

ATTACHMENT 1

CONFLICT OF INTEREST RULES For Participants in Proposal Review Process

The California Bay-Delta Authority will manage potential conflicts of interest by selecting reviewers who have no financial connection to the proposals they are reviewing. The participants in the project review process have been selected based on their scientific and technical expertise, not the agency or organization they work with. Individuals participating in each step of the review process must comply with Federal and State conflict of interest laws. Applicable statutes include, but are not limited to, Government Code section 1090 and Public Contract Code sections 10365.5, 10410 and 10411 for State conflict of interest requirements.

External reviewers who have assisted in the development of a proposal, will receive a financial benefit from the funded project, or have a financial interest under State conflict of interest laws will not be allowed to conduct reviews. Reviewers who have a connection with the applicants or the submitting institution must reveal their connections to CALFED Bay-Delta Program staff prior to performing the review. Such connections will not necessarily disqualify the reviewer, so long as legal conflict of interest requirements are met. A connection to an applicant exists if any of the following relationships were applicable during the past four years: collaboration on research, pilot, or implementation proposal or project; co-authorship; thesis or postdoctoral advisorship; or supervisor/employee relationship. An institutional connection exists between employees and their employers when, for example, a reviewer and an applicant are employees of the same State or Federal agency even if they are in different divisions of the agency. Similarly, a university faculty member will have an institutional connection with a proposal submitted from that university, even if the applicant is in a different department of that university campus.