



# CALIFORNIA HALIBUT BOTTOM TRAWL VESSEL PERMIT TRANSFER APPLICATION

CHECK ONE:  TRANSFER TO REPLACEMENT VESSEL

TRANSFER TO PROPOSED TRANSFEREE & REPLACEMENT VESSEL

TRANSFER TO PROPOSED TRANSFEREE

DEATH OF PERMIT HOLDER

SEE INSTRUCTIONS ON PAGE 2. TYPE OR PRINT CLEARLY.

### CURRENT PERMIT HOLDER

COMMERCIAL FISHING ID#		PERMIT NUMBER		GO ID NUMBER	
FIRST NAME		M.I.	LAST NAME		
MAILING ADDRESS			CITY	STATE	ZIP CODE
PHYSICAL ADDRESS (DO NOT USE PO BOX)			CITY	STATE	ZIP CODE
DAY TELEPHONE		EMAIL ADDRESS			
PERMITTED VESSEL F&G BOAT #		PERMITTED VESSEL NAME		USCG OR DMV NUMBER	

### PROPOSED TRANSFEREE

FIRST NAME		M.I.	LAST NAME		COMMERCIAL FISHING ID#
MAILING ADDRESS			CITY	STATE	ZIP CODE
PHYSICAL ADDRESS (DO NOT USE PO BOX)			CITY	STATE	ZIP CODE
DAY TELEPHONE		EMAIL ADDRESS			

### REPLACEMENT VESSEL

F&G BOAT #	VESSEL NAME	GO ID NUMBER
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VESSEL REGISTERED OWNER(S) listed on proof of vessel ownership. (See "Business Documentation" section if registered owner is a business.)

*If the transfer request takes place during the annual permit renewal period, I agree to renew the permit before the expiration date. I certify that I have read, understand, and agree to abide by all conditions of the applicable provisions of the Fish and Game Code (FGC) and Title 14 of the California Code of Regulations (CCR). I agree that if I make any false statement as to any fact required as a prerequisite to the approval of this transfer application, the permit will be surrendered, I may be subject to prosecution pursuant to FGC Section 1054 and other sections of the California Code and CCR, and my commercial fishing license and/or California Halibut Bottom Trawl Vessel Permit may be suspended or revoked. I certify under penalty of perjury that the included information is true to the best of my information and belief (FGC Section 8494(c)(2)(C)(vii)).*

SIGNATURE OF PERMIT HOLDER		DATE
<b>X</b>		

SIGNATURE OF PROPOSED TRANSFEREE		DATE
<b>X</b>		

**DEATH OF PERMIT HOLDER**  
 I hereby certify that I am the Executor/Authorized Representative of \_\_\_\_\_, deceased, who was the holder of a valid California Halibut Bottom Trawl Vessel Permit immediately preceding their death, and that the information provided by me in connection with this application is true and accurate to the best of my knowledge. I further understand that, in the event of making any such false statement, as to any fact required as a prerequisite to the review and approval of this transfer application, the permit will be surrendered. I understand that I may be subject to prosecution pursuant to FGC Section 1054 or to other administrative actions pursuant to CCR, Title 14, Section 746.

EXECUTOR/AUTHORIZED REPRESENTATIVE PRINTED NAME	EXECUTOR/AUTHORIZED REPRESENTATIVE SIGNATURE	DATE
	<b>X</b>	



## **CALIFORNIA HALIBUT BOTTOM TRAWL PERMIT TRANSFER REQUIREMENTS**

### **TRANSFER TO A REPLACEMENT VESSEL**

**Submit the following:**

1. Notarized California Halibut Bottom Trawl Vessel Permit Transfer Application.
2. Original current California Halibut Bottom Trawl Permit.
3. Copy of current Commercial Boat Registration for the replacement vessel.
4. Copy of current proof of vessel ownership for the permitted vessel and the replacement vessel.
5. Copy of United States Coast Guard Certificate of Documentation or survey conducted by licensed marine surveyor that shows the length, breadth, and depth of both the permitted vessel and the replacement vessel.

### **TRANSFER TO PROPOSED TRANSFEREE**

**Submit the following:**

1. Notarized California Halibut Bottom Trawl Vessel Permit Transfer Application.
2. Original current California Halibut Bottom Trawl Permit.
3. Original current Commercial Boat Registration for the permitted vessel.
4. Copy of proposed permit holder's current Commercial Fishing License.
5. Copy of proposed permit holder's proof of vessel ownership for the permitted vessel.

### **DEATH OF PERMIT HOLDER**

**Submit the following:**

1. Notarized California Halibut Bottom Trawl Vessel Permit Transfer Application.
2. A copy of the permit holder's death certificate.
3. Proof that the applicant is the executor/authorized representative of the deceased's estate.
4. Original notarized California Halibut Bottom Trawl Vessel Permit Transfer Application **submitted within one year** of the permit holder's death.
5. Documentation listed under "Transfer to Proposed Transferee" and/or "Transfer to a Replacement Vessel" (see above).

### **IDENTIFICATION REQUIREMENTS**

CCR, Title 14, Section 700.4(c) states any applicant applying for any license, tag, permit, reservation or other entitlement issued via the Automated License Data System (ALDS) shall provide valid identification. Acceptable forms of identification include:

- Any license document or Get Outdoors identification number (GO ID) previously issued via ALDS.
- A valid driver's license or identification card issued to by the Department of Motor Vehicles or by the entity issuing driver's licenses from the licensee's state of domicile.
- US Military Identification Cards (Active or reserve duty, dependent, retired member, discharged from service, medical/religious personnel).
- Birth Certificate or passport issued from a US Territory.
- US Passport
- US Birth Certificate
- US Certificate or Report of Birth Abroad
- Certificate of Naturalization or Citizenship
- Tribal Identification Card, as defined by each sovereign tribal nation.
- A foreign government-issued photo identification

### **BUSINESS DOCUMENTATION**

If the permitted vessel or the replacement vessel is owned by a business, you must provide documentation showing the business entity type. Acceptable forms of documentation include:

- Articles of Incorporation
- Certificate of Limited Partnership
- Articles of Organization
- Statement of Partnership Authority

### **PROOF OF VESSEL OWNERSHIP**

Pursuant to FGC Section 7601, the following may be submitted as proof of vessel ownership:

- United States Coast Guard Certificate of Documentation; or
- California Department of Motor Vehicles Vessel Registration; or
- Out of state vessel registration

If you have any questions or need more information, contact (916) 928-5822 or [LRBCOMM@wildlife.ca.gov](mailto:LRBCOMM@wildlife.ca.gov). Mail the Transfer Application and supporting documentation to California Department of Fish and Wildlife, License and Revenue Branch, PO Box 944209, Sacramento, CA 94244-2090.



## **RELEVANT FISH AND GAME CODE SECTIONS**

### **CALIFORNIA HALIBUT BOTTOM TRAWL VESSEL PERMIT TRANSFER**

**8494(b)** A California halibut bottom trawl vessel permit shall be issued annually, commencing with the 2006 permit year and an applicant shall have been issued a California halibut bottom trawl vessel permit in the immediately preceding permit year.

(c) Permits issued pursuant to this section may be transferred only if at least one of the following occur:

(1) The commission adopts a restricted access program for the fishery that is consistent with the commission's policies regarding restricted access to commercial fisheries.

(2)(A) Prior to the implementation of a halibut trawl restricted access program, the department may consider requests from a vessel permit holder or his or her conservator or estate representative, as applicable, to transfer a vessel permit to a vessel consistent with the requirements of subparagraph (B) or (C). The department may request information that it determines is reasonably necessary from the permit holder or his or her conservator or heirs or estate for the purpose of verifying statements in the request prior to authorizing the transfer of the permit.

### **TRANSFER TO A REPLACEMENT VESSEL**

**8494(c)(2)(B)** The department may approve the transfer of a California halibut bottom trawl vessel permit to a replacement vessel if all of the following requirements are met:

(i) In the form of a notarized application, the permit holder submits to the department a request for the transfer of a California halibut bottom trawl vessel permit to another vessel owned by the permit holder.

(ii) The permit holder provides a current United States Coast Guard certificate of documentation or vessel marine survey to the department for the permitted vessel and replacement vessel.

(iii) Based on the information provided pursuant to clause (ii), the department determines that the replacement vessel is equal to or less than the capacity of the permitted vessel.

(iv) The department determines the California halibut bottom trawl vessel permit for the permitted vessel is valid and has not been suspended or revoked.

(v) If applicable, the department receives written confirmation from the replacement vessel's owner or authorized agent, or mortgager, of the vessel's participation in the transfer of the California halibut bottom trawl vessel permit.

(vi) The replacement vessel is registered with the department pursuant to Section 7881 at the time the application is submitted pursuant to clause (i).

### **TRANSFER TO PROPOSED TRANSFEREE**

**8494(c)(2)(C)** The department may approve the transfer of a California halibut bottom trawl vessel permit to another person if all of the following requirements are met:

(i) In the form of a notarized application, the permit holder or his or her conservator or estate submits to the department a request to transfer a California halibut bottom trawl vessel permit to another person.

(ii) If the permit holder's estate submits a request pursuant to clause (i), the estate submits the notarized application to the department within one year of the death of the permit holder as listed on the death certificate.

(iii) A current United States Coast Guard certificate of documentation or vessel marine survey is provided to the department for the permitted vessel and vessel to be used by the proposed transferee.

(iv) Based on the information provided pursuant to clause (iii), the department determines that the vessel to be used by the proposed transferee is equal to or less than the capacity of the permitted vessel.

(v) The proposed transferee meets both of the following requirements:

(I) The person has a valid commercial fishing license issued pursuant to Section 7852 that has not been suspended or revoked.

(II) The person is the owner of a commercial fishing vessel that is registered with the department pursuant to Section 7881 that registration has not been suspended or revoked.

(vi) The permit for the permitted vessel is current, and the permit holder or his or her conservator or estate submitting the application is responsible for any renewal of the permit that becomes due during the application processing period.

(vii) Under penalty of perjury, the permit holder or his or her conservator or estate signs the application for transfer and certifies that the information included is true to the best of his or her information and belief.

### **DEATH OF PERMIT HOLDER**

**8494(c)(2)(C)(ii)** If the permit holder's estate submits a request pursuant to clause (i), the estate submits the notarized application to the department within one year of the death of the permit holder as listed on the death certificate.