

# **Post-Construction Avian and Bat Fatality Monitoring at the Rising Tree and Addison Wind Energy Projects Kern County, California**

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**Year 3 Final Report  
June 2018 – May 2019**



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## **EXECUTIVE SUMMARY**

Rising Tree Wind Farm LLC, Rising Tree Wind Farm II LLC, and Rising Tree Wind Farm III LLC, each wholly owned subsidiaries of EDP Renewables North America LLC (EDPR), own and operate the Rising Tree I, II, and III wind energy projects (Projects or Rising Tree Projects) in Kern County, California. During the development phase, the Projects were known as the Homestead Wind Energy Project and Bent Tree Wind Energy Project, with a portion that was also referred to as the Addison Wind Energy Project. Environmental Impact Reports (EIRs) were developed for the Rising Tree Projects and Addison Wind Energy Project as a part of the California Environmental Quality Act review process. As specified in Mitigation Measures 4.4-22 and 4.4-23 of the EIRs, EDPR is committed to conducting post-construction avian and bat fatality monitoring and raptor nest monitoring at the Projects for at least three years of operation. To that end, Western EcoSystems Technology, Inc. (WEST), was contracted by EDPR to develop and implement a standardized protocol for post-construction monitoring for the purpose of estimating the impacts of facility operation on birds and bats. Data collection and analysis follow the recommendations presented in the US Fish and Wildlife Service Wind Turbine Advisory Committee Guidelines, the California Wind Energy Guidelines, and are based on WEST's experience studying wildlife at wind energy projects throughout California and the US. Two years of post-construction fatality monitoring and raptor nest monitoring have been conducted by WEST at the Projects from September 2015 to September 2016 and from June 2017 to June 2018, the results of which are presented in separate Year 1 and Year 2 reports. This report presents the results of the third year of monitoring (Year 3) conducted at the Projects from June 3, 2018 through May 29, 2019.

The fatality monitoring study detailed in this report consists of four components: 1) standardized carcass surveys of selected turbines, 2) searcher efficiency trials to estimate the percentage of carcasses found by searchers, 3) carcass persistence trials to estimate the length of time that a carcass remained in the field for possible detection, and 4) calculation of adjusted fatality estimates for birds and bats and 5) raptor nest monitoring within the Project.

Consistent with the first two years of monitoring, standardized carcass searches were conducted to systematically search the ground beneath 19 of the Project's 60 turbines (31%) every two weeks throughout the study period. Searches were conducted within square plots measuring 280 meters (918 feet) on a side and centered on each of the sampled turbines. Twenty-two bird fatalities, representing 11 identifiable species, were found during standardized carcass searches or incidentally within the Projects. No bat fatalities were found. The only bird species having more than two identified fatalities was horned lark (three fatalities). Two diurnal raptor fatalities (both red-tailed hawks) and one owl fatality (short-eared owl) were documented during the Year 3 study. No fatalities of state- or federal-listed bird species were encountered; however, short-eared owl is considered a California Species of Special Concern. Bird fatalities were found at 12 of the 19 search plots, and were generally spread throughout the Projects. The search plot with the greatest number of fatalities (four) was at Turbine 26. Bird fatalities were generally spread throughout the

Projects both spatially and temporally, with fatalities occurring less frequently in winter than during the other seasons.

During searcher efficiency trials, 178 carcasses (41 large birds, 102 small birds, and 35 bat surrogates [i.e., mice]) were placed throughout the Projects, resulting in overall searcher efficiency rates of 100% for large birds, 77.4% for small birds, and 81.5% for bats. During carcass persistence trials, 218 carcasses (60 large birds, 90 small birds, and 68 bat surrogates) were placed within the Projects. The top carcass persistence models indicated that the probability of a carcass persisting through the search interval varied across seasons, ranging from 0.06 to 0.51 for large birds, 0.06 to 0.26 for small birds, and 0.08 to 0.43 for bats. Given the average search interval (14 days) and estimates of searcher efficiency and carcass persistence, the probability that a carcass would remain in a search plot and be found by a searcher ranged from 0.06 to 0.51 for large birds, 0.06 to 0.18 for small birds, and 0.06 to 0.35 for bats.

Based on the 3.3-megawatt (MW) capacity of the turbines at the Projects, the estimated fatality rate for all birds was 2.71 birds per MW per year, with an estimated fatality rate of 0.28 diurnal raptors per MW per year. No bat fatalities were found; therefore, the bat fatality rate was assumed to be very low, if not zero, and no bat fatality estimate was calculated.

Raptor nest monitoring was conducted in accordance with MM 4.4-23 of the Projects' EIRs with the goal of demonstrating whether raptor nesting was compatible with operation of the Projects. No raptor nests were documented during nest surveys conducted monthly from February through May, 2019. Two stick nests were identified including one active common raven nest and one unsuccessful common raven nest.

Overall bird and diurnal raptor fatality rates estimated during Year 3 of the study were similar to those estimated during years 1 and 2 of the study, and are also consistent with fatality rates reported at other nearby facilities in the Tehachapi Wind Resource Area. Based on the range of bird and bat fatality levels that have been observed during the 3-year fatality monitoring study, as well as the species composition of fatalities, data collected to date do not suggest that continued operation of the Projects will result in significant impacts to bird or bat populations.

## **STUDY PARTICIPANTS**

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## **REPORT REFERENCE**

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## **ACKNOWLEDGEMENTS**

A number of individuals were instrumental in the completion of the post-construction studies at the Rising Tree Projects. EDP Renewables provided funding for the monitoring study. From WEST, Samantha Hanson, Grace Milanowski, Jennifer Sojka, and Christina Van Oosten conducted fatality surveys and carcass persistence trials beneath turbines. Ashley Albert conducted searcher efficiency trials.

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## **INTRODUCTION**

Rising Tree Wind Farm LLC, Rising Tree Wind Farm II LLC, and Rising Tree Wind Farm III LLC, each wholly owned subsidiaries of EDP Renewables North America LLC (EDPR), own and operate the Rising Tree I, II, and III wind energy projects (Projects or Rising Tree Projects) in Kern County, California. During the development phase, the Projects were known as the Homestead Wind Energy Project (Homestead) and Bent Tree Wind Energy Project (Bent Tree), with a portion also referred to as the Addison Wind Energy Project (Addison). Environmental Impact Reports (EIRs) were developed for the Rising Tree Projects and Addison as a part of the California Environmental Quality Act review process, and as specified in Mitigation Measures (MM) 4.4-22 and 4.4-23 of the EIRs (Kern County Planning and Community Development Department 2013a, 2013b), EDPR is committed to conducting post-construction avian and bat fatality monitoring at the Projects for at least three years of operations.

Although the Projects were referred to by various names (i.e., Homestead, Bent Tree, Addison, and Rising Tree) throughout the development and permitting processes, the Projects are referenced throughout this report as the Projects or Rising Tree Projects. As stated in MM 4.4-22 of the EIRs, the objective of the fatality monitoring study was to demonstrate the level of incidental injury and mortality to populations of avian and bat species in the vicinity of the Projects. To this end, Western EcoSystems Technology, Inc. (WEST), was contracted by EDPR to develop and implement a standardized protocol for post-construction monitoring to estimate the level of bird and bat mortality attributable to collisions with wind turbines at the Projects on an annual basis.

The monitoring protocols were stipulated in the Rising Tree Bird and Bat Conservation Strategy (WEST 2018a) and Eagle Conservation Plan (WEST 2018b), and are based on WEST's experience studying wildlife at wind energy projects in California and throughout the US. Data collection and analysis followed the recommendations presented in the US Fish and Wildlife Service (USFWS) Land-Based Wind Energy Guidelines (USFWS 2012) and the California Wind Energy Guidelines (California Energy Commission and California Department of Fish and Game 2007).

The first two years of post-construction fatality monitoring and raptor nest monitoring were conducted at the Projects from September 2015 to September 2016 and from June 2017 to June 2018, the results of which are presented in separate Year 1 and Year 2 reports (Rintz et al. 2016, Chatfield et al. 2018). The following report presents the results of the third year of monitoring (Year 3) conducted at the Projects from June 3, 2018 through May 29, 2019.

## **STUDY AREA**

The Projects are sited on private lands encompassing an area of approximately 1,144 hectares (2,826 acres) in south-central Kern County, California, approximately 4.8 kilometers (km; 3.0 miles [mi]) west of the unincorporated community of Mojave. The Projects comprise 60 Vestas V112 wind turbines, each having a hub height of approximately 84 meters (m; 275 feet [ft]) and a

rotor radius of approximately 56 m (184 ft), for a maximum turbine height of 140 m (459 ft). Each turbine has a capacity of 3.3 megawatts (MW) for a total generating capacity of 198 MW of electricity. The Projects interconnect to the grid at Southern California Edison's Windhub Substation, located approximately 2.4 km (1.5 mi) west of the Projects' southern boundary. The Projects lie near the center of the Tehachapi Wind Resource Area (TWRA), a region of high-density wind energy development in southern Kern County. The Projects are surrounded by existing wind farms in all directions.

The Projects are situated in the western Mojave Desert, on the lower slope of Cameron Ridge and the Horned Toad Hills. Elevations range from approximately 960 to 1,113 m (3,150 to 3,651 ft). Topography within the Projects is varied, with the southern and eastern portions being relatively flat, while the northwestern portion is more topographically diverse and contains a number of ridges oriented northwest-southeast (Figures 1 and 2). Vegetation consists primarily of creosote bush (*Larrea tridentata*) scrub, Mojave mixed scrub, and scattered patches of Joshua tree (*Yucca brevifolia*) woodland. No permanent water exists in the Projects; however, a number of washes with intermittent water flow are present. The Projects are bordered to the south by Oak Creek Road, which runs east to west, and by an underground portion of the Los Angeles Aqueduct that runs along the eastern boundary of the Projects (Figures 1 and 2).

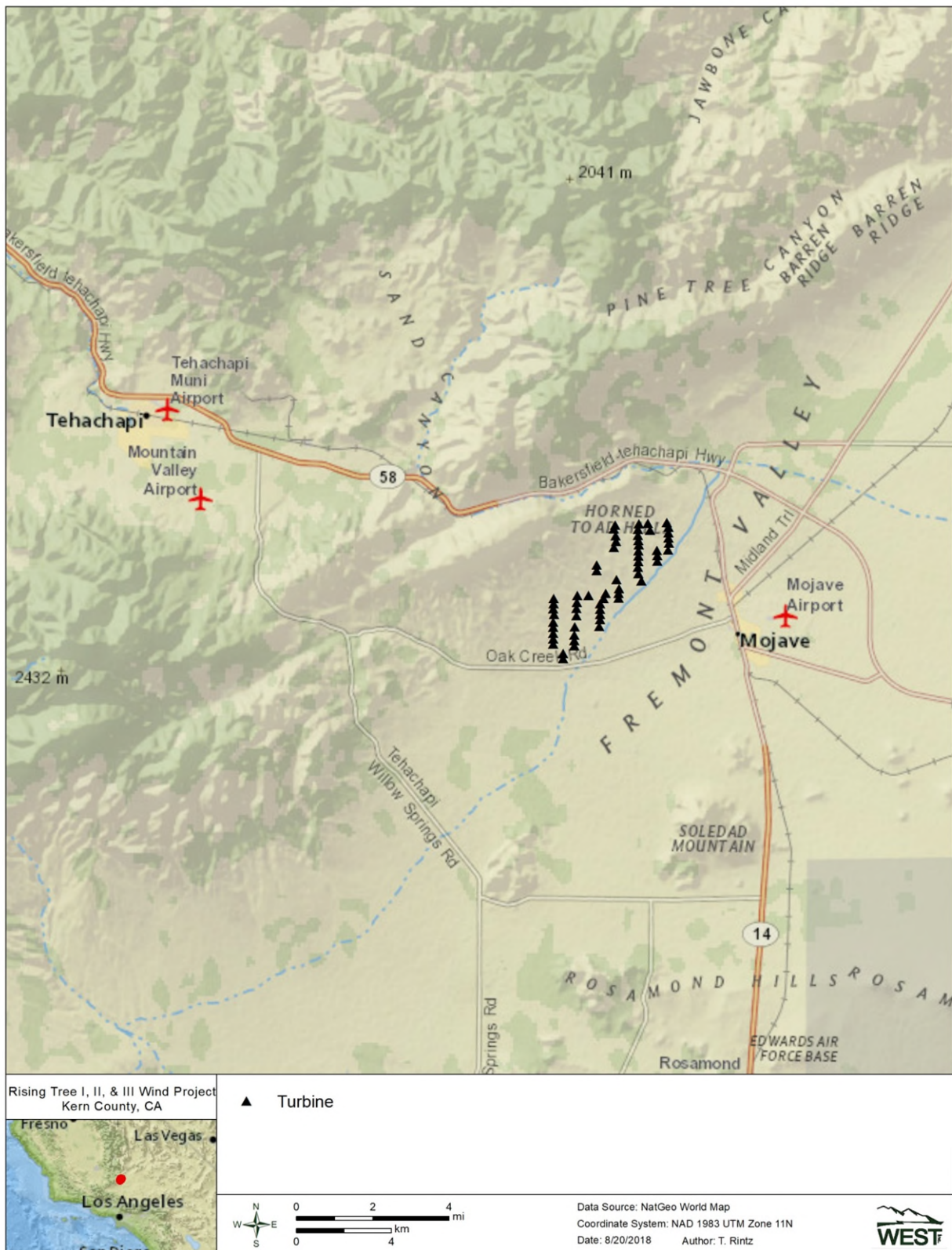
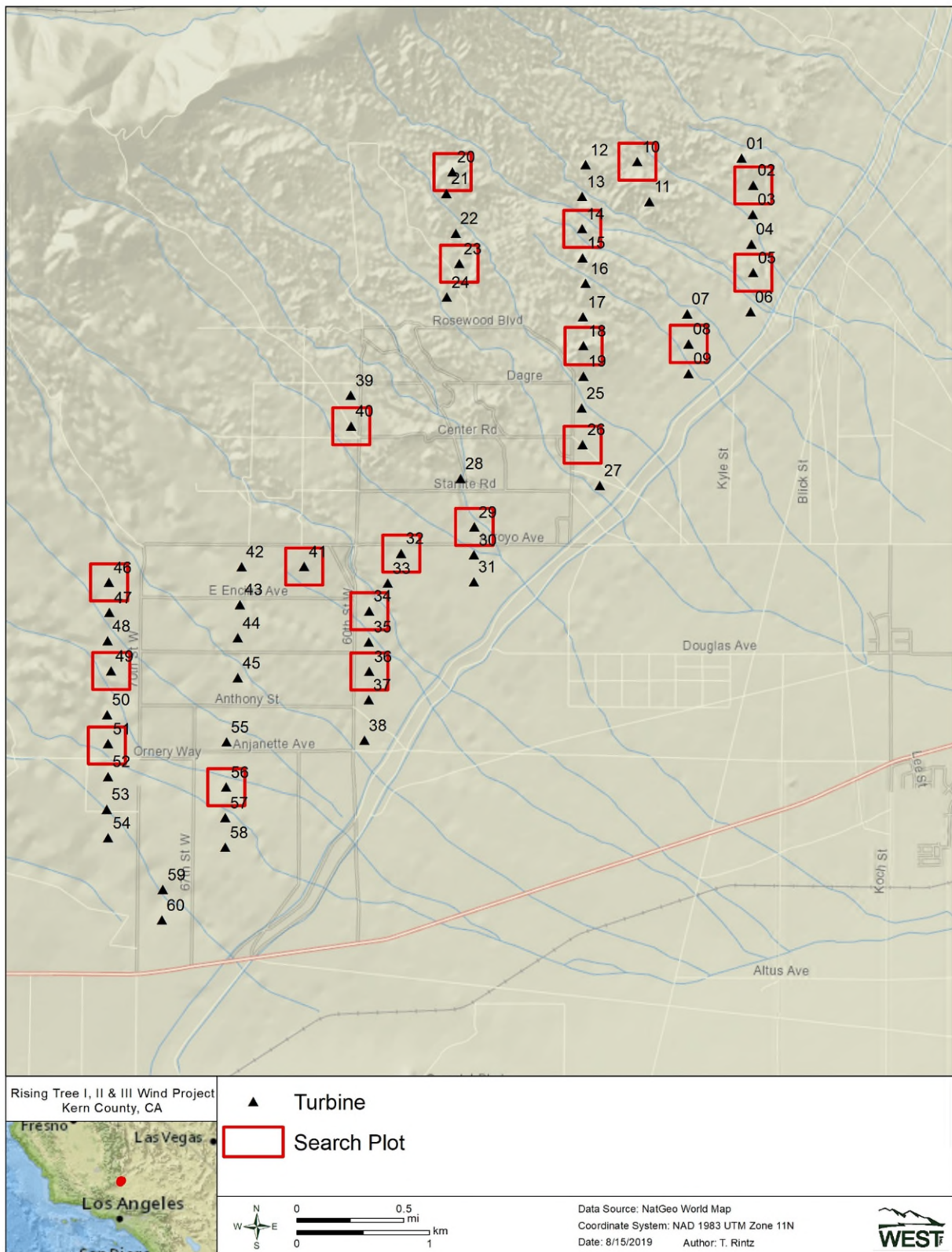


Figure 1. Location of the Rising Tree Wind Projects, Kern County, California.



## **METHODS**

### **Avian and Bat Fatality Monitoring**

The methods and results presented herein represent the final year of a 3-year avian and bat fatality monitoring study. The primary objective of the study is to estimate the level of bird and bat mortality attributable to collisions with wind turbines at the facility on an annual basis. Additionally, the estimated fatality rates at the Projects are compared to fatality rates estimated for other regional wind energy facilities to determine if mortality at the Projects is lower, similar to, or higher than that observed at other facilities, particularly those within the TWRA. The methods for the fatality study are broken into four primary components: 1) standardized carcass surveys of selected turbines, 2) searcher efficiency trials to estimate the percentage of carcasses found by searchers, 3) carcass persistence trials to estimate the length of time that a carcass remained in the field for possible detection, and 4) calculation of adjusted annual fatality estimates for birds and bats within the Projects.

#### *Standardized Carcass Surveys*

Consistent with methods implemented during the first and second year of the fatality monitoring study (Rintz et al. 2016, Chatfield et al. 2018), standardized carcass surveys were conducted to systematically search the ground beneath turbines for avian and bat fatalities. Nineteen of the Project's 60 turbines (31%) were searched during Year 3 of the fatality monitoring study (Figure 2). These were the same 19 turbines searched during first two years of the study (see Rintz et al. 2016, Chatfield et al. 2018). Search plots were originally selected using a constrained random sample, such that the search effort was distributed throughout the Projects and all land cover types and topographies were represented. Searches were conducted within square plots measuring 280 m (918 ft) on a side and centered on each of the sampled turbines (Figure 2). Plots were searched along parallel transects spaced 6-10 m (20-33 ft) apart (depending on visibility), with the orientation of transects based on the orientation of the topography within the plots.

Data recorded for all documented fatalities included: species, sex and age when identifiable, date and time encountered, Global Positioning System (GPS) location, condition of carcass, and any comments that indicated possible cause of death. All fatalities were photographed as found, including detailed close-up photos of the carcass for identification purposes, as well as photos showing location of the carcass in relation to the closest wind turbine or other Project infrastructure, such as overhead power lines.

Incidental fatalities found within search plots, but outside of the standardized search times, were documented in the same manner as those found during scheduled surveys and were included in the overall dataset under the assumption that these fatalities would have been found during the next scheduled survey. Incidental fatalities found outside the formal search area (e.g., near a turbine or other portion of the Projects' infrastructure not included in the study) were also recorded following the above protocol as closely as possible; however, these fatalities were not included in

the overall dataset used for estimating fatality rates. Fatalities found by maintenance personnel and others not conducting the formal searches were similarly documented. Rising Tree does not have a Special Purpose Utility Permit from the USFWS. As a result, study personnel were not permitted to possess carcasses of native migratory birds encountered during searches, and all bird and bat carcasses were documented and left in the field as found. Because of this, care was taken to take detailed photos of the carcasses for purposes of identification and to accurately record the condition and location of carcasses to avoid double-counting fatalities.

Standardized carcass surveys were conducted every two weeks at the Projects from June 3, 2018 through May 29, 2019, with seasons defined as: summer (June 1 – August 27), fall (August 28 – November 15), winter (November 16 – March 11), and spring (March 12– May 31) at each of the sampled turbines.

### *Searcher Efficiency Trials*

The objective of the searcher efficiency trials was to estimate the percentage of fatalities found by searchers. Estimates of searcher efficiency were used to adjust the total number of carcasses found for those possibly missed by searchers, correcting for detection bias. Searcher efficiency trials were conducted in the same areas as carcass surveys and searcher efficiency was estimated by the size of carcass and season.

Carcasses used for searcher efficiency trials were non-native/non-protected or commercially available species (e.g., *Coturnix* quail [*Coturnix* spp.], and mallard [*Anas platyrhynchos*]). Due to a lack of bat carcasses available for the study, brown mice (*Mus musculus*) were used as surrogates for bats. All searcher efficiency trial carcasses were placed at random locations within the search plot prior to that day's scheduled carcass survey. Personnel conducting carcass surveys did not know when searcher efficiency trials were being conducted or the location of the trial carcasses. Each trial carcass was discreetly marked with electrical tape so that it could be identified as a study carcass after it was found. The number and location of the trial carcasses found during the carcass survey were recorded. The number of carcasses available for detection during each trial was determined immediately after the trial by the person responsible for distributing the carcasses.

### *Carcass Persistence Trials*

The objective of carcass persistence trials was to estimate the average length of time a carcass remained in the study area and was potentially detectable. Estimates of carcass persistence were used to adjust the total number of carcasses found for those removed from the study area, correcting for removal bias. Possible means of carcass removal include removal by predation or scavenging, or removal by other less likely means, such as burial by wind-blown sand. Trials were spread throughout the summer, spring and fall, winter persistence data was used from previous years the effects of varying weather and environmental conditions, and scavenger densities. Trial carcasses were of similar composition to those used for searcher efficiency trials as described above.

Turbines, including searched and non-searched turbines, were randomly selected for inclusion in the persistence trials. Trial carcasses were randomly placed at selected turbines within a plot of similar size to the actual search plots. Personnel conducting carcass searches monitored the trial carcasses over a 30-day period, checking the carcasses every day for the first four days of the trial, and then again on days 7, 10, 14, 20, and 30. This schedule varied somewhat depending on weather and coordination with the other survey work. Persistence trial carcasses were discreetly marked (e.g., with dark electrical tape around one or both legs) for recognition by searchers and other personnel, and left at the location until the end of the carcass persistence trial. Any remaining evidence of the carcass was removed at the end of the 30-day trial period.

### *Statistical Analysis*

#### Quality Assurance and Quality Control

Quality assurance and quality control measures were implemented at all stages of the study, including in the field, during data entry and analysis, and report writing. Following each round of bi-weekly carcass surveys, observers were responsible for reviewing the raw data for completeness and accuracy. A Microsoft® SQL Server database was developed to store, organize, and retrieve survey data. Irregular codes or data suspected as questionable were discussed with the observer and/or project manager. Errors, omissions, or problems identified in later stages of analysis were traced back to the raw data, and appropriate changes in all steps were made. All data forms, field notebooks, and electronic data files were retained for reference.

#### Fatality Estimates

Overall fatality estimates were calculated for five bird and bat categories: 1) small birds (i.e., cuckoos, hummingbirds, swifts, woodpeckers, and passerines), 2) large birds (i.e., waterbirds, waterfowl, shorebirds, owls, vultures, upland gamebirds, doves and pigeons, large corvids, large cuckoos, and goatsuckers), 3) diurnal raptors (i.e., kites, accipiters, buteos, eagles, falcons, northern harrier [*Circus hudsonius*], osprey [*Pandion haliaetus*]), 4) all birds, and 5) bats. Estimates of facility-related fatalities were based on:

- 1) Observed number of carcasses found during standardized searches during the monitoring year for which the cause of death was either unknown or probably facility-related;
- 2) Persistence rates, expressed as the estimated average probability a trial carcass was expected to remain in the study area and be available for detection by the searchers during persistence trials; and
- 3) Searcher efficiency, expressed as the proportion of trial carcasses found by searchers during searcher efficiency trials.

Fatality rates were calculated using the Huso estimator (Huso 2011, Huso et al. 2015). The following variables were used in the equations presented below for the Huso estimator:

$c_i$  total number of carcasses in category  $i$  (e.g., season)

$n_i$  number of turbines sampled in category  $i$

$k$  number of carcass categories

- $I_i$  time interval between the previous search and discovery for category  $i$
- $\hat{I}_i$  effective search interval for carcasses in category  $i$
- $\hat{r}_i$  average probability of persistence for carcasses in category  $i$
- $\hat{p}_i$  probability of detection for carcasses in category  $i$
- $\hat{\pi}_i$  the estimated probability that a carcass in category  $i$  was both available to be found during a search and was found, as determined by carcass removal and searcher efficiency trials
- $\hat{f}_i$  per turbine mortality for category  $i$
- $\hat{f}$  total per turbine mortality
- $\hat{m}$  total per turbine mortality

### Estimation of Searcher Efficiency Rates

Searcher efficiency rates,  $\hat{p}_i$ , were estimated as the proportion of trial carcasses found in a search category. Searcher efficiency was assumed to be a binomial process, and was pooled across seasons as appropriate (i.e., if the data indicated no statistical difference).

### Estimation of Carcass Persistence

Estimates of carcass persistence were used to adjust carcass counts for removal bias. Carcass persistence can be modeled as a function of a variety of variables including ground visibility, size, season, and the interactions between these variables. The average probability of persistence of a carcass,  $\hat{r}_i$ , was estimated from an interval censored carcass persistence model. Exponential, log-logistic, lognormal, and Weibull distributions were fit along with other covariates appropriate for the study. For this study, carcass size class and season were investigated as potential covariates in the model. Models were selecting using the corrected Akaike Information Criteria (AICc; Burnham and Anderson 2002) statistic, with the final model selected being the most parsimonious model within two AICc values of the top model.

### Estimation of Adjusted Facility-Related Fatality Rates

The estimated probability that a carcass in category  $i$  was available and detected was:

$$\hat{\pi}_i = \hat{p}_i \cdot \hat{r}_i \cdot \hat{v}_i$$

where  $\hat{v}_i = \min(1, \hat{I}_i/I_i)$ . The model assumes that searchers have a single opportunity to find each carcass, even though some carcasses may persist through multiple searches before being detected. Therefore, a carcass was only included in the calculation of adjusted fatality estimates if it had become available since the last search, and no longer. The estimated time since death, recorded in the field and based on carcass condition, was used to evaluate each carcass for inclusion in the final fatality estimate calculations.

The per-turbine fatality rate ( $\hat{f}_i$ ) in category  $i$ , based on the number of carcasses found in category  $i$ , is given by

$$\hat{f}_i = \frac{c_i}{\hat{n}_i}.$$

The standard errors and 90% confidence intervals on this estimate were calculated using bootstrapping (Manly 1997). Bootstrapping is a computer simulation technique that is useful for calculating point estimates, variances, and confidence intervals for complicated test statistics. For each bootstrap sample,  $\bar{c}$ ,  $\bar{t}$ ,  $p$ ,  $\hat{n}$ , and  $m$  were calculated. A total of 1,000 bootstrap samples were used and the standard deviation of the bootstrap estimates was the estimated standard error. The lower 5<sup>th</sup> and upper 95<sup>th</sup> percentiles of the 1,000 bootstrap estimates are estimates of the lower and upper limits of 90% confidence intervals.

### **Raptor Nest Monitoring**

Surveys for nesting raptors were conducted during the 2019 breeding season to determine the presence or absence of raptor nests within the Projects. Following guidance of MM 4.4-23 of the EIRs, ground-based surveys were conducted by vehicle and on foot throughout all areas of suitable raptor nesting habitat within approximately 150 m (500 ft) of Project infrastructure from February 15 through May 5, 2019. For each nest identified, biologists recorded the nest location with a hand-held GPS device; species present at the nest (if any); condition of the nest; presence of eggs or young (if present and visible); nest substrate (e.g., tree, power pole, rock outcrop); and height and aspect of nest. Nest status information was recorded as: 1) unoccupied - a nest with no evidence of recent use, or attendance by adults or young; or 2) occupied - a nest site exhibiting recent refurbishing (greenery, recent egg cup), or attended by one or more adults on or immediately adjacent to the nest structure(s).

## **RESULTS**

### **Avian and Bat Fatality Monitoring**

Twenty-six rounds of searches were conducted during the Year 3 fatality monitoring study, for a total of 494 plot searches. Twenty-two bird fatalities representing at least 13 separate species were found during the study; no bat fatality were found (Table 1). The number, species, location, other characteristics of the bird fatalities, the results of searcher efficiency and carcass persistence trials, and the bird fatality estimates adjusted for searcher efficiency and carcass persistence biases, are discussed below. A full listing of fatalities is presented in Appendix A.

#### *Bird Fatalities*

During the Year 3 study period, 22 bird fatalities were found on search plots during scheduled searches (Appendix A, Figure 3). No bird fatalities were found incidentally outside of search plots and none of the fatalities were estimated to have a time since death of more than the 14-day search interval. The Huso estimator assumes that searchers have a single opportunity to find a carcass, and carcasses estimated to be greater than the search interval (14 days) are censored

from the analysis. Because all carcasses were estimated to be less than 14 days old, no fatalities were excluded from the analysis. As a result, all 22 bird fatalities were included in the analysis (Table 1).

The only bird species represented by more than two fatalities was horned lark (*Eremophila alpestris*; three fatalities; Table 1). Two diurnal raptor fatalities (both red-tailed hawk [*Buteo jamaicensis*]) and one owl fatality (short-eared owl [*Asio flammeus*]) were documented during the study period. No fatalities of state- or federal-listed bird species were encountered; however, short-eared owl is considered a California Species of Special Concern (California Department of Fish and Wildlife 2018; Table 1).

Bird fatalities were found at 12 of the 19 search plots (Figures 3 and 4). The greatest number of fatalities was found at Turbine 26 (four fatalities), followed by turbines 8 and 34 (three fatalities each); two or fewer bird fatalities were documented at all other search plots (Figures 3 and 4). Bird fatalities were found throughout the search plots, with the majority (63.6%) found between 60 and 120 m (197 and 393 ft) of the turbines (Table 2). The timing of bird fatalities was generally distributed throughout the year with no obvious season of higher mortality (Figure 5, Appendix A).

**Table 1. Total number of bird fatalities and the composition of fatalities discovered at the Rising Tree Wind Projects from June 3, 2018 – May 29, 2019. No bat fatalities were documented during the study period.**

| Species                | Scientific Name                | Number of Fatalities | % Comp.*   |
|------------------------|--------------------------------|----------------------|------------|
| horned lark            | <i>Eremophila alpestris</i>    | 3                    | 13.6       |
| greater roadrunner     | <i>Geococcyx californianus</i> | 2                    | 9.1        |
| house wren             | <i>Troglodytes aedon</i>       | 2                    | 9.1        |
| mourning dove          | <i>Zenaida macroura</i>        | 2                    | 9.1        |
| red-tailed hawk        | <i>Buteo jamaicensis</i>       | 2                    | 9.1        |
| Townsend's warbler     | <i>Setophaga townsendi</i>     | 2                    | 9.1        |
| unidentified passerine | -                              | 2                    | 9.1        |
| house finch            | <i>Haemorhous mexicanus</i>    | 1                    | 4.5        |
| orange-crowned warbler | <i>Vermivora celata</i>        | 1                    | 4.5        |
| short-eared owl        | <i>Asio flammeus</i>           | 1                    | 4.5        |
| unidentified shorebird | -                              | 1                    | 4.5        |
| unidentified waterfowl | -                              | 1                    | 4.5        |
| white-crowned sparrow  | <i>Zonotrichia leucophrys</i>  | 1                    | 4.5        |
| western meadowlark     | <i>Sturnella neglecta</i>      | 1                    | 4.5        |
| <b>Overall Birds</b>   |                                | <b>22</b>            | <b>100</b> |

\* Sums may not total values shown due to rounding.

% Comp. = percent composition

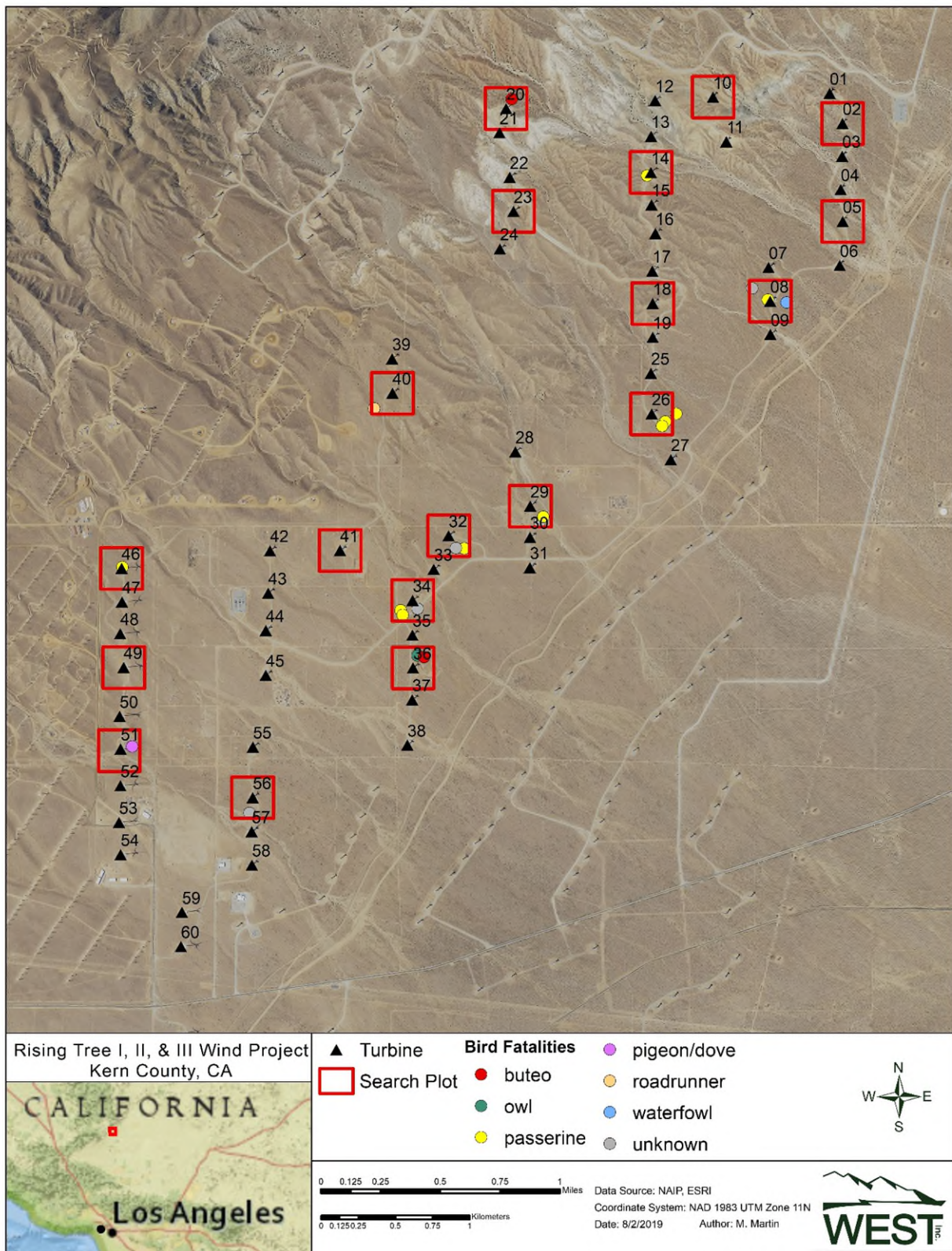
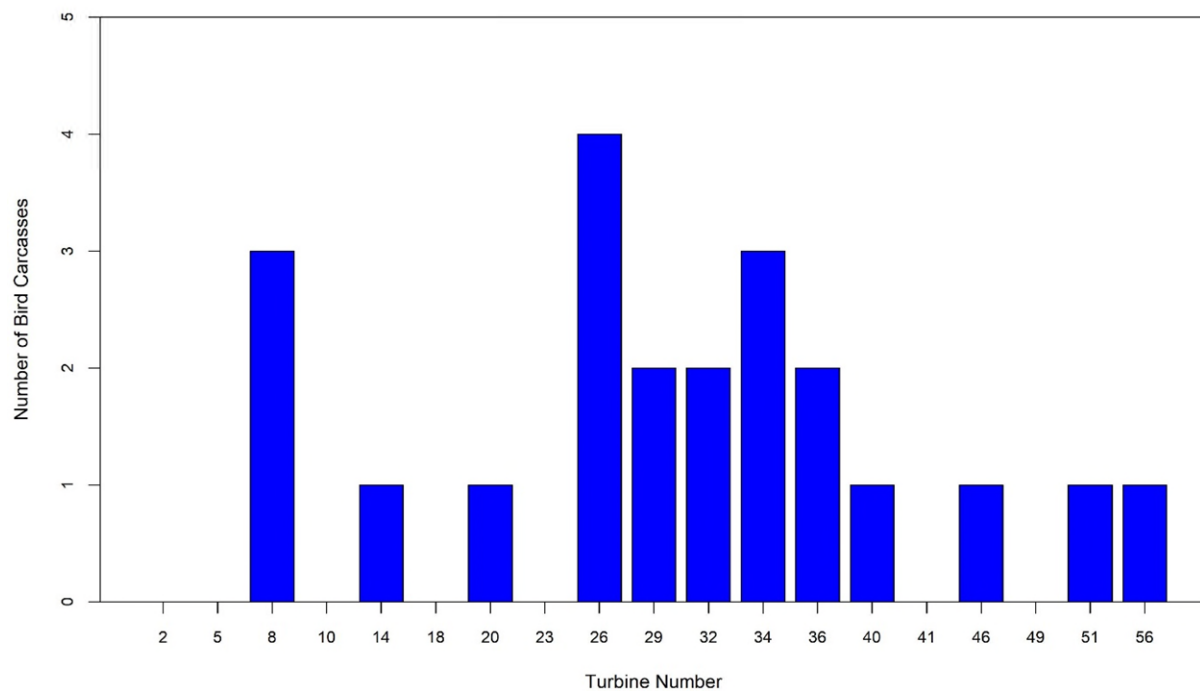


Figure 3. Location of bird fatalities found at the Rising Tree Wind Projects during avian and bat fatality monitoring from June 3, 2018 to May 29, 2019. No bat fatalities were documented.



**Figure 4. Number of bird fatalities by search plot at the Rising Tree Wind Projects, June 3, 2018 – May 29, 2019.**

**Table 2. Distribution of distances from turbines for bird fatalities found at the Rising Tree Wind Projects from June 3, 2018 – May 29, 2019.**

| Distance to Turbine (meters) | Number of Bird Fatalities | % Bird Fatalities |
|------------------------------|---------------------------|-------------------|
| 0-20                         | 2                         | 9.1               |
| 20-40                        | 1                         | 4.5               |
| 40-60                        | 0                         | 0                 |
| 60-80                        | 3                         | 13.6              |
| 80-100                       | 3                         | 13.6              |
| 100-120                      | 8                         | 36.4              |
| 120-140                      | 2                         | 9.1               |
| 140-160                      | 2                         | 9.1               |
| 160-180                      | 1                         | 4.5               |



**Table 3. Results of searcher efficiency trials conducted from June 2018 to May 2019 at the Rising Tree Wind Projects as a function of season and trial carcass size/type.**

| Size Class | Season         | # Placed   | # Available | # Found   | % Found     |
|------------|----------------|------------|-------------|-----------|-------------|
| Large Bird | Summer         | 10         | 10          | 10        | 100         |
|            | Fall           | 8          | 8           | 8         | 100         |
|            | Winter         | 11         | 11          | 11        | 100         |
|            | Spring         | 12         | 12          | 12        | 100         |
|            | <b>Overall</b> | <b>41</b>  | <b>41</b>   | <b>41</b> | <b>100</b>  |
| Small Bird | Summer         | 23         | 12          | 10        | 83.3        |
|            | Fall           | 20         | 14          | 14        | 100         |
|            | Winter         | 24         | 21          | 15        | 71.4        |
|            | Spring         | 35         | 15          | 9         | 60.0        |
|            | <b>Overall</b> | <b>102</b> | <b>62</b>   | <b>48</b> | <b>77.4</b> |
| Bat*       | Summer         | 13         | 10          | 8         | 80.0        |
|            | Fall           | 12         | 10          | 9         | 90.0        |
|            | Spring         | 10         | 7           | 5         | 71.4        |
|            | <b>Overall</b> | <b>35</b>  | <b>27</b>   | <b>22</b> | <b>81.5</b> |

\*brown mice were used as surrogates for bats.

### *Carcass Persistence Trials*

A total of 120 trial carcasses (32 large birds, 45 small birds, and 43 mice) were placed within the Projects on seven separate dates between June 2018 and April 2019. Because carcass persistence trials were not conducted in the winter season during the Year 3 study, trial data were supplemented by winter carcass persistence data collected in Years 1 and 2 of the study. This included an additional 98 trial carcasses (28 large birds, 45 small birds, and 25 mice) which were used to model winter carcass persistence. By Day 14 (equal to the search interval), roughly 22% of large birds remained in the search area, while only about 3% of small birds and about 15% of bats remained (Figure 6).

For each size class, combinations of distribution (i.e., Weibull, exponential, loglogistic, and lognormal) and season were fit and AICc values used to determine the best model. Using the Year 3 spring, summer, and fall carcass persistence data, models for large birds, small birds and bats were best fit by the exponential model with a season covariate (Appendix B2). Using the Year 1 and Year 2 winter data, carcass persistence for large birds and small birds was best fit by the loglogistic model (Appendices B2 and B3). For bats, the best fitting model using the winter data was a lognormal distribution; however, the simpler, exponential model was used in the final analysis as it contained fewer parameters and the difference in AICc was only 0.70 indicating that the additional parameter added little to the model fit (Appendix B2). Based on the modeling results, the overall probability that a carcass persisted through the search interval varied across seasons for all size classes. For the final analysis, carcasses persistence ranged from 0.06 to 0.51 for large birds, 0.06 to 0.26 for small birds, and 0.08 to 0.43 for bats (Appendix C).

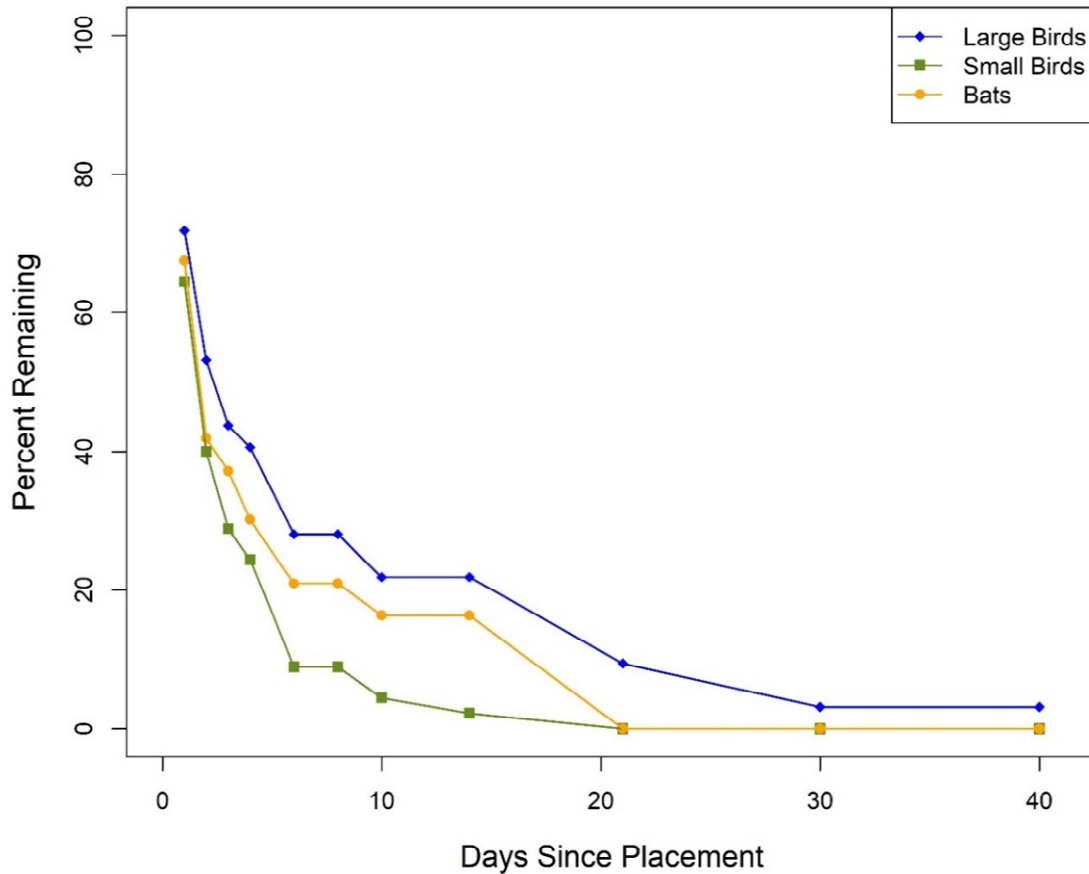


Figure 6. Carcass persistence times at the Rising Tree Wind Projects, June 2018 to May 2019.

#### Adjusted Fatality Estimates

Seasonal fatality estimates and 90% confidence intervals were calculated on a per-turbine basis for each bird category (Appendix C), and overall Projects-wide fatality estimates and 90% confidence intervals were calculated on a per-turbine and per-MW basis for each bird category (Table 4). Confidence intervals are not provided for estimates when sample size (observed number of fatalities) was less than five fatalities for any particular bird category. No bat fatalities were documented during the study period; therefore, bat fatality rates were assumed to be generally very low and an estimate was not calculated. The fatality estimates were adjusted based on the corrections for carcass persistence and observer detection bias. Because 100% of each search plot was surveyed, no search area correction factor was applied. Given the search interval (14 days) and estimates of searcher efficiency and carcass persistence, the probability that a carcass would remain in a search plot and be found by a searcher ranged from 0.06 in fall to 0.51 in summer for large birds and 0.06 in fall to 0.18 in winter for small birds. For bats, this probability ranged from 0.06 in summer to 0.35 in spring (Appendix C).

**Table 4. Adjusted bird fatality estimates and 90% confidence intervals for the Rising Tree Wind Projects from June 3, 2018 – May 29, 2019. No bats were found during the study period; therefore, a fatality rate for bats was not calculated.**

| <b>Class</b>    | <b>Adjusted Mean Fatality Estimate</b> | <b>90% Confidence Interval*</b> |
|-----------------|----------------------------------------|---------------------------------|
|                 | <b># fatalities/turbine/year</b>       |                                 |
| Small Birds     | 6.55                                   | 3.38-10.11                      |
| Large Birds     | 2.39                                   | 0.34-4.74                       |
| Diurnal Raptors | 0.91                                   | -                               |
| All Birds       | 8.94                                   | 4.79-13.43                      |
|                 | <b># fatalities/megawatt/year</b>      |                                 |
| Small Birds     | 1.99                                   | 1.03-3.06                       |
| Large Birds     | 0.72                                   | 0.10-1.44                       |
| Diurnal Raptors | 0.28                                   | -                               |
| All Birds       | 2.71                                   | 1.45-4.07                       |

\*Confidence intervals are not provided for estimates when sample size was less than five fatalities.

### Small Birds

The estimated fatality rate for small birds was highest during the fall (2.57 birds per turbine per season) and lowest during the winter (0.28; Appendix C). Combining all seasons, the overall estimated fatality rate for small birds within the Projects was 6.55 small birds per turbine per year, or 1.99 small birds per MW per year (Table 4). This extrapolates to an estimated 393 small bird fatalities per year at the Projects, which would likely be spread among a variety of species (at least seven small bird species were documented among fatalities during the study period; Appendix A). A detailed breakdown of small bird fatality rates and the associated correction factors is presented in Appendix C.

### Large Birds

The estimated fatality rate for large birds was also highest during the fall (1.98 birds per turbine per season), but lowest during the spring (0.08; Appendix C). Combining all seasons, the overall estimated fatality rate for large birds within the Projects was 2.39 large birds per turbine per year, or 0.72 large birds per MW per year (Table 4). This extrapolates to an estimated 143 large bird fatalities per year at the Projects, which would likely be spread among a variety of species (at least six species classified as large birds were documented among fatalities during the study period; Appendix A). A detailed breakdown of large bird fatality rates and the associated correction factors is presented in Appendix C.

### Diurnal Raptors

The estimated fatality rate for diurnal raptors were highest during the fall (0.83 birds per turbine per season) and lower during the spring (0.08); no diurnal raptor fatalities were found during the winter or summer so fatality rates were not calculated for those seasons (Appendix C). Combining all seasons, the overall estimated fatality rate for diurnal raptors within the Projects was 0.91 diurnal raptors per turbine per year or 0.28 diurnal raptors per MW per year (Table 4). This extrapolates to an estimated 55 diurnal raptor fatalities per year at the Projects, which would likely be spread among several species (the two diurnal raptor fatalities documented during the Year 3 study were both red-tailed hawks; Appendix A). A detailed breakdown of diurnal raptor fatality rates and the associated correction factors is presented in Appendix C.

### All Birds

The estimated fatality rate for all birds was highest during the fall (4.55 birds per turbine per season), and lowest during the winter (0.50; Appendix C). Combining all seasons, the overall estimated fatality rate for all birds within the Projects was 8.94 birds per turbine per year, or 2.71 birds per MW per year (Table 4). This equates to an estimated 536 bird fatalities per year at the Projects, which would likely consist of a variety of species (11 bird species were identified during the study period, along with several unidentified species). A detailed breakdown of all bird fatality rates and the associated correction factors is presented in Appendix C.

### Bats

There were no bat fatalities documented at the Projects; therefore, no fatality estimates for bats were calculated.

### **Raptor Nest Monitoring**

On January 22, 2019, prior to the 2019 nest surveys, an empty stick nest, occupied by common ravens (*Corvus corax*) the previous year (2018; see Chatfield et al. 2018) was removed in an attempt to prevent this nest from being occupied by ravens in 2019. Prior to removal by Rising Tree, WEST confirmed that the nest was not active. This nest was located between turbines 36 and 37 and was believed to have contributed the greater number of bird fatalities documented in this area during the Year 1 and Year 2 fatality monitoring (Rintz et al. 2016, Chatfield et al. 2018).

Ground-based surveys for raptor nests were conducted within the Projects approximately monthly from February – June 2019. Two occupied raptor nests were found within the Projects during the 2019 monitoring period. Both nest were occupied by common ravens the nest closet to turbine 19 failed and nest close to 20 had chicks in by the end of the study (Table 5, Figure 7). The nests were the same nests documented during nest surveys in 2016 and 2018 (see Rintz et al. 2016 and Chatfield et al. 2018).

**Table 5. Raptor nest monitoring results at the Rising Tree Wind Projects, February – June, 2019.**

| <b>Substrate</b> | <b>Species</b> | <b>Nearest Turbine</b> | <b>Distance to Turbine (meters)</b> | <b>Final Status</b> |
|------------------|----------------|------------------------|-------------------------------------|---------------------|
| Radio tower      | Common Raven   | 20                     | 200                                 | Occupied            |
| Radio tower      | Common Raven   | 19                     | 300                                 | Unsuccessful        |

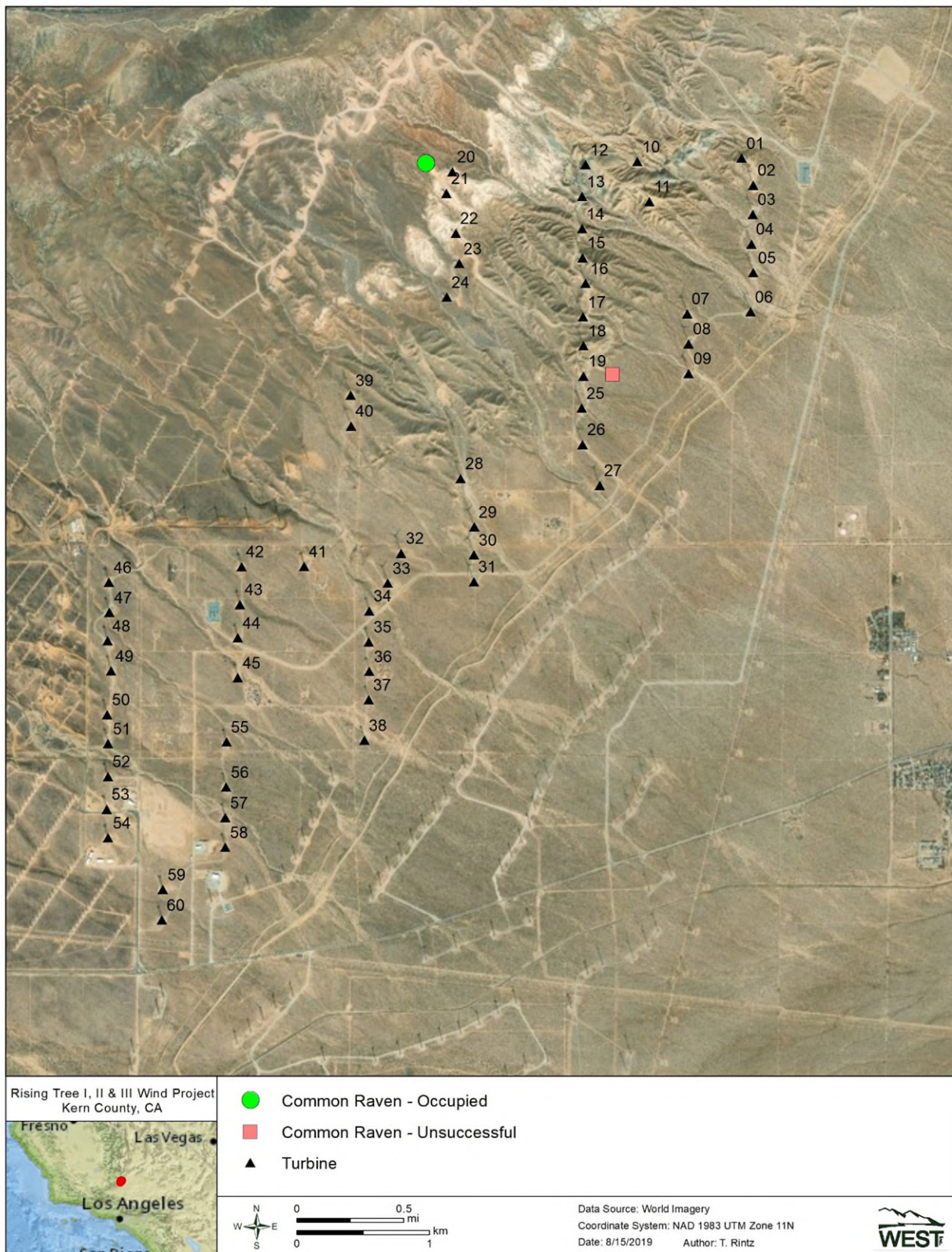


Figure 7. Location of raptor/raven nests identified during raptor nest monitoring at the Rising Tree Wind Projects, February – June, 2019.

## DISCUSSION

### Avian and Bat Fatality Monitoring

The Huso estimator was used for calculating adjusted fatality estimates and accounted for search interval, searcher efficiency, and carcass persistence. It is hypothesized that scavenging could change through time at a given site and must be accounted for when attempting to estimate fatality rates. This was accounted for by conducting carcass persistence and searcher efficiency trials throughout the year to account for any biases associated with seasonal changes in environmental conditions and scavenger populations.

#### *Potential Biases*

There are numerous factors that could contribute to both positive and negative biases in estimating fatality rates (Erickson 2006). The overall design of this study incorporated several assumptions or factors that could affect fatality estimates. First, all bird fatalities found within the standardized search plots were included in the analysis. Second, it was assumed that all carcasses found during the study were due to collision with wind turbines, while true cause of death is unknown for most of the fatalities. It is possible that some of the bird fatalities were caused by predators, and some of the fatalities included in the analysis were potentially due to natural causes (background mortality; see Johnson et al. 2000, Althouse and Meade 2014, Harvey & Associates 2014).

While the above are considered positive biases (i.e., potentially leading to overestimates), there are also some potential negative biases. For example, no adjustments were made for fatalities possibly occurring outside of the square plot boundaries. Plot boundaries were established a minimum distance of 140 m from the turbine, which was equivalent to the maximum turbine height. The search plot distance for this study was selected based on results of other studies (Higgins et al. 1996; Erickson et al. 2004; Johnson et al. 2002, 2003, 2004; Kerlinger et al. 2007; Young et al. 2003, 2006), in which a distance equal to the approximate height of the turbine appeared to capture a very large percentage of fatalities. Hull and Muir (2010) provide additional support for the study plot size based on their ballistic models, indicating that 99% or more of all bird and bat size classes should fall within a 140-m search plot based on the turbine specifications at the Projects. However, while plot sizes should have captured a large majority of all fatalities, based on the distribution of fatalities as a function of distance from turbines (Table 2, Appendix A), a small percentage of bird fatalities, and potentially a bat, could have fallen outside the search plots and been missed. This factor would lead to an underestimate of fatality rates.

Other potential biases are associated with the experimental carcasses used in searcher efficiency and carcass persistence trials and whether or not they are representative of naturally occurring carcasses. This may occur if the types of birds used are larger or smaller than the carcasses of fatalities or more or less cryptic in color than the actual fatalities. Mallards, *Coturnix* quail, and brown mice were used to represent the range of bird and bat fatalities that could potentially occur. It is believed that this range captures the range of sizes and other characteristics of actual fatalities

and should be a reasonable representation of scavenging rates as a whole. It is generally not practical or feasible to obtain a sufficiently large sample of native bird and bat species in fresh condition for use in these trials.

Concern has also been raised regarding how the number of carcasses placed in the field for carcass removal trials on a given day could lead to biased estimates of scavenging rates (e.g., Smallwood 2007, Smallwood et al. 2010). Hypothetically, this would lead to underestimating true scavenging rates if the scavenger densities were low enough such that scavenging rates for trial carcasses were lower than for turbine related fatalities. The logic is that if the trials are based on too many carcasses on a given day, scavengers are unable to access all trial carcasses, whereas they could access all turbine caused fatalities. If this were the case, and the trial carcass density was much greater than actual turbine related fatality density, the trials would underestimate true scavenging rates. The contrary is also possible, where placing carcasses may draw in more scavengers and carcasses could be removed more quickly than normal.

### *Bird Fatalities*

Passerines were the bird type most commonly found as a fatality, representing 59.1% of avian fatalities. Diurnal raptors and owls composed another 13.6% of observed mortality. Of the 11 identified bird species documented as fatalities during the Year 3 study, only one (short-eared owl, a California Species of Special Concern) is considered sensitive at the state or federal level. Avian fatalities were generally spread throughout the Projects both spatially and temporally, with fatalities occurring less frequently in winter than during the other seasons.

Overall bird fatality rates at wind energy facilities in California have been variable, ranging from 0.17 to 17.44 birds/MW/year (Figure 8, Appendix D). During the third year of fatality monitoring at the Projects, the estimated annual bird fatality rate was 2.71 birds/MW/year, which is consistent with overall bird fatality rates estimated in Year 1 and Year 2 of the study (3.13 and 1.63 fatalities/MW/year, respectively; Rintz et al. 2016, Chatfield et al. 2018; Figure 8). Fatality rates from the 3-year study at the Project are relatively low compared to other wind energy facilities in California with publicly available data (Figure 8, Appendix D). More locally, at the facilities in the immediate vicinity (within 3.0 km [1.9 mi]) of the Projects (i.e., Alta II-V, Alta VIII, Mustang Hills, Pinyon Pines I & II, Alta X, Windstar, Lower West, and Cameron Ridge/Section 15), overall bird fatality rates have ranged from 0.25 to 6.65 birds/MW/year, with an average of 1.57 birds/MW/year (Figure 8, Appendix D). The avian fatality rates from the Rising Tree Projects fall within this range (1.63, 2.71, 3.13 birds/MW/year; Appendix D). Two other facilities in the TWRA, Alta I and Pine Tree, have had somewhat higher overall bird fatality rates (12.05 and 17.44 birds/MW/year, respectively) than other regional facilities (Figure 8, Appendix D). However, both of these facilities are located more than 8.0 km (5.0 mi) from the Rising Tree Projects and have considerably different topographical and vegetative characteristics and, therefore, may not be as appropriate for comparison.

### Diurnal Raptors

Diurnal raptor fatality estimates at wind energy facilities in California have ranged from zero to 1.06 birds/MW/year with an average of 0.20 diurnal raptors/MW/year (Figure 9, Appendix D).

Diurnal raptor fatality estimates during Years 1-3 of the monitoring study at the Projects (0.06, 0.14, and 0.28 diurnal raptors/MW/year, respectively) are on the lower end of this range (Figure 9) and more similar to fatality rates documented at the facilities located adjacent to the Projects (i.e., Alta II-V, Alta VIII, Mustang Hills, Pinyon Pines I & II, Alta X, Windstar, Lower West, and Cameron Ridge/Section 15) than to other facilities in the Montezuma Hills Wind Resource Area (e.g., Montezuma, Shiloh, and High Winds; see Figure 9 and Appendix D). Diurnal raptor fatality rates at these adjacent facilities have ranged from zero to 0.18 raptors/MW/year during multiple years of fatality monitoring at each facility (Figure 9, Appendix D). During Year 3 of monitoring at the Projects, diurnal raptor fatalities consisted of two red-tailed hawks. Diurnal raptor fatalities during other years of the study have included a single red-tailed hawk in Year 1 (Rintz et al. 2016) and three red-tailed hawks and an American kestrel (*Falco sparverius*) in Year 2 (Chatfield et al. 2018). While fewer diurnal raptor fatalities were found in Year 3 than the previous year, the Year 3 diurnal raptor fatality rate is twice that estimated for Year 2. This is likely due to very low carcass persistence rates (0.06) observed during the fall bias trials in Year 3 which contributed to higher fatality rates during that season (Appendix C).

Comparatively low diurnal raptor mortality at the Projects and surrounding facilities is consistent with the relatively low diurnal raptor use observed during pre-construction surveys conducted at the Projects and nearby sites in the TWRA (see Johnson et al. 2007, Erickson et al. 2009, Chatfield et al. 2010a, 2011a, 2011b).

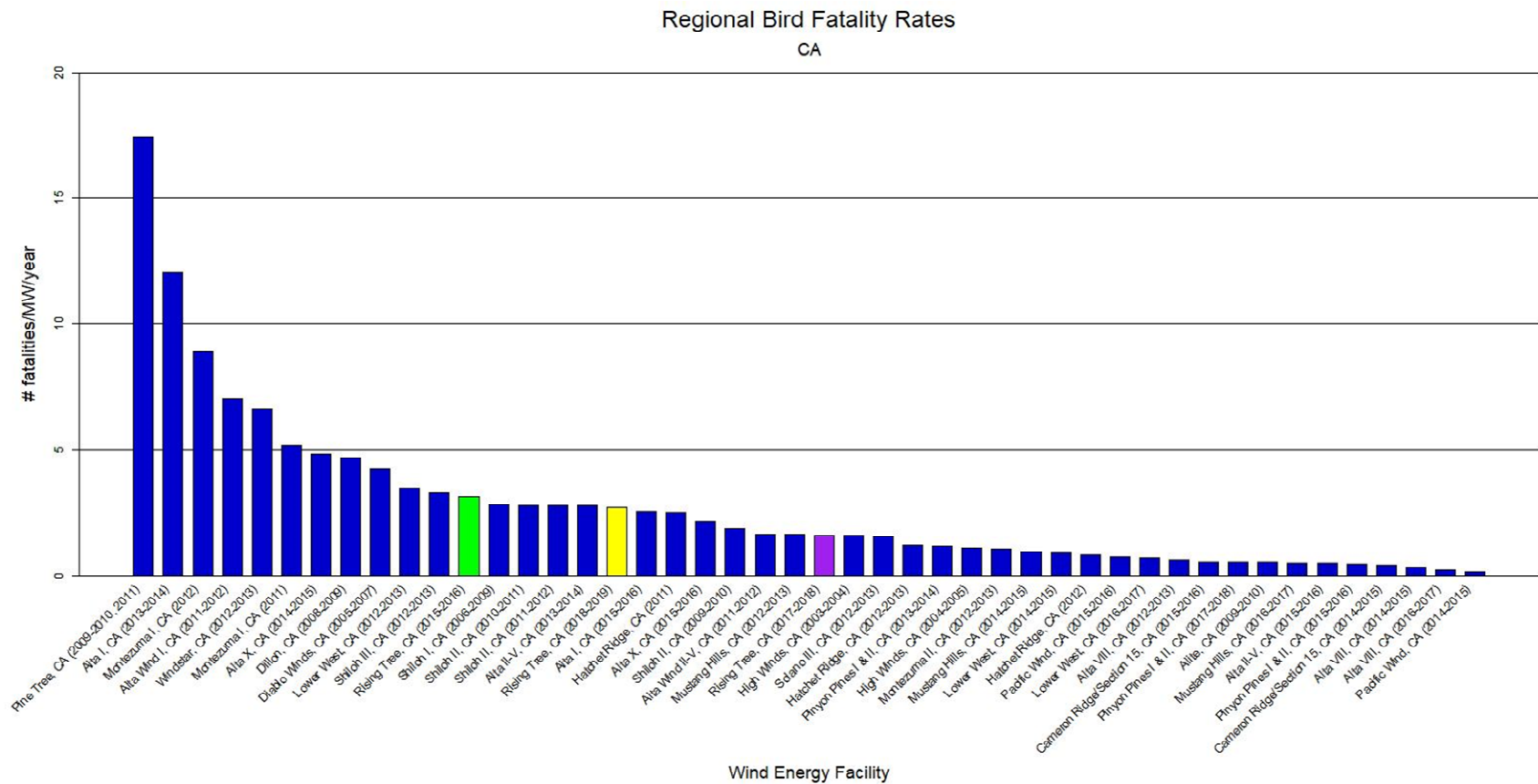


Figure 8. Fatality rates for all birds (number of birds per megawatt [MW] per year) at the Rising Tree Wind Projects compared with publicly available studies at other wind energy facilities in California. Results of the Year 3 (2018-2019) study are shown in yellow and results of the Year 1 (2015-2016) and Year 2 (2017-2018) studies are shown in green and purple, respectively.

**Figure 8 (continued). Fatality rates for all birds (number of birds per megawatt [MW] per year) at the Rising Tree Wind Projects compared with publicly available studies at other wind energy facilities in California.**

Data from the following sources:

| Wind Energy Facility           | Reference                     | Wind Energy Facility                 | Reference                                        |
|--------------------------------|-------------------------------|--------------------------------------|--------------------------------------------------|
| <b>Rising Tree (2018-2019)</b> | <b>This study.</b>            |                                      |                                                  |
| <b>Rising Tree (2017-2018)</b> | <b>Chatfield et al. 2018</b>  |                                      |                                                  |
| <b>Rising Tree (2015-2016)</b> | <b>Rintz et al. 2016</b>      |                                      |                                                  |
| Pine Tree (2009-2010, 2011)    | Bio Resource Consultants 2012 | Solano III (2012-2013)               | AECOM 2013                                       |
| Alta I (2013-2014)             | Chatfield et al. 2014         | Hatchet Ridge (2012-2013)            | Tetra Tech 2014                                  |
| Montezuma I (2012)             | ICF International 2013        | Pinyon Pines I & II (2013-2014)      | Chatfield and Russo 2014                         |
| Alta I (2011-2012)             | Chatfield et al. 2012         | High Winds (2004-2005)               | Kerlinger et al. 2006                            |
| Windstar (2012-2013)           | Levenstein and Bay 2013a      | Montezuma II (2012-2013)             | Harvey & Associates 2013                         |
| Montezuma I (2011)             | ICF International 2012        | Mustang Hills (2014-2015)            | WEST 2016a                                       |
| Alta X (2014-2015)             | Chatfield et al. 2015         | Lower West (2014-2015)               | Levenstein and DiDonato 2015                     |
| Dillon (2008-2009)             | Chatfield et al. 2009         | Hatchet Ridge (2012)                 | Tetra Tech 2013                                  |
| Diablo Winds (2005-2007)       | WEST 2006, 2008               | Pacific Wind (2015-2016)             | WEST 2017a                                       |
| Lower West (2012-2013)         | Levenstein and Bay 2013b      | Lower West (2016-2017)               | WEST 2017b                                       |
| Shiloh III (2012-2013)         | Kerlinger et al. 2013a        | Alta VIII (2012-2013)                | Chatfield and Bay 2014                           |
| Shiloh I (2008-2009)           | Kerlinger et al. 2009         | Cameron Ridge/Section 15 (2015-2016) | Rintz and Thompson 2017                          |
| Shiloh II (2010-2011)          | Kerlinger et al. 2013b        | Pinyon Pines I & II (2017-2018)      | Rintz and Pham 2018                              |
| Shiloh II (2011-2012)          | Kerlinger et al. 2013b        | Alite (2009-2010)                    | Chatfield et al. 2010b                           |
| Alta II-V (2013-2014)          | Chatfield et al. 2014         | Mustang Hills (2016-2017)            | WEST 2018                                        |
| Alta I (2015-2016)             | Thompson et al. 2016a         | Alta II-V (2015-2016)                | Thompson et al. 2016a                            |
| Hatchet Ridge (2011)           | Tetra Tech 2013               | Pinyon Pines I & II (2015-2016)      | Rintz and Starceovich 2016                       |
| Alta X (2015-2016)             | Thompson et al. 2016b         | Cameron Ridge/Section 15 (2014-2015) | WEST 2016b                                       |
| Shiloh II (2009-2010)          | Kerlinger et al. 2010         | Alta VIII (2014-2015)                | Western EcoSystems Technology, Inc. (WEST) 2016a |
| Alta II-V (2011-2012)          | Chatfield et al. 2012         | Alta VIII (2016-2017)                | WEST 2018                                        |
| Mustang Hills (2012-2013)      | Chatfield and Bay 2014        | Pacific Wind (2014-2015)             | WEST 2016c                                       |
| High Winds (2003-2004)         | Kerlinger et al. 2006         |                                      |                                                  |

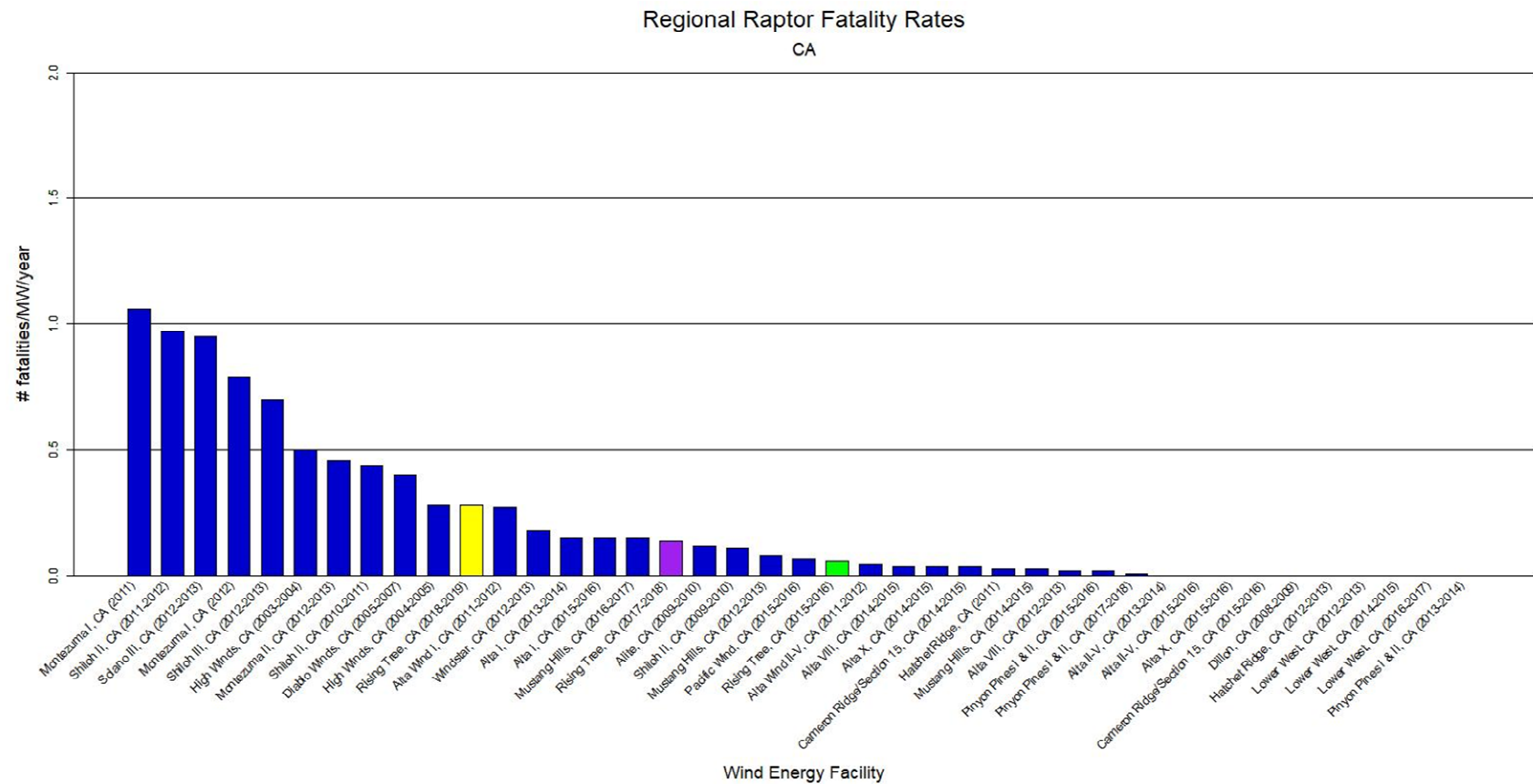


Figure 9. Fatality rates for diurnal raptors (number of diurnal raptors per megawatt [MW] per year) at the Rising Tree Wind Projects compared with publicly available studies at other wind energy facilities in California. Results of the Year 3 (2018-2019) study are shown in yellow and results of the Year 1 (2015-2016) and Year 2 (2017-2018) studies are shown in green and purple, respectively.

**Figure 9 (continued). Fatality rates for diurnal raptors (number of diurnal raptors per megawatt [MW] per year) at the Rising Tree Wind Projects compared with publicly available studies at other wind energy facilities in California.**

Data from the following sources:

| <b>Wind Energy Facility</b>    | <b>Reference</b>             | <b>Wind Energy Facility</b>             | <b>Reference</b>                                                                |
|--------------------------------|------------------------------|-----------------------------------------|---------------------------------------------------------------------------------|
| <b>Rising Tree (2018-2019)</b> | <b>This study</b>            |                                         |                                                                                 |
| <b>Rising Tree (2017-2018)</b> | <b>Chatfield et al 2018.</b> |                                         |                                                                                 |
| <b>Rising Tree (2015-2016)</b> | <b>Rintz et al. 2016</b>     |                                         |                                                                                 |
| Montezuma I (2011)             | ICF International 2012       | Alta II-V (2011-2012)                   | Chatfield et al. 2012<br>Western EcoSystems<br>Technology, Inc.<br>(WEST) 2016a |
| Shiloh II (2011-2012)          | Kerlinger et al. 2013b       | Alta VIII (2014-2015)                   | Chatfield et al. 2015                                                           |
| Solano III (2012-2013)         | AECOM 2013                   | Alta X (2014-2015)                      | WEST 2016b                                                                      |
| Montezuma I (2012)             | ICF International 2013       | Cameron Ridge/Section<br>15 (2014-2015) | Tetra Tech 2013                                                                 |
| Shiloh III (2012-2013)         | Kerlinger et al. 2013a       | Hatchet Ridge (2011)                    | WEST 2016a                                                                      |
| High Winds (2003-2004)         | Kerlinger et al. 2006        | Mustang Hills (2014-<br>2015)           | Chatfield and Bay 2014                                                          |
| Montezuma II (2012-<br>2013)   | Harvey & Associates<br>2013  | Alta VIII (2012-2013)                   | Rintz and Starceovich 2016                                                      |
| Shiloh II (2010-2011)          | Kerlinger et al. 2013b       | Pinyon Pines I & II (2015-<br>2016)     | Rintz and Pham 2018                                                             |
| Diablo Winds (2005-<br>2007)   | WEST 2006, 2008              | Pinyon Pines I & II (2017-<br>2018)     | Chatfield et al. 2014                                                           |
| High Winds (2004-2005)         | Kerlinger et al. 2006        | Alta II-V (2013-2014)                   | Thompson et al. 2016a                                                           |
| Alta I (2011-2012)             | Chatfield et al. 2012        | Alta II-V (2015-2016)                   | Thompson et al. 2016b                                                           |
| Windstar (2012-2013)           | Levenstein and Bay<br>2013a  | Alta X (2015-2016)                      | Cameron Ridge/Section<br>15 (2015-2016)                                         |
| Alta I (2013-2014)             | Chatfield et al. 2014        | Dillon (2008-2009)                      | Chatfield et al. 2009                                                           |
| Alta I (2015-2016)             | Thompson et al. 2016a        | Hatchet Ridge (2012)                    | Tetra Tech 2014                                                                 |
| Mustang Hills (2016-<br>2017)  | WEST 2018                    | Lower West (2012-2013)                  | Levenstein and Bay<br>2013b                                                     |
| Alite (2009-2010)              | Chatfield et al. 2010b       | Lower West (2014-2015)                  | Levenstein and DiDonato<br>2015                                                 |
| Shiloh II (2009-2010)          | Kerlinger et al. 2010        | Lower West (2016-2017)                  | WEST 2017b                                                                      |
| Mustang Hills (2012-<br>2013)  | Chatfield and Bay 2014       | Pinyon Pines I & II (2013-<br>2014)     | Chatfield and Russo 2014                                                        |
| Pacific Wind (2015-2016)       | WEST 2017a                   |                                         |                                                                                 |

### *Bat Fatalities*

In California, bat fatality rates have ranged from zero to 5.22 bats/MW/year (Appendix D). At facilities adjacent to the Projects, bat fatality rates have been much lower, ranging from zero to 2.17 bats/MW/year (Appendix D). No bat fatalities were found during the 3-year monitoring study at the Projects (see Rintz et al. 2016, Chatfield et al. 2018). While some bat fatalities may have occurred and gone undetected by searchers, the lack of documented fatalities is a clear indication that the actual fatality rate is very low and consistent with other wind energy facilities in the TWRA (Appendix D). The comparatively low bat fatality rate documented at the Projects to date is consistent with the relatively low bat activity levels (0.10 bat passes/detector night) reported during pre-construction acoustic bat surveys conducted at the Projects (see Chatfield et al. 2010c).

## **CONCLUSIONS AND RECOMMENDATIONS**

The results of the 3-year post-construction fatality monitoring study at the Projects add to our understanding of the potential long-term impacts of the facility on birds and bats and to our understanding of the impacts of wind energy on wildlife in general. Overall bird and diurnal raptor fatality rates estimated during Year 3 of the study were similar to those estimated during years 1 and 2 of the study, and are also consistent with fatality rates reported at other nearby facilities in the TWRA. No bat fatalities have been documented during three years of fatality monitoring conducted at the Projects.

As initially stated, the objective of the fatality monitoring study described in MM 4.4-22 of the EIRs was to demonstrate the level of incidental injury and mortality to populations of avian and bat species in the vicinity of the Projects. Based on the range of bird and bat fatality levels that have been observed during the 3-year study, as well as the composition of those fatalities, it appears unlikely that continued operation of the Projects will result in significant impacts to bird or bat populations.

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**Appendix A. Complete List of Fatalities Documented during Post-Construction Avian and Bat Fatality Monitoring at the Rising Tree Wind Projects from June 3, 2018 – May 29, 2019**

**Appendix A. Complete listing of fatalities found at the Rising Tree Wind Projects, June 3, 2018 – May 29, 2019.**

| Date       | Common Name            | Scientific Name                | Turbine | Distance from Turbine (meters) | Type of Find   | Condition    |
|------------|------------------------|--------------------------------|---------|--------------------------------|----------------|--------------|
| 2018-06-11 | unidentified passerine | -                              | 29      | 122                            | Carcass search | Feather spot |
| 2018-06-11 | mourning dove          | <i>Zenaida macroura</i>        | 32      | 95                             | Carcass search | Feather spot |
| 2018-06-14 | unidentified passerine | -                              | 56      | 105                            | Carcass search | Feather spot |
| 2018-06-17 | greater roadrunner     | <i>Geococcyx californianus</i> | 8       | 148                            | Carcass search | Feather spot |
| 2018-06-27 | mourning dove          | <i>Zenaida macroura</i>        | 51      | 77                             | Carcass search | Feather spot |
| 2018-07-08 | house wren             | <i>Troglodytes aedon</i>       | 29      | 110                            | Carcass search | Intact       |
| 2018-08-28 | house wren             | <i>Troglodytes aedon</i>       | 8       | 17                             | Carcass search | Intact       |
| 2018-09-17 | unidentified shorebird | -                              | 34      | 63                             | Carcass search | Feather spot |
| 2018-10-12 | unidentified waterfowl | -                              | 8       | 108                            | Carcass search | Feather spot |
| 2018-10-15 | red-tailed hawk        | <i>Buteo jamaicensis</i>       | 36      | 101                            | Carcass search | Feather spot |
| 2018-11-12 | western meadowlark     | <i>Sternella neglecta</i>      | 14      | 33                             | Carcass search | Feather spot |
| 2018-11-29 | greater roadrunner     | <i>Geococcyx californianus</i> | 40      | 160                            | Carcass search | Feather spot |
| 2018-12-21 | short-eared owl        | <i>Asio flammeus</i>           | 36      | 86                             | Carcass search | Feather spot |
| 2018-12-26 | horned lark            | <i>Eremophila alpestris</i>    | 46      | 6                              | Carcass search | Intact       |
| 2019-03-15 | red-tailed hawk        | <i>Buteo jamaicensis</i>       | 20      | 71                             | Carcass search | Feather spot |
| 2019-03-20 | horned lark            | <i>Eremophila alpestris</i>    | 34      | 104                            | Carcass search | Intact       |
| 2019-05-07 | white-crowned sparrow  | <i>Zonotrichia leucophrys</i>  | 26      | 98                             | Carcass search | Dismembered  |
| 2019-05-07 | Townsend's warbler     | <i>Setophaga townsendi</i>     | 26      | 162                            | Carcass search | Intact       |
| 2019-05-07 | orange-crowned warbler | <i>Vermivora celata</i>        | 26      | 103                            | Carcass search | Intact       |
| 2019-05-07 | house finch            | <i>Haemorhous mexicanus</i>    | 26      | 106                            | Carcass search | Dismembered  |
| 2019-05-21 | Townsend's warbler     | <i>Setophaga townsendi</i>     | 32      | 133                            | Carcass search | Dismembered  |
| 2019-05-23 | horned lark            | <i>Eremophila alpestris</i>    | 34      | 118                            | Carcass search | Intact       |

**Appendix B. Final Models for Searcher Efficiency and Carcass Persistence Based on  
Bias Trials Conducted at the Rising Tree Wind Projects from June 2018 – May 2019**

**Appendix B1. Searcher efficiency logistic regression models for the Rising Tree Wind Projects, June 2018 to May 2019. Selected models are denoted by an asterisk in the  $\Delta AICc$  column.**

| Size Class  | Covariates           | Number of Parameters | Sample Size | AICc | $\Delta AICc$ |
|-------------|----------------------|----------------------|-------------|------|---------------|
| Large Birds | Intercept Only       | 1                    | 41          | 2.1  | 0.0*          |
|             | Response with Season | 4                    | 41          | 9.1  | 7.0           |
| Small Birds | Response with Season | 4                    | 62          | 64.8 | 0.0*          |
|             | Intercept Only       | 1                    | 62          | 68.3 | 3.5           |
| Bats*       | Intercept Only       | 1                    | 27          | 28.0 | 0.0*          |
|             | Response with Season | 3                    | 27          | 31.9 | 3.9           |

\* Brown mice used as surrogates for bats.

AICc = Corrected Akaike Information Criteria

**Appendix B2. Carcass persistence models and parameters at the Rising Tree Wind Projects. Selected models are denoted by an asterisk in the  $\Delta AICc$  column.**

| Size Class  | Data Source                    | Covariate           | Distribution | Number of Parameters | Sample Size | AICc  | $\Delta AICc$ |
|-------------|--------------------------------|---------------------|--------------|----------------------|-------------|-------|---------------|
| Large Birds | Spring, Summer, Fall 2018-2019 | survDat with Season | Exponential  | 3                    | 32          | 126.5 | 0.0*          |
|             | Spring, Summer, Fall 2018-2019 | survDat with Season | Weibull      | 4                    | 32          | 127.5 | 1.0           |
|             | Spring, Summer, Fall 2018-2019 | survDat with Season | Loglogistic  | 4                    | 32          | 127.7 | 1.2           |
|             | Spring, Summer, Fall 2018-2019 | survDat with Season | Lognormal    | 4                    | 32          | 128.3 | 1.8           |
|             | Spring, Summer, Fall 2018-2019 | Intercept Only      | Weibull      | 2                    | 32          | 132.6 | 6.1           |
|             | Spring, Summer, Fall 2018-2019 | Intercept Only      | Lognormal    | 2                    | 32          | 132.7 | 6.2           |
|             | Spring, Summer, Fall 2018-2019 | Intercept Only      | Loglogistic  | 2                    | 32          | 133.4 | 6.9           |
|             | Spring, Summer, Fall 2018-2019 | Intercept Only      | Exponential  | 1                    | 32          | 138.9 | 12.4          |
|             | Winter 2015-2016; 2017-2018    | Intercept Only      | Loglogistic  | 2                    | 28          | 116.7 | 0.0*          |
|             | Winter 2015-2016; 2017-2018    | Intercept Only      | Lognormal    | 2                    | 28          | 116.7 | 0.0           |
|             | Winter 2015-2016; 2017-2018    | Intercept Only      | Weibull      | 2                    | 28          | 116.9 | 0.2           |
|             | Winter 2015-2016; 2017-2018    | Intercept Only      | Exponential  | 1                    | 28          | 138.4 | 21.7          |
| Small Birds | Spring, Summer, Fall 2018-2019 | survDat with Season | Exponential  | 3                    | 45          | 149.9 | 0.0*          |
|             | Spring, Summer, Fall 2018-2019 | survDat with Season | Weibull      | 4                    | 45          | 152.3 | 2.4           |
|             | Spring, Summer, Fall 2018-2019 | survDat with Season | Lognormal    | 4                    | 45          | 153.3 | 3.4           |
|             | Spring, Summer, Fall 2018-2019 | survDat with Season | Loglogistic  | 4                    | 45          | 154.2 | 4.3           |

**Appendix B2. Carcass persistence models and parameters at the Rising Tree Wind Projects. Selected models are denoted by an asterisk in the  $\Delta AICc$  column.**

| Size Class | Data Source                    | Covariate           | Distribution | Number of Parameters | Sample Size | AICc  | $\Delta AICc$ |
|------------|--------------------------------|---------------------|--------------|----------------------|-------------|-------|---------------|
|            | Spring, Summer, Fall 2018-2019 | Intercept Only      | Exponential  | 1                    | 45          | 155.9 | 6.1           |
|            | Spring, Summer, Fall 2018-2019 | Intercept Only      | Weibull      | 2                    | 45          | 157.1 | 7.2           |
|            | Spring, Summer, Fall 2018-2019 | Intercept Only      | Lognormal    | 2                    | 45          | 157.8 | 7.9           |
|            | Spring, Summer, Fall 2018-2019 | Intercept Only      | Loglogistic  | 2                    | 45          | 159.2 | 9.3           |
|            | Winter 2015-2016; 2017-2018    | Intercept Only      | Loglogistic  | 2                    | 45          | 170.2 | 0.0*          |
|            | Winter 2015-2016; 2017-2018    | Intercept Only      | Lognormal    | 2                    | 45          | 171.6 | 1.4           |
|            | Winter 2015-2016; 2017-2018    | Intercept Only      | Weibull      | 2                    | 45          | 175.3 | 5.1           |
|            | Winter 2015-2016; 2017-2018    | Intercept Only      | Exponential  | 1                    | 45          | 211.2 | 41.0          |
| Bats*      | Spring, Summer, Fall 2018-2019 | survDat with Season | Exponential  | 3                    | 43          | 136.3 | 0.0*          |
|            | Spring, Summer, Fall 2018-2019 | survDat with Season | Weibull      | 4                    | 43          | 138.7 | 2.4           |
|            | Spring, Summer, Fall 2018-2019 | survDat with Season | Lognormal    | 4                    | 43          | 144.2 | 7.9           |
|            | Spring, Summer, Fall 2018-2019 | survDat with Season | Loglogistic  | 4                    | 43          | 146.1 | 9.8           |
|            | Spring, Summer, Fall 2018-2019 | Intercept Only      | Weibull      | 2                    | 43          | 153.4 | 17.1          |
|            | Spring, Summer, Fall 2018-2019 | Intercept Only      | Lognormal    | 2                    | 43          | 154.9 | 18.6          |
|            | Spring, Summer, Fall 2018-2019 | Intercept Only      | Loglogistic  | 2                    | 43          | 156.6 | 20.3          |
|            | Spring, Summer, Fall 2018-2019 | Intercept Only      | Exponential  | 1                    | 43          | 157.3 | 20.9          |
|            | Winter 2015-2016; 2017-2018    | Intercept Only      | Lognormal    | 2                    | 25          | 81.2  | 0.0           |
|            | Winter 2015-2016; 2017-2018    | Intercept Only      | Weibull      | 2                    | 25          | 81.4  | 0.2           |
|            | Winter 2015-2016; 2017-2018    | Intercept Only      | Loglogistic  | 2                    | 25          | 81.5  | 0.3           |
|            | Winter 2015-2016; 2017-2018    | Intercept Only      | Exponential  | 1                    | 25          | 81.9  | 0.7*          |

\* Brown mice used as surrogates for bats.

AICc = Corrected Akaike Information Criteria

**Appendix B3. Carcass persistence top models with covariates, distributions, and model parameters for the Rising Tree Wind Project.**

| <b>Size</b> | <b>Season</b> | <b>Distribution</b> | <b>Predicted Values</b> | <b>Scale</b> |
|-------------|---------------|---------------------|-------------------------|--------------|
| Large Bird  | summer        | Exponential         | 9.0                     | 1.0          |
|             | fall          | Exponential         | 0.8                     | 1.0          |
|             | spring        | Exponential         | 7.8                     | 1.0          |
|             | winter        | Loglogistic         | 3.5                     | 1.7          |
| Small Bird  | summer        | Exponential         | 2.4                     | 1.0          |
|             | fall          | Exponential         | 0.9                     | 1.0          |
|             | spring        | Exponential         | 3.8                     | 1.0          |
|             | winter        | Loglogistic         | 1.4                     | 1.1          |
| Bat*        | summer        | Exponential         | 1.1                     | 1.0          |
|             | fall          | Exponential         | 1.6                     | 1.0          |
|             | spring        | Exponential         | 6.9                     | 1.0          |
|             | winter        | Exponential         | 2.1                     | 1.0          |

\* Brown mice used as surrogates for bats.

**Appendix C. Complete Bird and Bat Fatality Table (Based on Huso Estimator) for the  
Rising Tree Wind Projects for Studies Conducted from June 3, 2018 – May 29, 2019**

**Appendix C. Complete bird and bat fatality table (based on Huso estimator) for the Rising Tree Wind Projects for studies conducted from June 3, 2018 – May 29, 2019.**

| Parameter                                                              | Winter   |             | Spring   |             | Summer   |             | Fall     |             |
|------------------------------------------------------------------------|----------|-------------|----------|-------------|----------|-------------|----------|-------------|
|                                                                        | Estimate | 90 % CI     | Estimate | 90 % CI     | Estimate | 90 % CI     | Estimate | 90 % CI     |
| <b>Observer Detection Rate</b>                                         |          |             |          |             |          |             |          |             |
| P (Bat)                                                                | 0.81     | 0.68 - 0.93 | 0.81     | 0.68 - 0.93 | 0.81     | 0.68 - 0.93 | 0.81     | 0.68 - 0.93 |
| P (Large Bird)                                                         | 1        | 1 - 1       | 1        | 1 - 1       | 1        | 1 - 1       | 1        | 1 - 1       |
| P ( Diurnal Raptor)                                                    | 1        | 1 - 1       | 1        | 1 - 1       | 1        | 1 - 1       | 1        | 1 - 1       |
| P (Small Bird)                                                         | 0.71     | 0.55 - 0.86 | 0.6      | 0.38 - 0.8  | 0.83     | 0.64 - 1    | 1        | 1 - 1       |
| <b>Probability of a Carcass Persisting Through the Search Interval</b> |          |             |          |             |          |             |          |             |
| Bat                                                                    | 0.15     | 0.09 - 0.23 | 0.43     | 0.32 - 0.51 | 0.08     | 0.05 - 0.1  | 0.11     | 0.06 - 0.17 |
| Large Bird                                                             | 0.44     | 0.31 - 0.57 | 0.46     | 0.34 - 0.56 | 0.51     | 0.06 - 0.86 | 0.06     | 0.03 - 0.08 |
| Diurnal Raptor                                                         | 0.44     | 0.31 - 0.57 | 0.46     | 0.34 - 0.56 | 0.51     | 0.06 - 0.86 | 0.06     | 0.03 - 0.08 |
| Small Bird                                                             | 0.25     | 0.17 - 0.34 | 0.26     | 0.19 - 0.33 | 0.17     | 0.09 - 0.26 | 0.06     | 0.04 - 0.09 |
| <b>Probability of Available and Detected</b>                           |          |             |          |             |          |             |          |             |
| Bat                                                                    | 0.12     | 0.07 - 0.19 | 0.35     | 0.25 - 0.43 | 0.06     | 0.04 - 0.09 | 0.09     | 0.05 - 0.14 |
| Large Bird                                                             | 0.44     | 0.31 - 0.57 | 0.46     | 0.34 - 0.56 | 0.51     | 0.06 - 0.86 | 0.06     | 0.03 - 0.08 |
| Diurnal Raptor                                                         | 0.44     | 0.31 - 0.57 | 0.46     | 0.34 - 0.56 | 0.51     | 0.06 - 0.86 | 0.06     | 0.03 - 0.08 |
| Small Bird                                                             | 0.18     | 0.11 - 0.26 | 0.16     | 0.09 - 0.23 | 0.14     | 0.07 - 0.23 | 0.06     | 0.04 - 0.09 |
| <b>Unadjusted Number of Fatalities</b>                                 |          |             |          |             |          |             |          |             |
| Bat                                                                    | 0        | -           | 0        | -           | 0        | -           | 0        | -           |
| Large Bird                                                             | 2        | -           | 1        | -           | 1        | -           | 2        | -           |
| Small Bird                                                             | 1        | -           | 7        | 1 - 14      | 5        | 2 - 9       | 3        | -           |
| Diurnal Raptor                                                         | 0        | -           | 1        | -           | 0        | -           | 1        | -           |
| <b>Observed Fatality Rates (Fatalities/Turbine/Season)</b>             |          |             |          |             |          |             |          |             |
| Bat                                                                    | 0        | -           | 0        | -           | 0        | -           | 0        | -           |
| Large Bird                                                             | 0.11     | -           | 0.05     | -           | 0.05     | -           | 0.11     | -           |
| Small Bird                                                             | 0.05     | -           | 0.37     | 0.05 - 0.74 | 0.26     | 0.11 - 0.47 | 0.16     | -           |
| Diurnal Raptor                                                         | 0        | -           | 0.05     | -           | 0        | -           | 0.05     | -           |
| <b>Adjusted Fatality Rates (Fatalities/Turbine/Season)</b>             |          |             |          |             |          |             |          |             |
| Large Bird                                                             | 0.22     | -           | 0.08     | -           | 0.1      | -           | 1.98     | -           |
| Small Bird                                                             | 0.28     | -           | 1.93     | 0.18 - 4.1  | 1.77     | 0.67 - 3.27 | 2.57     | -           |
| Diurnal Raptor                                                         | -        | -           | 0.08     | -           | -        | -           | 0.83     | -           |
| All Bird                                                               | 0.5      | -           | 2.02     | -           | 1.87     | -           | 4.55     | -           |
| <b>Adjusted Fatality Rates (Fatalities/MW/Season)</b>                  |          |             |          |             |          |             |          |             |
| Large Bird                                                             | 0.07     | -           | 0.03     | -           | 0.03     | -           | 0.6      | -           |
| Small Bird                                                             | 0.08     | -           | 0.59     | 0.05 - 1.24 | 0.54     | 0.2 - 0.99  | 0.78     | -           |
| Diurnal Raptor                                                         | -        | -           | 0.03     | -           | -        | -           | 0.25     | -           |
| All Bird                                                               | 0.15     | -           | 0.61     | -           | 0.57     | -           | 1.38     | -           |

**Appendix C. Complete bird and bat fatality table (based on Huso estimator) for the Rising Tree Wind Projects for studies conducted from June 3, 2018 – May 29, 2019.**

| Parameter | Winter   |         | Spring   |         | Summer   |         | Fall     |         |
|-----------|----------|---------|----------|---------|----------|---------|----------|---------|
|           | Estimate | 90 % CI | Estimate | 90 % CI | Estimate | 90 % CI | Estimate | 90 % CI |

CI = confidence interval, MW = megawatt

## **Appendix D. Avian and Bat Fatality Rates at Wind Energy Facilities in California**

**Appendix D. Wind energy facilities in California with fatality data for all bird species, diurnal raptors, and bats. Fatality estimate presented as number of fatalities per megawatt (MW) per year.**

| <b>Wind Energy Facility</b>                      | <b>Bird Fatality Estimate</b> | <b>Raptor Fatality Estimate</b> | <b>Bat Fatality Estimate</b> | <b>Number of Turbines</b> | <b>Total MW</b> |
|--------------------------------------------------|-------------------------------|---------------------------------|------------------------------|---------------------------|-----------------|
| <b>Rising Tree Wind Project, CA (2018-2019)</b>  | <b>2.71</b>                   | <b>0.28</b>                     | <b>-</b>                     | <b>60</b>                 | <b>198</b>      |
| <b>Rising Tree Wind Project, CA (2017-2018)</b>  | <b>1.63</b>                   | <b>0.14</b>                     | <b>-</b>                     | <b>60</b>                 | <b>198</b>      |
| <b>Rising Tree Wind Projects, CA (2015-2016)</b> | <b>3.13</b>                   | <b>0.06</b>                     | <b>-</b>                     | <b>60</b>                 | <b>198</b>      |
| Pine Tree, CA (2009-2010, 2011)                  | 17.44                         | -                               | 0                            | 90                        | 135             |
| Alta I, CA (2013-2014)                           | 12.05                         | 0.15                            | 0.36                         | 100                       | 150             |
| Montezuma I, CA (2012)                           | 8.91                          | 0.79                            | 0.84                         | 16                        | 36.8            |
| Alta I, CA (2011-2012)                           | 7.07                          | 0.27                            | 1.28                         | 100                       | 150             |
| Windstar, CA (2012-2013)                         | 6.65                          | 0.18                            | 0                            | 53                        | 106             |
| Montezuma I, CA (2011)                           | 5.19                          | 1.06                            | 1.9                          | 16                        | 36.8            |
| Alta X, CA (2014-2015)*                          | 4.88                          | 0.04                            | 0.42                         | 48                        | 137             |
| Dillon, CA (2008-2009)                           | 4.71                          | 0                               | 2.17                         | 45                        | 45              |
| Diablo Winds, CA (2005-2007)                     | 4.29                          | 0.4                             | 0.82                         | 31                        | 20.5            |
| Lower West, CA (2012-2013)*                      | 3.5                           | 0                               | 2.17                         | 7                         | 14              |
| Shiloh III, CA (2012-2013)                       | 3.3                           | 0.7                             | 0.4                          | 50                        | 102.5           |
| Shiloh I, CA (2006-2009)                         | 2.82                          | -                               | -                            | 100                       | 150             |
| Shiloh II, CA (2010-2011)                        | 2.8                           | 0.44                            | 3.8                          | 75                        | 150             |
| Shiloh II, CA (2011-2012)                        | 2.8                           | 0.97                            | 3.4                          | 75                        | 150             |
| Alta II-V, CA (2013-2014)*                       | 2.79                          | 0                               | 0                            | 290                       | 720             |
| Alta I, CA (2015-2016)                           | 2.57                          | 0.15                            | 0.7                          | 100                       | 150             |
| Hatchet Ridge, CA (2011)                         | 2.5                           | 0.03                            | 2.23                         | 44                        | 101             |
| Alta X, CA (2015-2016)                           | 2.17                          | 0                               | 0.8                          | 48                        | 137             |
| Shiloh II, CA (2009-2010)                        | 1.9                           | 0.11                            | 2.6                          | 75                        | 150             |
| Alta Wind II-V, CA (2011-2012)*                  | 1.66                          | 0.05                            | 0.08                         | 290                       | 720             |
| Mustang Hills, CA (2012-2013)*                   | 1.66                          | 0.08                            | 0.1                          | 50                        | 150             |
| High Winds, CA (2003-2004)                       | 1.62                          | 0.5                             | 2.51                         | 90                        | 162             |
| Solano III, CA (2012-2013)                       | 1.6                           | 0.95                            | 0.31                         | 55                        | 128             |
| Hatchet Ridge, CA (2012-2013)                    | 1.22                          | 0                               | 4.2                          | 44                        | 101             |
| Pinyon Pines I & II, CA (2013-2014)*             | 1.18                          | 0                               | 0.04                         | 100                       | 300             |
| High Winds, CA (2004-2005)                       | 1.1                           | 0.28                            | 1.52                         | 90                        | 162             |
| Montezuma II, CA (2012-2013)                     | 1.08                          | 0.46                            | 0.91                         | 34                        | 78.2            |
| Mustang Hills, CA (2014-2015)*                   | 0.97                          | 0.03                            | 0                            | 50                        | 150             |
| Lower West, CA (2014-2015)*                      | 0.9                           | 0                               | 1.13                         | 7                         | 14              |
| Hatchet Ridge, CA (2012)                         | 0.83                          | -                               | 5.22                         | 44                        | 101             |
| Pacific Wind, CA (2015-2016)                     | 0.77                          | 0.07                            | 0                            | 70                        | 144             |
| Lower West, CA (2016-2017)*                      | 0.73                          | 0                               | 0                            | 7                         | 14              |
| Alta VIII, CA (2012-2013)*                       | 0.66                          | 0.02                            | 0                            | 50                        | 150             |

**Appendix D. Wind energy facilities in California with fatality data for all bird species, diurnal raptors, and bats. Fatality estimate presented as number of fatalities per megawatt (MW) per year.**

| <b>Wind Energy Facility</b>               | <b>Bird Fatality Estimate</b> | <b>Raptor Fatality Estimate</b> | <b>Bat Fatality Estimate</b> | <b>Number of Turbines</b> | <b>Total MW</b> |
|-------------------------------------------|-------------------------------|---------------------------------|------------------------------|---------------------------|-----------------|
| Cameron Ridge/Section 15, CA (2015-2016)* | 0.57                          | 0                               | 0.19                         | 34                        | 102             |
| Pinyon Pines I & II, CA (2017-2018)*      | 0.56                          | 0.01                            | 0                            | 100                       | 300             |
| Alite, CA (2009-2010)                     | 0.55                          | 0.12                            | 0.24                         | 8                         | 24              |
| Mustang Hills, CA (2016-2017)*            | 0.54                          | 0.15                            | 0.33                         | 50                        | 150             |
| Alta II-V, CA (2015-2016)*                | 0.51                          | 0                               | 0                            | 290                       | 720             |
| Pinyon Pines I & II, CA (2015-2016)*      | 0.5                           | 0.02                            | 0.18                         | 100                       | 300             |
| Cameron Ridge/Section 15, CA (2014-2015)* | 0.45                          | 0.04                            | 0.15                         | 34                        | 102             |
| Alta VIII, CA (2014-2015)                 | 0.38                          | 0.04                            | 0.17                         | 50                        | 150             |
| Alta VIII, CA (2016-2017)                 | 0.25                          | 0                               | 0                            | 50                        | 150             |
| Pacific Wind, CA (2014-2015)              | 0.17                          | 0                               | 0.21                         | 70                        | 144             |

\*Denotes facilities located in immediate vicinity (within two miles) of the Rising Tree Projects.

MW = megawatts

**Appendix D (continued). Wind energy facilities in California with fatality data for all bird species, diurnal raptors, and bats. Fatality estimate presented as number of fatalities per megawatt (MW) per year.**

Data from the following sources:

| <b>Facility</b>                | <b>Reference</b>              | <b>Facility</b>        | <b>Reference</b>      | <b>Facility</b>             | <b>Reference</b>       |
|--------------------------------|-------------------------------|------------------------|-----------------------|-----------------------------|------------------------|
| <b>Rising Tree (2018-2019)</b> | <b>This study</b>             |                        |                       |                             |                        |
| <b>Rising Tree (2017-2018)</b> | <b>Chatfield et al. 2018.</b> |                        |                       |                             |                        |
| <b>Rising Tree (2015-2016)</b> | <b>Rintz et al. 2016</b>      |                        |                       |                             |                        |
| Alite (2009-2010)              | Chatfield et al. 2010b        | Dillon (2008-2009)     | Chatfield et al. 2009 | North Sky River (2014-2015) | Levenstein et al. 2015 |
| Alta I (2011-2012)             | Chatfield et al. 2012         | Hatchet Ridge (2011)   | Tetra Tech 2013       | North Sky River (2015-2016) | WEST 2016d             |
| Alta I (2013-2014)             | Chatfield et al. 2014         | Hatchet Ridge (2012)   | Tetra Tech 2013       | Pacific Wind (2014-2015)    | WEST 2016c             |
| Alta I (2015-2016)             | Thompson et al. 2016a         | High Winds (2003-2004) | Kerlinger et al. 2006 | Pacific Wind (2015-2016)    | WEST 2017a             |

**Appendix D (continued). Wind energy facilities in California with fatality data for all bird species, diurnal raptors, and bats. Fatality estimate presented as number of fatalities per megawatt (MW) per year.**

Data from the following sources:

| Facility                             | Reference                                        | Facility                    | Reference                    | Facility                        | Reference                     |
|--------------------------------------|--------------------------------------------------|-----------------------------|------------------------------|---------------------------------|-------------------------------|
| Alta II-V (2011-2012)                | Chatfield et al. 2012                            | High Winds (2004-2005)      | Kerlinger et al. 2006        | Pine Tree (2009-2010, 2011)     | Bio Resource Consultants 2012 |
| Alta II-V (2013-2014)                | Chatfield et al. 2014                            | Lower West (2012-2013)      | Levenstein and Bay 2013b     | Pinyon Pines I & II (2013-2014) | Chatfield and Russo 2014      |
| Alta II-V (2015-2016)                | Thompson et al. 2016a                            | Lower West (2014-2015)      | Levenstein and DiDonato 2015 | Pinyon Pines I & II (2015-2016) | Rintz and Starcevich 2016     |
| Alta VIII (2012-2013)                | Chatfield and Bay 2014                           | Lower West (2016-2017)      | WEST 2017b                   | Pinyon Pines I & II (2017-2018) | Rintz and Pham 2018           |
| Alta VIII (2014-2015)                | Western EcoSystems Technology, Inc. (WEST) 2016a | Montezuma I (2011)          | ICF International 2012       | Shiloh I (2006-2009)            | Kerlinger et al. 2009         |
| Alta VIII (2016-2017)                | WEST 2018                                        | Montezuma I (2012)          | ICF International 2013       | Shiloh II (2009-2010)           | Kerlinger et al. 2010         |
| Alta X (2014-2015)                   | Chatfield et al. 2015                            | Montezuma II (2012-2013)    | Harvey & Associates 2013     | Shiloh II (2010-2011)           | Kerlinger et al. 2013b        |
| Alta X (2015-2016)                   | Thompson et al. 2016b                            | Mustang Hills (2012-2013)   | Chatfield and Bay 2014       | Shiloh II (2011-2012)           | Kerlinger et al. 2013b        |
| Cameron Ridge/Section 15 (2014-2015) | WEST 2016b                                       | Mustang Hills (2014-2015)   | WEST 2016a                   | Shiloh III (2012-2013)          | Kerlinger et al. 2013a        |
| Cameron Ridge/Section 15 (2015-2016) | Rintz and Thompson 2017                          | Mustang Hills (2016-2017)   | WEST 2018                    | Solano III (2012-2013)          | AECOM 2013                    |
| Diablo Winds (2005-2007)             | WEST 2006, 2008                                  | North Sky River (2013-2014) | Levenstein et al. 2014       | Windstar (2012-2013)            | Levenstein and Bay 2013a      |