from the facility where you or your full-time employee gained the experience. Document the quality and extent of the knowledge and experience, as related to the species and permit requested (required for breeding, exhibiting, nuisance bird abatement, shelter and single event breeding permittees only).
- 7. Provide an updated copy of your Emergency Action Plan that includes the new species.
- 8. Provide an updated Breeding Plan that includes the new species (required for breeding and single event breeding permittees only).
- 9. Provide photograph(s) of the enclosure(s) for animal(s) to be acquired that includes all required elements of the minimum standards as specified in Section 671.3.
- 10. Provide any other supporting documentation required by regulations.
- 11. Mail the completed application and supporting documentation with a cashier's check, money order, personal or business check*, or credit card** authorization form with the appropriate fee to the Department of Fish and Wildlife, License and Revenue Branch, PO Box 944209, Sacramento, CA 94244-2090 or apply in person. **DO NOT SEND CASH**.

IMPORTANT INFORMATION FROM THE DEPARTMENT OF PUBLIC HEALTH

The Department of Public Health (CDPH) has regulatory authority over the importation of specified carnivores (including skunks and raccoons), nonhuman primates and bats, due to potential health hazards.

Section 2606.8, Title 17, of the CCR, prohibits the importation of skunks because the hazard to the public from exposure to rabies is extremely high. The CDPH is concerned that certain wild animals could carry rabies and introduce new strains of rabies into the state of California. Therefore, the Department routinely denies requests for the importation and possession of skunks and raccoons. Exceptions may rarely be made for zoological or research institutions demonstrating an extraordinary need. The importation of other specified carnivores, bats or nonhuman primates may be allowed under a CDPH permit in certain circumstances. For more information on CDPH permits, please contact them at (916) 552-9740.

NOTICE

Disclosure Statement—Under Section 671.1, Title 14, of the CCR, the Department of Fish and Wildlife is authorized to collect information from applicants to maintain a record of licensure. All information requested on this application is mandatory unless otherwise indicated. All information except the street address and telephone number of the applicant may be provided to the public, if requested. All information related to a business may be released, including the residence address if it is the same as the business address. Other personal information submitted on this application may be released for law enforcement purposes, pursuant to court order, or for official natural resources management purposes.

A licensee may obtain a copy of his/her license records maintained by the Department by submitting a written request to the Custodian of Records, at the Department of Fish and Wildlife, License and Revenue Branch, PO Box 944209, Sacramento, CA 94244-2090. All requests must include the requester's name, address, and telephone number.

PAYMENT POLICY

**Credit Cards—Licenses, permits, tags, stamps, or registrations may be purchased with a Visa or MasterCard.

^{*}Personal or business checks will be accepted by the Department if name and address are imprinted on the check. Checks returned to the Department due to insufficient funds will render your permit invalid. The Department may also deny the issuance or renewal of any permit if a person has failed to reimburse the Department for the amount due. Any activity performed without a valid permit is a violation of the Fish and Game Code and therefore subject to enforcement action.

Statement of Purpose

Species	Purpose				
Jerboa, Lesser Egyptian	These animals will be used to study the molecular				
	mechanisms of limb evolution.				
Spiny Mouse	Animals will be used to study wound healing				
	properties as it relates to cardiomyocyte				
	proliferation following cardiac injury,				
Transgenic Painted Sea Urchin	These animals will be used to study drug				
	metabolism in the embryo, and generating				
,	transgenic resources for sea urchin				
	developmental biology.				
Transgenic Sea Squirt	Animals will be used to understand how the				
·	genome encodes development and the types of				
	changes within the non-coding genome that				
	contribute to disease.				

RECEIVED

AUG 23 2024

BY LR3

UCSD Emergency Action Plan for Detrimental Species

(Pursuant to Section 671.1 (c)(3)(I), Title 14 of the CCR) Revision date: August 13, 2024

Fulfillment Subsection Requirement Nets for rodents, fish, amphibians, and aquatic invertebrates. Traps for Re-capture equipment available a. Fish, amphibians: Immersion in tricaine methane sulfonate (MS-222) or Description of human lethal dispatch b. methods for various animals and a injection with approved barbiturate euthanasia agent. list of qualified personnel who are Aquatic invertebrates: Immersion in tricaine methane sulfonate (MS-222), trained to carry out the methods injection with approved barbiturate euthanasia agent, or exposure to cold/freezing temperatures. Rodents: Injection with approved barbiturate euthanasia agent or inhalation of CO2 gas. List of qualified personnel: Trained UCSD animal technicians, supervisors, veterinarians, and research staff. EMERGENCY MEDICAL SUPPLIES and kits containing MEDICAL SUPPLIES in List of medical supplies/first aid kits с. animal facilities and labs. and where they are located Tanks, cages, and crates of varied and appropriate sizes. Description of mobile transport d. cages and equipment on hand UCSD Security: (858) 534-4357 List of emergency telephone e. numbers that includes the local San Diego Police Department: 858-552-1700 or 911 department regional office, 911, and animal control agencies CA Dept. Fish & Wildlife Dispatch: 951-443-2944 CA Dept. Fish & Wildlife South Coast Region: 858-467-4201 County of San Diego Animal Control 619-236-2341 As an AALAC accredited institution, UCSD has an extensive campus-wide f. Written plan of action for emergency action plan. Below are three specific aspects of it, as it relates to emergencies detrimental animal species. Evacuation plan: In case of an emergency that would necessitate evacuation, established written guidelines would be followed that include treatment of injured persons, routes of escape, containment of all animals, coordination with on and off campus response agencies, and accounting for all staff members. Animal attack: In case of animal attack, personnel would contain and control the animal, ensuring that it is in an appropriate enclosure for the particular species, administer first aid to the victim, call on or off campus medical personnel if necessary for assistance, call the Campus Veterinarian or on-call veterinarian for assistance if needed, and report the incident to the appropriate personnel.

Animal escape: If an animal escape occurred, UCSD Animal Care Program

personnel would recapture the animal using the appropriate equipment for the species involved and report the escape to the proper on and off campus authorities.

This information applies to all species listed on permit #1729 for the University of California, San Diego:

Location Species UCSD Campus 9500 Gilman Drive, La Jolla CA 92093 Xenopus laevis UCSD Campus 9500 Gilman Drive, La Jolla CA 92093 Xenopus tropicalis UCSD Campus 9500 Gilman Drive, La Jolla CA 92093 Jerboa, Egyptian UCSD Campus 9500 Gilman Drive, La Jolla CA 92093 Spiny mouse UCSD Campus 9500 Gilman Drive, La Jolla CA 92093 Salamander, Mexican UCSD Campus 9500 Gilman Drive, La Jolla CA 92093 Transgenic Zebrafish UCSD Campus 9500 Gilman Drive, La Jolla CA 92093 Transgenic Painted Sea Urchin UCSD Campus 9500 Gilman Drive, La Jolla CA 92093 Transgenic Sea Squirt

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Housing of Spiny Mice

Procedures:

- 1. Spiny mice will be housed in standard laboratory ventilated mouse or rat cages within a controlled-access vivarium.
- 2. Examples of cages and racks include:



a.



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b.



Expiration Date: September 15, 2023

United States Department of Agriculture

Marketing and Regulatory Programs

This is to certify that UNIVERSITY OF CALIFORNIA-SAN DIEGO

Animal and Plant Health Inspection Service is a registered Class R - Research Facility under the

Animal Care

Animal Welfare Act

(7 U.S.C. 2131 et seq.)

Certificate No. 93-R-0437

Customer No. 9196

AUG 23 2024

Deputy Administrator

From: Krueger, Lauren < lkrueger@UCSD.EDU>
Sent: Monday, September 23, 2024 12:33 PM

To: Hayes, Alyssa@Wildlife

Cc: Yang, Xao

Subject: Re: 2024 Restricted Species Amendment - UC San Diego

Attachments: MOU_Amendment_LDK_Sep24_signed.pdf; UCSD OLAW Approval.pdf; 1 AAALAC

Verification letter - # 000503.pdf

WARNING: This message is from an external source. Verify the sender and exercise caution when clicking links or opening attachments.

Hello Alyssa,

Thank you again for your time and support with this amendment.

Please find my responses to your questions below in red:

- Inventory/TBA.
 - a. On your amendment you listed the following animals as additions to your permit:
 - Lesser Egyptian jerboa (*Jaculus jaculus*) this species is already approved on your permit.
 - 1. This species does not appear in print on our 2024-2025 permit.
 - ii. Spiny mouse (Acomys cahirinus)
 - 1. Yes this is correct.
 - iii. Painted urchin (*Lytechinus pictus*) is this animal transgenic? This species is not restricted unless it is transgenic.
 - 1. Yes this animal is transgenic.
 - iv. Sea squirt (*Ciona intestinalis*) is this animal transgenic? This species is not restricted unless it is transgenic.
 - 1. Yes this animal is transgenic.
- 2. USDA license.
 - a. The USDA license that was provided with the amendment has since expired (9/15/2023). Do you have an updated USDA license?
 - i. The requirement to update a research facility's registration every 3 years has been eliminated (https://www.aphis.usda.gov/animal-care/awa-services/faqs-awa-research-facility-registration-updates-reviews-reports).
- 3. Self-inspection report (MOU).
 - a. As an MOU facility, UC San Diego is permitted to conduct its own inspections by an accredited USDA veterinarian. These self-inspection reports are required per your original MOU agreement. Please see below:

INSPECTION REQUIREMENTS

- The ELE shall inspect research facilities as defined by CCR, Title 14, Section 671.1, subdivision (b)(9), once per year. If non-compliance is found, additional inspections shall follow to establish subsequent full compliance. If compliance is not accomplished within 45 days of initial inspection the ELE must notify the Department.
- The ELE shall send an annual report describing the findings of the inspection. The report shall include:
 - a. The name of the facility inspected;
 - b. An inventory of what animals were inspected;
 - c. The date(s) of inspection;
 - d. What caging requirements (i.e. current version of the Guide for the Care and Use of Laboratory Animals) were used to inspect the facility;
 - e. Proof of the facility's Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC) accreditation status;
 - f. If the facility was in compliance with the standards set forth in CCR. Title 14, sections 671.2, 671.3(e), 671.4, and 671.7 if applicable:
 - g. The name and signature of the ELE (or its representative if the ELE is a Research Entity), date, and the USDA Accreditation
 - h. If violations are found, a detailed description of the violations including citations describing the specific sections violated;
 - i. If violations are found, a current status of the violation (if proper changes have occurred to bring the research facility back into compliance).
- 3. The report shall be sent to the Department with the annual permit renewal.
- This MOU will be valid for 5 years with annual renewal and the annual ELE/MOU renewal fee paid.
 - Please submit an updated inspection report with the above criteria fully reflected in your current report.
 - Please find attached an updated inspection report as well as our AAALAC accreditation letter.

4. Proof of federal program.

- a. It is required for every research permittee to submit proof of inclusion in a federal program pursuant to California Code of Regulations (CCR), Title 14, Section 671.1 (c)(3)(L).
- Please submit paperwork that support your facility is part of a federal program as highlighted above.
 - Please find attached our OLAW assurance.

Please let me know if I can provide you with any additional information.

Thank you again for your time and have a nice day!

Kind regards,

Lauren

Lauren Krueger, BVM&S AFHEA MRCVS DACLAM **Associate Director Animal Care Program** University of California, San Diego

Office: (858) 822-4580

Email: lkrueger@ucsd.edu

From: Hayes, Alyssa@Wildlife <Alyssa.Hayes@Wildlife.ca.gov>

Sent: Tuesday, September 10, 2024 12:29 PM **To:** Krueger, Lauren < Ikrueger@UCSD.EDU>

Cc: Yang, Xao@Wildlife <Xao.Yang@wildlife.ca.gov>

Subject: 2024 Restricted Species Amendment - UC San Diego

Good morning Lauren,

California Department of Fish and Wildlife received your 2024 restricted species amendment for UC San Diego.

I had a few questions that I'd like to clear up before I can resume the reviewal process.

1. Inventory/TBA.

- 1. On your amendment you listed the following animals as additions to your permit:
 - i. Lesser Egyptian jerboa (*Jaculus jaculus*) this species is already approved on your permit.
 - ii. Spiny mouse (Acomys cahirinus)
 - iii. Painted urchin (*Lytechinus pictus*) is this animal transgenic? This species is not restricted unless it is transgenic.
 - iv. Sea squirt (*Ciona intestinalis*) is this animal transgenic? This species is not restricted unless it is transgenic.

2. USDA license.

1. The USDA license that was provided with the amendment has since expired (9/15/2023). Do you have an updated USDA license?

3. Self-inspection report (MOU).

1. As an MOU facility, UC San Diego is permitted to conduct its own inspections by an accredited USDA veterinarian. These self-inspection reports are required per your original MOU agreement. Please see below:

INSPECTION REQUIREMENTS

- The ELE shall inspect research facilities as defined by CCR, Title 14, Section 671.1, subdivision (b)(9), once per year. If non-compliance is found, additional inspections shall follow to establish subsequent full compliance. If compliance is not accomplished within 45 days of initial inspection the ELE must notify the Department.
- The ELE shall send an annual report describing the findings of the inspection. The report shall include:
 - a. The name of the facility inspected;
 - b. An inventory of what animals were inspected;
 - c. The date(s) of inspection;
 - d. What caging requirements (i.e. current version of the Guide for the Care and Use of Laboratory Animals) were used to inspect the facility;
 - e. Proof of the facility's Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC) accreditation status;
 - If the facility was in compliance with the standards set forth in CCR, Title 14, sections 671.2, 671.3(e), 671.4, and 671.7 if applicable;
 - g. The name and signature of the ELE (or its representative if the ELE is a Research Entity), date, and the USDA Accreditation Number:
 - h. If violations are found, a detailed description of the violations including citations describing the specific sections violated; and
 - If violations are found, a current status of the violation (if proper changes have occurred to bring the research facility back into compliance).
- The report shall be sent to the Department with the annual permit renewal.
- This MOU will be valid for 5 years with annual renewal and the annual ELE/MOU renewal fee paid.
 - Please submit an updated inspection report with the above criteria fully reflected in your current report.

4. Proof of federal program.

- 1. It is required for every research permittee to submit proof of inclusion in a federal program pursuant to California Code of Regulations (CCR), Title 14, Section 671.1 (c)(3)(L).
- Please submit paperwork that support your facility is part of a federal program as highlighted above.

Please address the information above so that we may proceed with the amendment process.

Please let me know if you have any questions or concerns with the requests above.

Thank you,

Alyssa Hayes Restricted Species, Special Permit Unit License and Revenue Branch





March 27, 2023

Kristen Anderson-Vicino, M.S. Director IACUC Office University of California - San Diego 9500 Gilman Drive Mail Code 0071 La Jolla, CA 92093-0071

Dear Ms. Anderson-Vicino:

AAALAC International is pleased to convey that the University of California, San Diego, University of California, La Jolla, California is accredited. Our records show that the University of California, San Diego, University of California initially achieved AAALAC International accreditation on February 19, 1980.

This interest, contribution and participation in the AAALAC International accreditation program is valued and appreciated. As you are aware, AAALAC International conducts site visits to institutions and requires being apprised of significant programmatic changes during the interim between these site visits. Should you desire additional information or wish to comment on any aspect of the accreditation process, please contact our office.

Sincerely,

Gary L. Borkowski, D.V.M., M.S. Global Director

GLB:jl 000503

> tel: 301.696.9626 fax: 301.696.9627

BERKELEY • DAVIS • IRVINE • LOS ANGELES • RIVERSIDE • SAN DIEGO • SAN FRANCISCO



SANTA BARBARA • SANTA CRUZ

UCSD ANIMAL CARE PROGRAM VETERINARY SERVICES 9500 GILMAN DRIVE, DEPT 0614 LA JOLLA, CALIFORNIA 92093-0614

To whom it may concern:

I certify that the animals listed on the detrimental species permit inventory and their housing have been inspected at least twice during the year, at six month intervals, and that the animals are being cared for and housed in accordance with the applicable requirements in subsections 671.1(a)(8)(A)-(F), and sections 671.2 through 671.4, Title 14 of the CCR to satisfy the inspection requirement of amending the permit.

The most recent inspection dates:

• Axolotls: 18Jul24

• Lesser Egyptian Jerboas: 02Jul24, 15Aug24

• Xenopus spp: 02Jul24

Zebrafish: 02Jul24, 18Jul24, 14Aug24

Veterinarian's signature:

Veterinarian's printed name: Lauren Krueger

USDA Accreditation #: 084562

Date: 20Sep24

PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR EXPRESS MAIL:

Division of Assurances

Bethesda, Maryland 20817 Telephone: (301) 496-7163

Office of Laboratory Animal Welfare

6700B Rockledge Drive, Suite 2500

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Division of Assurances
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: https://olaw.nih.gov

08/04/2021 Re: Approval for D16-00020 (A3033-01)

Sandra A Brown, Ph.D. Vice Chancellor for Research University Of California - San Diego 9500 Gilman Drive #0043 La Jolla, CA 92093-0043

Dear Dr. Brown:

I am pleased to inform you that the Office of Laboratory Animal Welfare (OLAW) reviewed and approved your institution's Animal Welfare Assurance (Assurance) that was submitted in accordance with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals (Policy), revised 2015.

Your Assurance, identification number D16-00020 (A3033-01), became effective on 08/04/2021 and will expire on 06/30/2025. Please include the Assurance number on all correspondence to OLAW. A copy of the signed Assurance document will be sent in a separate email. The signature page provides verification of approval by OLAW and includes the period during which your institution's Assurance is effective.

The Assurance is a key document that sets forth the responsibilities and procedures of your Institution regarding the care and use of laboratory animals according to the PHS Policy. The practices described in the Assurance document must be followed by all individuals in the animal care and use program.

Please note that the OLAW Annual Reporting period is the federal fiscal year, October 1 through September 30. The Annual Report to OLAW is due by December 1 (but no earlier than September 30).

If I may be of any further assistance, please do not hesitate to contact me.

Sincerely,

Animal Welfare Program Specialist, OLAW National Institutes of Health

cc: IACUC Contact

State of California Department of Fish and Wildlife

Memorandum

Date: January 28, 2025 Original signed copy on file;

Received 1/31/25

To: Melissa Miller-Henson

Executive Director

Fish and Game Commission

From: Charlton H. Bonham

Director

Subject: Item for Receipt at the February 12-13, 2025 Meeting: Approval of Restricted Species Permit Application to Possess Transgenic Invertebrate Species

The University of California San Diego (UCSD) has applied for a Restricted Species Permit to possess transgenic painted urchins (*Lytechinus pictus*) and transgenic sea squirts (*Ciona intestinalis*). According to the California Code of Regulations (CCR), Title 14, Section 671.1(a)(8)(H), all approved applications to possess a transgenic aquatic animal shall be reviewed by the California Fish and Game Commission (Commission) at a regularly scheduled meeting. The Commission may deny the issuance of a permit if it determines that the applicant is unable to meet the regulatory requirements for the importation, transportation, possession, and confinement of transgenic aquatic animals.

The transgenic urchins and sea squirts will be used for biological research. UCSD has agreed to comply with containment and security conditions as specified in CCR, Title 14. California Department of Fish and Wildlife (Department) Marine Region staff have coordinated with the Department's Shellfish Health Lab in reviewing the permit. The Department recommends issuing UCSD a Restricted Species Permit to possess transgenic painted urchins and transgenic sea squirts.

If you have any questions or need additional information on this matter, please contact Dr. Craig Shuman, Marine Regional Manager at R7RegionalMgr@wildlife.ca.gov.

Attachment

ec: Department of Fish and Wildlife

Chad Dibble, Deputy Director Wildlife and Fisheries Division

Craig Shuman D. Env., Regional Manager Marine Region

Kirsten Ramey, Program Manager Marine Region

Adam Frimodig, Senior Environmental Scientist Marine Region

Sara Briley, Environmental Scientist Marine Region

Colleen Burge, Ph.D., Shellfish Pathologist and Research Scientist Supervisor Fisheries Branch Commissioners
Erika Zavaleta, President
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April 11, 2025

Keith Jenne, DVM Executive Director, Animal Care Program Campus Veterinarian University of California, San Diego 9500 Gilman Drive La Jolla, CA

Sent via email to kjenne@UCSD.edu

Dear Dr. Jenne:

I write to you today on behalf of the California Fish and Game Commission regarding an unfortunate situation the Commission became aware of earlier this year that involves a non-native, transgenic aquatic species. The Commission supports the important research work of California's universities, while also underscoring the importance of complying with regulations governing the importation, possession, transportation and rearing of, or research on, restricted species.

In the fall of 2024, Cedars-Sinai Medical Center submitted to the California Department of Fish and Wildlife (Department) a restricted species permit application for the use of transgenic zebrafish for research purposes. Through that application, the Commission became aware of several instances where transgenic zebrafish were relocated from the University of California San Diego (UCSD) to Cedars-Sinai Medical Center before the center had received its permit to possess restricted species.

The Cedars-Sinai application states that, on September 3, 2024, wild-type embryos were transported by car from UCSD in La Jolla to Cedars-Sinai in Los Angeles to begin establishing a zebrafish colony; transgenic zebrafish were then transported from UCSD to Cedars-Sinai between September 20 and October 10, 2024. Cedars-Sinai notified the Department of the movements on October 11, 2024. As of November 1, 2024 there were approximately 25 tanks containing just over 700 fish at the Cedars-Sinai zebrafish facility, where the fish continued to receive care at levels applied at the UCSD facilities, though no research was allowed to take place until a valid permit was issued.

The movement of live transgenic aquatic animals from a facility is prohibited by subsection (a)(8)(C) of Section 671.1, Title 14, California Code of Regulations, unless specifically

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authorized by the Department. When working with restricted species, permit compliance and diligence in the confinement, biosecurity, and transportation of transgenic species is critical to ensure that California's native species and habitats are not impacted. Little is known about the risk zebrafish pose specifically to California's environment; however, recent research suggests that zebrafish are able to survive and reproduce in a broader range of aquatic habitats than previously understood. Were they to escape into California waters, especially in southern California, zebrafish may prove invasive. Zebrafish have, on at least one occasion, established a foothold in California. Great care must be taken to protect California's ecosystems already affected by numerous non-native and invasive species.

The Commission values its relationships with research institutions and the scientific community, which help provide much of the scientific information on which the Commission bases its decisions. At the same time, we cannot emphasize enough the importance of ensuring that those who are entrusted with the privilege of working with restricted species fully understand and are willing, and able, to abide by the governing regulations and your safety protocols established to protect California's natural resources.

Please feel free to reach out to me if you have any questions or would like to discuss the contents of this letter.

Sincerely,

Melissa A. Miller Henson

Melissa Miller-Henson

Executive Director

cc: Kristen C. Anderson-Vicino, Director, Institutional Animal Care and Use Committee Office, Research Compliance and Integrity

Lauren Krueger, Associate Director, Animal Care Program

California Department of Fish and Wildlife

Nathaniel Arnold, Deputy Director and Chief, Law Enforcement Division Chad Dibble, Deputy Director, Wildlife and Fisheries Division Joshua Morgan, Branch Chief, License and Revenue Branch Craig Shuman, Regional Manager, Marine Region