



## **MARKET SQUID VESSEL PERMIT TRANSFER PROVISIONS**

### **Authority – Transferable Market Squid Vessel Permits**

California Code of Regulations (CCR), Title 14, Section 149.1, subdivision (o), allows the owner of a commercial fishing vessel with a valid Transferable Market Squid Vessel Permit (TMSVP), to transfer the permit to a replacement vessel. Under Section 149.1(l), the owner of a commercial fishing vessel with a valid TMSVP, may transfer the title of the vessel to another entity. Requests for transfer or change of ownership of a TMSVP are subject to the following conditions:

### **Classification of Permits and Permit Authorization**

A Market Squid Vessel Permit authorizes the use of round haul gear, including purse seine, drum seine and lampara nets for commercial harvest. Use of brail gear, including dip and scoop nets, is also authorized. Lights may also be used as specified in regulation to aggregate squid for purposes of commercial harvest. No other gear is authorized under this permit to take or assist in the taking of market squid for commercial purposes. Market Squid Vessel Permits are designated as either transferable or non-transferable.

### **Gross Tonnage Endorsement (GTE) Authority**

The GTE remains in effect for the lifetime of each permit, regardless of the gross tonnage to which it was transferred. CCR, Title 14, Section 149.1(n) requires each Market Squid Vessel Permit be endorsed with the gross tonnage at the time of initial permit issuance, regardless of the gross tonnage of a vessel to which it is transferred. Vessel owners must provide a copy of the vessel's Federal Coastal Pelagic Species Permit (FCPSP) to document the gross tonnage. (CCR, Title 14, Section 149.1(n)(2)).

The owner of the replacement vessel must also provide gross tonnage information for the replacement vessel as described above. (CCR, Title 14, Section 149.1(n)).

If the owner of the replacement vessel does not hold a FCPSP for the vessel, the gross tonnage will be determined by multiplying the length (L), breadth (B), and depth (D) of the vessel by 0.0067. Records of length, breadth, and depth used for determining gross tonnage will be those recorded on the vessel's United States Coast Guard (USCG) Certificate of Documentation. (CCR, Title 14, Section 149.1(n)(1)(B)).

In addition, Fish and Game Code (FGC), Section 7857(a), requires vessel owners to possess a valid California Commercial Fishing License before a Market Squid Vessel Permit can be issued.

**NOTE:** If the owner of the replacement vessel is applying for the first time for a commercial fishing license, they must provide valid identification as defined in CCR, Title 14, Section 700.4. (See page 8, Section 700.4 Automated License Data System).

### **Instructions to Transfer a TMSVP to a Replacement Vessel**

TMSVP's may be transferred to another entity who is the owner of a replacement commercial fishing vessel of comparable capacity. (CCR, Title 14, Section 149.1(o)(3)(A)).

#### **The permit holder must submit the following documentation:**

- A written request in the form of a notarized letter setting forth the conditions of the sale and shall specify the terms of the transfer as documented by the replacement vessel owner are valid and true.
- Copy of the valid Commercial Boat Registration for the permitted vessel.
- The original current TMSVP.
- Copy of the current proof of ownership for the permitted vessel.
- All applicants applying as a business must provide documentation identifying the business entity. Acceptable forms include:
  - Articles of Incorporation
  - Articles of Organization
  - Certificate of Limited Partnership
  - Statement of Partnership Authority
  - Limited Liability Partnership

#### **The owner of the replacement vessel must submit the following documentation:**

- A written request for transfer in the form of a notarized letter that identifies the new vessel owner and the replacement vessel.
- Copy of the replacement vessel owner's valid California Commercial Fishing License.
- Copy of the valid Commercial Boat Registration for the replacement vessel.
- Copy of the current TMSVP.
- Copy of the current proof of ownership for the replacement vessel.
- All applicants applying as a business must provide documentation identifying the business entity. Acceptable forms include:
  - Articles of Incorporation
  - Articles of Organization
  - Certificate of Limited Partnership
  - Statement of Partnership Authority
  - Limited Liability Partnership
- Copy of a valid FCPSP with the vessel's gross tonnage or USCG Certificate of Documentation.
- Nonrefundable transfer fee of \$500.

If the TMSVP for a replacement commercial fishing vessel is not of comparable capacity, one additional valid TMSVP shall be transferred and surrendered to the Department at the time of the transfer (CCR, Title 14, Section 149.1(o)(3)(B)).

In cases where two or more permits are transferred to a replacement vessel pursuant to guidelines in subsection (o), the replacement vessel shall be issued a transferable permit which reflects a gross tonnage endorsement (GTE) which is recorded as either the sum of the gross tonnage endorsements from the original vessels, or the gross

tonnage of the replacement vessel, whichever of the two values is less. (CCR, Title 14, Section 149.1(n)(4)).

### **Instructions When a Change of Vessel Ownership Occurs**

If a TMSVP is issued for a vessel that is owned by an individual or by a bona fide partnership or corporation, and the individual, bona fide partnership, or corporation transfer the title of ownership of the vessel to another entity, the original entity to which the permit is issued must notify the Department of the change of ownership (CCR, Title 14, Section 149.1(l)).

#### **The permit holder must submit the following documentation:**

- A written request notifying the Department that the individual, bona fide, partnership, or corporation is transferring the title of ownership of the permitted vessel to another entity and identify the new entity.
- The original current TMSVP.
- Copy of the valid proof of ownership for the permitted vessel.
- All applicants applying as a business must provide documentation identifying the business entity. Acceptable forms include:
  - Articles of Incorporation
  - Articles of Organization
  - Certificate of Limited Partnership
  - Statement of Partnership Authority
  - Limited Liability Partnership
- Copy of the new vessel owner's valid California Commercial Fishing License.
- Original valid Commercial Boat Registration for the permitted vessel.
- Nonrefundable change of vessel ownership] fee of \$500.00

### **Instructions for Dissolution of Partnership or Corporation**

If a TMSVP, is issued for a vessel that is owned by a bona fide partnership or corporation, which becomes dissolved, the partnership or corporation must notify the Department of the name of the partner or share holder who is the successor permit holder (CCR, Title 14, Section 149.1(k)).

#### **The permit holder must submit the following documentation:**

- A written request notifying the Department that the individual, bona fide, partnership, or corporation is transferring the title of ownership of the permitted vessel to another entity and identify the new entity.
- The original current TMSVP.
- All applicants applying as a business must provide documentation identifying the business entity. Acceptable forms include:
  - Articles of Incorporation
  - Articles of Organization
  - Certificate of Limited Partnership
  - Statement of Partnership Authority
  - Limited Liability Partnership
- Copy of the current proof of ownership for the permitted vessel.
- Copy of the new vessel owner's valid California Commercial Fishing License.
- Original Commercial Boat Registration for the permitted vessel.

- Nonrefundable change of vessel ownership fee of \$500.00.

### **Instructions for Transfer of Permit Upon Death of Permit Holder**

In the event of the death of the permit holder of a TMSVP, the estate must apply for transfer of the permit to another entity within one year of the permit holder's death (CCR, Title 14, Section 149.1(o)(3)(E)).

#### **The estate must submit all of the following with the request:**

- A written request in the form of a notarized letter that identifies the deceased permit holder and the owner of the replacement vessel. The request must be submitted within one year of the date of the death of the permit holder as listed on the permit holder's death certificate.
- Copy of the permit holder's death certificate.
- Copy of a court document naming the executor of the estate.
- The deceased's original valid TMSVP.
- Copy of the current Commercial Boat Registration for permitted vessel.
- Copy of the current proof of ownership for the permitted vessel.

#### **The owner of the replacement vessel must submit the following documentation:**

- A written request in the form of a notarized letter that identifies the new vessel owner, replacement vessel, the conditions of the sale, and shall specify the terms of the transfer are valid and true.
- Copy of the replacement vessel owner's valid California Commercial Fishing License.
- Copy of the valid Commercial Boat Registration for the replacement vessel.
- Copy of the TMSVP.
- Copy of the current proof of ownership for the replacement vessel.
- All applicants applying as a business must provide documentation identifying the business entity. Acceptable forms include:
  - Articles of Incorporation
  - Articles of Organization
  - Certificate of Limited Partnership
  - Statement of Partnership Authority
  - Limited Liability Partnership
- Copy of a valid FCPSP with the vessel's gross tonnage or USCG Certificate of Documentation.
- Nonrefundable transfer fee of \$500.

### **Authority - Nontransferable Market Squid Vessel Permits**

CCR, Title 14, Section 149.1(o)(3)(F), allows an individual to transfer a Nontransferable Market Squid Vessel Permit (NMSVP) to another vessel owned by the permit holder, only if the permitted vessel was lost, stolen, destroyed, or suffered a major mechanical breakdown.

#### **Instructions to Transfer NMSVP to a Replacement Vessel**

- A written notarized request that identifies the replacement vessel information, the conditions of the sale, and shall specify the terms of the transfer are valid and true.
- Copy of the vessel owner's valid California Commercial Fishing License.

- Copy of the valid Commercial Boat Registration for the replacement vessel.
- The original current NMSVP.
- Copy of the current proof of ownership for the permitted vessel.
- Copy of the current proof of ownership for the replacement vessel.
- Proof that the permitted vessel is lost, stolen, or destroyed in the form of a copy of the report filed with the USCG or any other law enforcement agency or fire department investigating the loss.
- In the case of mechanical breakdown, the request shall include an estimate of the costs to repair the vessel from a marine surveyor or boat repair yard.
- Copy of a valid FCPSP with the vessel's gross tonnage or USCG Certificate of Documentation.
- Nonrefundable transfer fee of \$500.00.

### **Change of Ownership of a NMSVP**

Pursuant to CCR, Title 14, Section 149.1 (l)(3), NMSVPs, which are issued to individuals shall not be transferred to a new owner. Sale or transfer of ownership of a vessel that is authorized to fish under a nontransferable market squid permit shall render the permit null and void.

### **Appeal Provisions and Deadline**

If denied, the Department will notify the permittee in writing, explaining the reason for denial. An applicant who is denied transfer of a Market Squid Vessel Permit may appeal the denial to the Department in writing describing the basis for the appeal. The appeal shall be received or, if mailed, postmarked within 60 days of the date of the Department's denial. The appeal shall be reviewed and decided by the Department. The decision of the Department may be appealed in writing to the Fish and Game Commission. Pursuant to CCR, Title 14 Section 149.1(p), appeals must be received by the Commission within 60 days of the Department's denial.

### **Department's Evaluation time for a transfer of a TMSVP**

Evaluation of the transfer request should be completed within 20 business days after receipt by the License and Revenue Branch provided additional documentation is not required. If approved, a letter authorizing the transfer will be sent to the permittee.

### **Mail documents and transfer fee to:**

California Department of Fish and Wildlife  
Attn: License and Revenue Branch  
PO Box 944209  
Sacramento, California 94244-2090

Please contact (916) 928-5822 or email [LRBCOMM@wildlife.ca.gov](mailto:LRBCOMM@wildlife.ca.gov), if you have any questions or need additional assistance.

## **Title 14, California Code of Regulations Excerpts**

### **§149.1 Market Squid Fishery Restricted Access Program.**

(k) Dissolution of Partnership or Corporation. If a transferable Market Squid Vessel Permit, Market Squid Brail Permit, or Market Squid Light Boat Permit is issued for a vessel that is owned by a bona fide partnership or corporation which becomes dissolved, the partnership or corporation shall notify the department of the name of the partner or shareholder who is the successor permit holder and the department shall

reissue the permit to that partner or shareholder. Change of vessel ownership provisions defined in subsection (l) and transfer fees in subsection (i)(2) apply.

(l) Change of Vessel Ownership.

(1) If a transferable Market Squid Vessel Permit, Market Squid Brail Permit, or Market Squid Light Boat Permit is issued for a vessel that is owned by an individual or by a bona fide partnership or corporation, and the individual, bona fide partnership, or corporation transfers the title of ownership of the vessel to another entity, the original entity to which the permit is issued must notify the department of the change in ownership, and submit a non-refundable transfer fee as specified in Section 705.

(2) Upon payment of fees, and surrender to the department of the original permit or permits, the department shall issue the applicable permit to the new owner of the vessel that is valid for the remainder of the fishing season. Gross tonnage endorsement provisions defined in subsection (n) below shall apply.

(3) Non-Transferable Market Squid Vessel Permits, Non-Transferable Market Squid Brail Permits and Non-Transferable Market Squid Light Boat Permits which are issued to individuals shall not be transferred to a new owner. Sale or transfer of ownership of a vessel that is authorized to fish under a non-transferable market squid permit shall render the permit null and void.

(n) Gross Tonnage Endorsement. Each Market Squid Vessel Permit or Market Squid Brail Permit shall be endorsed with the gross tonnage at the time of initial issuance. Any vessel owner transferring a Market Squid Vessel Permit or Market Squid Brail Permit shall also provide gross tonnage information for the replacement vessel as described below.

(1) The gross tonnage shall be determined as follows:

(A) If the owner of the vessel holds a Federal Coastal Pelagic Species Permit issued by the National Marine Fisheries Service for that vessel, the owner of the vessel shall submit a copy of the vessel owner's current valid Federal Coastal Pelagic Species Permit with the vessel's endorsed gross tonnage.

(B) If the owner of the vessel does not hold a Federal Coastal Pelagic Species Permit for the vessel, the gross tonnage will be determined by multiplying the length (L), breadth (B), and depth (D) of the vessel by 0.0067. Records of length, breadth, and depth used for determining gross tonnage will be those recorded on the vessel's United States Coast Guard documentation paper.

(2) The gross tonnage endorsement will remain in effect for the lifetime of each permit, regardless of the gross tonnage of a vessel to which it was transferred.

(3) In cases where a permit is transferred to a vessel with a smaller gross tonnage, the original gross tonnage endorsement will remain, and excess gross tonnage cannot be split out from the original permit endorsement for any purpose.

(4) In cases where two or more permits are transferred to a replacement vessel pursuant to guidelines in subsection (o), the replacement vessel shall be issued a transferable permit which reflects a gross tonnage endorsement which is recorded as either the sum of the gross tonnage endorsements from the original vessels, or the gross tonnage of the replacement vessel, whichever of the two values is less.

(o) Transfer of Permits to Replacement Vessels.

(1) Conditions for permit transferability as defined in this subsection shall provide the mechanism for achieving the capacity goals defined in subsection (m) of this Section over time.

(2) Definitions of Comparable Capacity.

(A) For purposes of permit transferability described in this subsection, two vessels are of comparable capacity if the gross tonnage, as defined in subsection (n) of this Section, of the replacement vessel is not in excess of ten percent greater than the gross tonnage

of the originally permitted vessel [the replacement vessel's gross tonnage must be less than (the gross tonnage of the original vessel) plus (0.1 multiplied by the gross tonnage of the original vessel)].

(B) A replacement vessel shall be considered of comparable capacity in cases of permit transfers where the gross tonnage of the original vessel exceeds the gross tonnage of the replacement vessel by any amount. Gross tonnage endorsement provisions for the replacement vessel defined in subsection (n) shall apply.

(3) After August 31, 2005 the following conditions for transferability of permits to replacement vessels will be in effect for permits in each class as described:

(A) The owner of a vessel with a valid Transferable Market Squid Vessel Permit may transfer the permit to another person who is the owner of a replacement commercial fishing vessel of comparable capacity as defined in this subsection.

(B) If transfer is sought of a Transferable Market Squid Vessel Permit for a replacement commercial fishing vessel which is not of comparable capacity as defined in this subsection, one additional valid Transferable Market Squid Vessel Permit shall be transferred and surrendered to the department at the time of the transfer. Market Squid Vessel Permit transfers are not authorized in cases where the gross tonnage of the replacement vessel is not of comparable capacity to the sum of the gross tonnages of the two original vessels as defined above.

(C) A Transferable Market Squid Brail Permit issued to an individual, partnership or corporation may be transferred to another entity who is the owner of a replacement commercial fishing vessel of comparable capacity as defined in this subsection.

(D) A Transferable Market Squid Light Boat Permit issued to an individual, partnership or corporation may be transferred to another entity who is the owner of a replacement commercial fishing vessel.

(E) In the event of death of the holder of a Transferable Market Squid Vessel Permit, Transferable Market Squid Brail Permit, or a Transferable Market Squid Light Boat Permit, the estate must apply for transfer of the permit to another entity within one year of the permitholder's death.

(F) A Non-Transferable Market Squid Vessel Permit or a Non-Transferable Market Squid Brail Permit issued to an individual may not be transferred to another entity, but in the event the permitted vessel is lost, stolen or destroyed, or has suffered a major mechanical breakdown, the permit may be placed on a replacement vessel of comparable capacity as defined in subsection (m). A Non-Transferable Market Squid Light Boat Permit issued to an individual may not be transferred to another entity, but in the event the permitted vessel is lost, stolen or destroyed, or has suffered a major mechanical breakdown, the permit may be placed on a replacement vessel. A notarized, written request for transfer must be submitted, along with proof that the permitted vessel is lost, stolen, or destroyed in the form of a copy of the report filed with the United States Coast Guard or any other law enforcement agency or fire department investigating the loss. In the case of mechanical breakdown, the request shall include an estimate of the costs to repair the vessel from a marine surveyor or boat repair yard. The department shall not issue a permit for a replacement vessel pursuant to this subsection if the permitted vessel was reported lost, stolen, destroyed, or damaged for fraudulent purposes.

(4) The applicant for transfer must be the owner of a replacement commercial fishing vessel that has been registered with the department pursuant to Fish and Game Code Section 7881 at the time of application.

(5) A written request for transfer of a market squid permit of any class shall be submitted to the department by the owner of the replacement vessel in the form of a notarized letter, accompanied by the nonrefundable transfer fee and shall include a

copy of the permit or permits which are being considered for transfer, current proof of vessel ownership, and gross tonnage information as described in subsection (n), if applicable.

(6) Any market squid permit holder intending to transfer a permit shall submit a notarized letter to the department setting forth the conditions of the sale, and shall specify that the terms of the transfer as documented by the replacement vessel owner are valid and true.

(7) Upon determining that the applicant is qualified to transfer the permit to a replacement vessel, payment of all fees, and surrender to the department of the original permit or permits, the department shall issue the applicable permit to the owner of the replacement vessel that is valid for the remainder of the fishing season. Gross tonnage endorsement provisions defined in subsection (n) above shall apply.

(8) At the time the permit transfer is complete, vessels previously authorized to fish under conditions of a surrendered permit shall not take or attract squid for commercial purposes unless otherwise authorized in regulation or statute.

(p) Transfer Appeals. Any applicant who is denied transfer of a Market Squid Vessel Permit, Market Squid Brail Permit, or a Market Squid Light Boat Permit may appeal the denial to the department in writing describing the basis for the appeal. The appeal shall be received or, if mailed, postmarked within 60 days of the date of the department's denial. The appeal shall be reviewed and decided by the department. The decision of the department may be appealed in writing to the commission within 60 days of the date of the department's written decision.

#### **§ 700.4. Automated License Data System.**

(a) Defined:

For the purposes of this Division the "Automated License Data System" or "ALDS" is an automated system that replaced the Department's paper license inventory system. ALDS allows license items to be printed instantly using point of sale terminals and is available at Department license agents and Department license sales offices located throughout the state. ALDS also allows applicants to apply for licensing via the Internet.

(b) Get Outdoors Identification Number

The first time any applicant applies for any license, tag, permit, reservation or other entitlement via ALDS, the applicant shall receive a unique Get Outdoors Identification number or "GO ID." The GO ID shall not be transferable to any other person.

(c) Identification Required; Acceptable forms of.

Any applicant applying for any license, tag, permit, reservation or other entitlement issued via ALDS shall provide valid identification. Acceptable forms of identification include:

(1) Any license document or GO ID number previously issued via ALDS

(2) A valid driver's license or identification card issued to him or her by the Department of Motor Vehicles or by the entity issuing driver's licenses from the licensee's state of domicile

(3) US Birth Certificate

(4) US Certificate or Report of Birth Abroad

(5) Tribal Identification Card, as defined by each sovereign tribal nation

(6) Birth Certificate or passport issued from a US Territory

(7) US Passport

(8) US Military Identification Cards (Active or reserve duty, dependent, retired member, discharged from service, medical/religious personnel)

(9) Certificate of Naturalization or Citizenship.



(10) A foreign government-issued photo identification

(d) Any applicant less than 18 years of age applying for any license, tag, permit, reservation or other entitlement issued via the ALDS shall provide valid identification.

Acceptable forms of identification include:

(1) Any form of identification described above

(2) A parent or legal guardian's identification as described above.

(e) Nonrefundable Application Fee

All licenses, tags, permits, reservations or other entitlements purchased via ALDS shall be subject to a three percent nonrefundable application fee, not to exceed seven dollars and fifty cents (\$7.50) per item, to pay the Department's costs for issuing that license, tag, permit, reservation or other entitlement.

**§ 705. Commercial Fishing Applications, Permits, Tags and Fees.**

(b) Transfer, Upgrade, or Change of Ownership	Fees
(2) Market Squid Permit Transfer (Nonrefundable)	\$500
(4) Market Squid Permit Change of Vessel Ownership	\$500