



APPLICATION FOR CERTIFICATE OF FINANCIAL RESPONSIBILITY FOR FACILITIES (EXCEPT for MOBILE TRANSFER UNITS)

DRAFT

June 2026

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Refer to page 5 for instructions on how to complete and submit this form.

Please type or print clearly in English when completing this application. Refer to pages 8 and 9 of application or instructions on completing this form.

Note: For security purposes all ZIP files transmitted to DFW/OSPR via-email will be returned undeliverable.

Note: A Contingency Plan is also required, pursuant to Government Code Section 8670.29

A. GENERAL INFORMATION

1. Legal name of applicant (must be either the operator or owner of facility):

Indicate the type of applicant:

Owner

Operator:

California Contingency Plan Number:

Mailing Address:

Telephone:

Email address:

2. Address of principal place of business of applicant if different from above.

3. Trade name (if any), doing business as (dba), or other name generally known to the public

4. Financial contact person contact information.

Contact Name:

Title:

Mailing Address:

Telephone number:

Work mobile phone:

Email address:

5. If applicant is a subsidiary or not wholly owned, provide the following information:

Name of parent corporation or owning entities:

Mailing Address:

Date and state of incorporation of parent corporation/owning entities.

Date:

State:

6. Certificate(s) recipient (who should receive certificates)

Recipient Name:

Mailing Address (No P.O. Boxes):

Telephone number:

Work mobile phone:

Email address:

7. Agent for Service of Process. Agent must provide California address.

Name:

Mailing Address (No P.O. Boxes):

Telephone number:

Email address

B. GENERAL DESCRIPTION OF THE FACILITY OR FACILITIES

1. Type of facility/facilities (Terminal, Pipeline, Platform, Small Marine Fueling Facility, Production Facility, Railroad, or other type of facility). If the application applies to more than one facility, list all the types of facilities to which the application applies.



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2. Name and location of facility or facilities to which the application applies.

Facility Name:

Facility location:

Attach additional spreadsheet if necessary.

3. Risk Type. Indicate the type of waterway impacted in the event of a spill from facility. Check all that apply.

Tidally Influenced:

Perennial:

Intermittent/Ephemeral:

4. Name and address of lessor if the facility is leased or located on leased land.

Lessor name:

Lessor address:

C. SPECIFIC DESCRIPTION OF FACILITY OR FACILITIES

1. Marine Terminal(s) or other types of facilities. To be completed by Terminal owners or operators as well as owners or operators of other facilities not described in section 805.13(a)(1-7)

For each terminal, fill out the pipeline information in 2. below, and provide the following information that is reflected in the contingency plan for the facility. (Reference Title 14, California Code of Regulations, Section 805.13(a)(8)).

Description/location:

Reasonable Worst-Case Spill volume (barrels):

Attach additional spread sheet if necessary

2. Pipeline(s) – Onshore and Offshore. To be completed by pipeline owners or operators as well as owners or operators of offshore platforms, terminals and production facilities.

For each pipeline, provide the following information that is reflected in the contingency plan for the facility (Reference: Title 14, California Code of Regulations, Section 805.13(a)(1) or (4)).

Pipeline number or designation:

Description/location:

Indicate if the pipeline is onshore or offshore. Check all that apply.

Onshore

Offshore

Reasonable Worst Case Spill volume (barrels):

Attach additional spread sheet if necessary

3. Small Marine Fueling Facility. (For mobile transfer units, use the “Application for Certificate of Financial Responsibility for Mobile Transfer Units”, DFW 1946 (6/14)).

For each Small Marine Fueling facility, provide the following information that is reflected in the contingency plan for the facility (Reference: Title 14, California Code of Regulations, Section 805.13 (a)(8):

Description/location:

Reasonable Worst-Case Spill volume:

Attach additional spread sheet if necessary



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4. Offshore Platform. If the offshore facility is located in California waters, complete this Section as well as Section C (2) of this application. If the offshore facility is in federal waters and has a pipeline within California waters, complete Section C (2) of this application).

For each offshore platform, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 805.13(a)(2) or (3) for active drilling)

- Indicate the name of the offshore platform:
- Reasonable Worst Case Spill volume:

5. Onshore Production. To be completed by owners or operators of onshore production facilities.

For each facility, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 805.13(a)(6).

- API well number:
- Location:
- Reasonable Worst Case Spill volume (barrels):

Attach additional spread sheet if necessary

6. Railroads. To be completed by owners or operators of railroad lines.

For each facility, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 805.13(a)(5)

- Description/Location:
- Reasonable Worst Case Spill volume (barrels):

For all types of facilities reported in this section provide RWCS Calculation Document from Contingency Plan.

D. DECLARATION

(Must be completed by all applicants)

I, (print name) am the applicant, or I am a principal of the applicant, an authorized agent*, or an official of the applicant, and have the authority to sign this application on behalf of the applicant. I DECLARE under penalty of perjury that I have examined this application, including all accompanying schedules and statements, and to the best of my knowledge, information and belief, find it to be true, correct, and complete. Furthermore, it is agreed that the applicant named in section A of this application is the responsible party in the event of an oil spill. I execute this declaration in my capacity as applicant, principal of the applicant, official of the applicant or as the authorized agent as evidenced by the delegation of such authority provided below.

- Date:
- Signature:
- Title or Official Capacity:

Note: If the Declaration is signed by an authorized agent of the applicant, the applicant or a principal of the applicant must sign the following section E. Delegation of Authority.



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INSTRUCTIONS

1. Owner or operator means, in the case of a facility, any person who owns, has ownership interest in, or operates the facility. (Reference Title 14, California Code of Regulations, Section 790(0)(17)(B&F).

2. Submit completed application to the following email address: cacofr-facilities@wildlife.ca.gov

Note: For security purposes all ZIP files transmitted to DFW/OSPR via email will be returned undeliverable.

3. Application Process: Applications will be reviewed within 30 calendar days of receipt provided that adequate information was furnished in the application and acceptable evidence of financial responsibility has been received. OSPR verifies the amount of financial responsibility provided per the formulas located in regulation.

To calculate financial responsibility, see Section 791.7 (e) (2) A-F at http://www.dfg.ca.gov/ospr/Law/index_ospr_regs.aspx

Note: Use of self insurance requires submission of audited financial statements annually.

4. Renewal Process: COFRs for facilities are good for two (2) years. COFRS for mobile transfer units are good for one (1) year. Applications will be processed within 30 calendar days of receipt provided that adequate information was furnished in the application and acceptable evidence of financial responsibility has been received.

5. Specific instructions relating to the application process.

Section A - General Information: Must be completed by all applicants.

Section B - Description of Facility: Description of the Facility: must be completed by all applicants. (If application applies to more than one facility, make copies of Section B & C and complete for each facility. Attach sections A, D, and E and submit as one application.

Section C - Specific Description of the Facility or Facilities:

1. Marine Terminal: Must be completed by the owner or operator of those marine terminal(s) for which the applicant intends to obtain certificate(s) of financial responsibility. A marine terminal includes the pipeline(s) located in marine waters. The pipeline(s) are not considered a separate facility where the applicant is the owner or operator of both the terminal and the pipeline(s).

2. Pipeline: Must be completed by the owner or operator of those pipeline(s) and offshore platforms for which the applicant intends to obtain certificate(s) of financial responsibility. For the purpose of this application, the pipeline(s) that is (are) used to transfer oil from an offshore platform(s) located outside California marine waters to an on-shore facility(s) is (are) considered to be a separate facility(s).

3. Small Marine Fueling Facility: Must be completed by the owner or operator of the small marine fueling facility(s) unless the facility is a "small craft refueling dock". Certificates of Financial Responsibility are not required for "small craft refueling docks". However, each "small craft refueling dock" must be registered with the Department of Fish and Wildlife's Office of Spill Prevention and Response. A "small craft refueling dock" is a waterside operation that dispenses non-persistent products (e.g., gasoline and diesel fuel) in bulk and/or small amounts of persistent lubrication oil in containers, primarily to small craft and does not have storage capacity exceeding 20,000 gallons in any single storage tank or tank compartment, and has a total useable tank storage capacity not



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exceeding 75,000 gallons. For these purposes, "small craft" is defined as a waterborne craft, other than a tanker or barge, which is less than 20 meters in length.

4. Offshore Facilities: Must be completed by the owner or operator of those offshore platform(s), production piers, artificial island, or any other type of offshore facility located in California marine waters for which the applicant intends to obtain certificate(s) of financial responsibility.

5. Production or Other Types of Facilities: Must be completed by owners or operators of production or other types of facilities that are located where a release of oil could impact waters of the State.

Section D – Declaration

Section E - Delegation of Authority

Sections A, B, C and D must all be completed by the applicant or delegated authority.

Section E, Delegation of Authority, must be executed by the applicant or a principal of the applicant when an application is signed by an agent of the applicant.

6. Miscellaneous instructions:

- a. If a question does not apply, answer "not applicable."
- b. Incomplete applications will not be processed until OSPR receives additional information needed for processing.
- c. If additional space is required, supplemental sheets may be attached.

Please contact the Financial Responsibility Unit at email address above if you have questions.