

**Field Testing a Surgical Technique for Intracoelomic Satellite Transmitters with Percutaneous Antennae to Assess Post-Release Survival and Movement of Western Grebes**

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## 1 ABSTRACT

2 Western Grebes (*Aechmophorus occidentalis*) are in decline along the western coast of the  
3 United States. Often they are impacted by oil spills and natural seeps when wintering along the  
4 Pacific coast, however little data are available on Western Grebe winter site fidelity, which can  
5 be important when determining potential exposure to oil spills. In addition, post-oiling mitigation  
6 of Western Grebes has previously focused on improving summer breeding grounds, however  
7 migration patterns between wintering and summering grounds are not well known. We used a  
8 captive-tested surgical procedure to implant Western Grebes with intracoelomic satellite  
9 transmitters with percutaneous antennae to field test this technique as well as to gain more  
10 information on winter site fidelity and migration. Birds were captured in early December 2010 in  
11 San Francisco Bay, California and implanted with transmitters. Nine birds survived surgery and  
12 were released, and with the exception of one bird, all survived at least 25 days suggesting a lack  
13 of surgery-related complications for 8 of the birds, however the 44-56% mortality seen within  
14 the first year post-surgery is believed to be related to the transmitter or antenna and necessitates  
15 more work before this surgical technique is used widely. Post-release winter movement of  
16 released birds showed that birds mostly remained within San Francisco Bay suggesting high  
17 winter site fidelity. Two complete migrations were documented: one bird traveled from San  
18 Francisco Bay to Upper Klamath Lake in Oregon in July and returned to San Francisco Bay in  
19 November, and another traveled south to San Diego and then back to San Francisco Bay in  
20 December the 2<sup>nd</sup> winter post-release. More studies are needed to further characterize the winter  
21 site fidelity and migration of Western Grebes and improving the surgical technique and  
22 transmitter design should enable implanted satellite transmitters with percutaneous antennae to  
23 be used for this work.

24 **Keywords:** *Aechmophorus occidentalis*, migration, site fidelity, surgery, telemetry, transmitter,  
25 Western Grebe.

26

27 Western Grebe (*Aechmophorus occidentalis*) populations are declining along the west coast of  
28 North America (Anderson et al. 2009, Burger 1997, Ivey 2005) where they are one of the most  
29 commonly impacted species in oil spills (Ivey, 2004, OWCN, unpub. data). Numerous larger  
30 spills have had significant impact on Western Grebes off the coast of Washington, Oregon and  
31 California (Carter 2003; Smail et al. 1972; Page et al. 1990; and other citations) and there is  
32 strong evidence that low volume oil spills chronically kill small numbers of wintering Western  
33 Grebes (Burger 1993, Speich and Wahl 1986, L. Henkel unpub. data). The majority of grebe  
34 mortality caused by oil injury happens between November and February (OWCN, unpub. data),  
35 when the number of grebes is high along the western coast of North America (Storer and  
36 Nuechterlein 1992). Little information is available on Western Grebe migratory patterns between  
37 summer and wintering habitats, as well as winter site fidelity, which can be important when  
38 determining potential exposure to oil spills or when mitigation after oil spills. Response efforts  
39 have focused on capture and rehabilitation of oiled birds and post-spill mitigation efforts have  
40 focused on restoration of summer nesting habitat (*American Trader* Trustee Council 2001;  
41 *Luckenbach* Trustees Council 2006).

42

43 Post-release tracking and evaluation of rehabilitated grebes after rehabilitation from oiling and  
44 actual linking of Western Grebe wintering sites with summer breeding habitat have both been  
45 hindered by a suitable technique for implanting transmitters (Gaydos et al. 2011). The goal of  
46 this study was to field test a technique for monitoring post-release survival and movement of

47 Western Grebes impacted by oil spills. A prior study (Gaydos et al. 2011) determined that with  
48 minor surgical modifications, a common surgical technique for implanting intracoelomic  
49 transmitters with percutaneous antennae could be used successfully to implant Western Grebes  
50 with satellite transmitters in a captive setting. We used the modified surgical procedure that was  
51 successful in a captive setting, this time releasing the birds back into the wild post-surgery.  
52 Additionally, this project was designed to learn about Western Grebe winter movements and  
53 migration patterns between marine wintering sites to summer breeding grounds on freshwater  
54 lakes.

55

56

## MATERIALS AND METHODS

### 57 **Capture, Care and Housing**

58 Ten Western Grebes were captured from several locations around San Francisco Bay, California  
59 over a two-day period in December 2010 using a modified neutrally-buoyant gill net technique  
60 (Breault and Chang 1990). All birds were after hatch year, and sex was determined based on  
61 measured bill length. Of the captured birds, four were female, five were male, and two were of  
62 unknown sex (Table 1). Body weights were obtained at the time of capture and daily thereafter.  
63 Captured birds were temporarily housed on elevated, netted platforms within plastic transport  
64 crates and provided oral fluids. On the day of capture, all birds were taken to a research facility  
65 at the University of California, Davis, California where they were housed indoors in 3 m-  
66 diameter fresh water rehabilitation pools. Water was maintained at 16° C and depth at a  
67 minimum of 1.3 m to allow birds to swim, dive, and forage. Air temperature was maintained at  
68 18° C. Birds were force-fed 3-6 whole freshly-thawed Night Smelt (*Spirinchus starski*) or  
69 Capelin (*Mallotus villosus*) twice daily (approximately 120 gm total / day). To prevent

70 aspergillosis infection, which often is associated with housing birds in captivity, grebes were  
71 given 15 mg/kg of Itraconazole (Sporanox, Amerisource Bergen, Valley Forge, PA 19482, USA)  
72 orally at the time of capture and once daily until released. Birds also received Meloxicam orally  
73 (0.5 mg/kg; Metacam, Boehringer Ingelheim Vetmedica, Ridgefield, CT 06877, USA) when  
74 captured and once daily until released. Grebes were individually identified with a plastic leg  
75 band and a U.S. Fish and Wildlife Service metal leg band. All birds were given one dose of an  
76 oral multivitamin supplement containing thiamine (Sea Tabs, Pacific Research Laboratories, San  
77 Diego, California USA). Birds were clinically evaluated daily by a veterinarian and housed in  
78 captivity for 24-48 hours post-surgery, after which they were released close to their capture  
79 locations.

80

### 81 **Clinical Pathology**

82 On the day of capture birds were bled via jugular venipuncture for evaluation of blood  
83 parameters including complete blood counts (total granulocyte count, packed cell volume, total  
84 white blood cell count, heterophil number and percent of total, lymphocyte number and percent  
85 of total, basophil number and percent of total, eosinophil number and percent of total, and  
86 monocyte number and percent of total), plasma chemistries (fibrinogen determined using heat  
87 precipitation method, glucose, blood urea nitrogen, creatinine, sodium, potassium, total carbon  
88 dioxide, amylase, lipase, calcium, phosphorus, cholesterol, triglycerides, uric acid, aspartate  
89 aminotransferase, alanine aminotransferase, lactate dehydrogenase, creatine phosphokinase, and  
90 gamma-glutamyl transpeptidase), plasma protein electrophoresis profiles (total protein, pre-  
91 albumin amount and percentage of total protein, albumin amount and percent, alpha-1 globulin

92 amount and percent, alpha-2 globulin amount and percent and gamma globulin amount and  
93 percent), and corticosterone levels.

94

### 95 **Surgery**

96 All birds were mask-induced with isoflurane, intubated, and maintained on isoflurane with 100%  
97 oxygen. Using a previously described procedure (Gaydos et al. 2011), birds were implanted with  
98 ARGOS platform terminal transmitters (PTT-100; Microwave Telemetry Inc., Columbia,  
99 Maryland, USA) with external percutaneous whip antennae. All grebes had a 1cm<sup>2</sup> piece of  
100 porcine small intestine submucosa (SIS) (Vet BioSIS<sup>®</sup>, Smiths Medical North American,  
101 Waukegan, Wisconsin, USA) placed over the base of the transmitter antenna prior to  
102 implantation, with the exception of one bird (# 97617). Six of ten birds (#'s 97615, 97616,  
103 97618, 97620, 97621, 97622) received 10cc Lactated Ringers Solution IV pre- or intra-  
104 operatively.

105

### 106 **Platform Terminal Transmitters**

107 The implanted PTTs weighed 26 g, representing 1.8 – 2.5% of adult body mass (Table 1), and  
108 were equipped with batteries that had 400 hours of transmission time. The PTTs had a duty cycle  
109 of 6 h on/19 h off for the first 25 cycles (30 d), then switched to 6 hrs on/75 h off for the  
110 remainder of the life of the battery. The expected battery life was about one year, however, two  
111 PTTs continued transmitting past the one-year mark. In addition to location, the PTTs also had  
112 sensors for temperature and battery voltage. This allowed distinction between mortality (when  
113 the temperature sensor went from that of a live grebe and dropped steeply, remaining below

114 100°F), or battery failure, when the sensor was below 3.73 volts. Transmitter temperature  
115 reading in conjunction with PTT transmission days was used to determine post-release mortality.  
116  
117 Locations of the PTTs were processed using an algorithm based on the Kalman filtering  
118 approach (Argos Service 2011), which provides a greater number of positions and has improved  
119 position accuracy compared to the least squares method. Locations are classified based on type  
120 of location, estimated error, and the number of messages received. Based on these criteria, data  
121 were excluded from analyses that were of class type Z, A, B, 0 (>1500m error, <= 4 messages;  
122 Argos User's Manual 2011). We also excluded positions that fit the above criteria but were  
123 obviously incorrect (i.e., more than 200 miles offshore). In addition, we filtered the raw location  
124 data based on temperature, using 100°F as our cut-off point for temperature, (the temperature at  
125 which medical intervention would be warranted). The number of final locations used in the  
126 analyses (after the filters were applied) was 1,629.

127

### 128 **Statistical Analyses**

129 All statistical analyses were performed using appropriate software (SPSS 17.0 and STATA  
130 v.12), and p-values < 0.05 were considered significant. We examined the correlation between the  
131 number of days of post-release survival and the weight of the bird at capture to assess any  
132 relationship between these two variables. We used a kernel density estimator (Seaman and  
133 Powell 1996) with MATLAB R2012a software to estimate the winter home range size for each  
134 of the nine individuals from release on 12 December 2010 to 21 March 2011 (the first winter).

135

136

## RESULTS

137 Nine of ten birds were successfully implanted with transmitters and subsequently released. Post-  
138 release, all birds survived at least 25 days. Mortality (as deduced from the internal temperature  
139 sensor) occurred on days 5, 25, 39 and 123 post-release (Table 1). The PTTs in two of the birds  
140 had suspected battery failure after transmitting for 68 and 143 days; two birds survived until  
141 battery depletion of the PTT, transmitting for 436 and 454 days post-release (Table 1). The fate  
142 of one of the grebes (# 97615) is undetermined, as both the battery voltage and temperature were  
143 within normal range when it stopped transmitting abruptly at day 47 post-release. If this bird  
144 survived, post-release survival was 56% (5/9), if it died, survival was 44% (4/9). There was a  
145 positive but non-significant correlation between post-release survival and capture weight ( $F_{1,7} =$   
146  $3.81$ ,  $r^2 = 0.35$ ,  $n = 9$ ,  $p > 0.05$ ; Fig. 1). Of the four heaviest birds (one male and one female), two  
147 were among the bird that transmitted the greatest number of days post-release (over 400 days).  
148 However, based on the PTT temperature sensor readings, the other two heaviest birds (both  
149 males) are suspected to have died, (Table 1, Fig. 1).

150

151 Of the nine study birds, only three of them left the San Francisco Bay area. The first grebe to  
152 leave the Bay was grebe # 97615 on 27 January 2011 when it traveled directly south to the  
153 southern end of Monterey Bay (total cumulative distance was 572 km, Table 1), at which time  
154 transmission ceased. Grebe # 97622 remained in the Bay throughout the first winter, and then  
155 departed on 13 July 2011 traveling north. It stopped at Clear Lake (California,  $39^{\circ}3'42''$  N,  
156  $122^{\circ}49'38''$  W) and then continued to Upper Klamath Lake (Oregon,  $42^{\circ}23'32''$  N,  $121^{\circ}52'49''$   
157 W). The total distance covered during this migration was 1620 km in 10 days (Fig. 2). This  
158 individual remained in Upper Klamath, presumably to breed, then returned to San Francisco Bay  
159 4 November 2011 for a total cumulative migration distance of 2144 km (Table 1). Grebe # 97617



160 remained in San Francisco Bay through the first winter, spring, and summer (2010). Almost  
161 exactly one year post-release, on 10 December 2011, this bird traveled 757 km south to the San  
162 Diego Bay (California, 32°38'53" N, 117°11'28" W), then returned to San Francisco Bay four  
163 days later for a total round-trip distance of 1514 km. This bird went on to transmit for 65 more  
164 days, remaining in San Francisco Bay until the PTT battery was depleted on day 436 post-release  
165 (Table 1).

166

167 In general, post-release movement of the grebes followed a relatively similar pattern, with birds  
168 remaining within San Francisco Bay, for the greatest majority of the tracking period. Some  
169 grebes spent most time restricted to a very small area (see grebes # 97617 and 97622; Fig. 4);  
170 while others had a wider range (see grebe # 97613 and 97615; Fig. 4). In general, however, bird  
171 movement within the Bay was mostly concentrated within the north-central portion, spanning  
172 from the Sausalito/Tiburon region on the west side of the Bay to Richmond/Berkeley area on the  
173 east side.

174

175

## DISCUSSION

176 San Francisco Bay is an important area for wintering, migrating, and breeding birds, supporting a  
177 great number and diversity of both freshwater as well as marine birds. In particular, grebes of  
178 various species use the Bay during several months of the year (Warnock et al. 2002; Takekawa et  
179 al. 2006). Western Grebes are known to winter on marine waters and migrate to summer inland  
180 freshwater lakes (Storer and Nuechterlein 1992), however little data exist on site fidelity of  
181 wintering Western Grebes. This study suggests that in general (Grebe # 97615 being a rare  
182 exception), Western Grebes wintering on San Francisco Bay remain there between December

183 and March. Grebes are often impacted by oil spills during the fall and winter, after they breed,  
184 and when they are found mostly along the Pacific coast of North America. During this time, they  
185 are especially vulnerable to oil impacts as a great majority of them undergo molt when they  
186 arrive along the coast of California (Storer and Nuechterlein 1985), and a proportion of the  
187 population undergoes a full wing molt in the winter (Sibley 1970). The Cosco Busan oil spill in  
188 November 2007 heavily impacted Western and Clark's Grebes, with an estimated 1133 killed  
189 (Dept. of Fish and Game 2009).

190

191 This study successfully tracked one grebe (# 97622) from its wintering range and its summer  
192 range and back to its wintering habitat. To our knowledge, this is the first ever real-time  
193 recording of a complete winter-summer-winter migration for this species. It is interesting that  
194 this bird visited two known Western Grebe breeding locations (Storer and Nuechterlein 1992),  
195 Clear Lake and Upper Klamath Lake, although it is unknown if this individual bred at Klamath  
196 Lake (where it remained the majority of time before traveling back to San Francisco Bay).

197

198 The present study represents the first satellite data available for Western Grebe movements  
199 within and outside of San Francisco Bay, however we are cautious about over-interpretation of  
200 our results as we have no control to compare them to. Our results show that the grebes exhibited  
201 strong site fidelity within San Francisco Bay during the non-breeding period. Of those birds that  
202 did migrate, 2 out of 3 returned to San Francisco Bay, once again, showing strong site fidelity.  
203 Availability of predictable and plentiful food supply, especially during an energetically costly  
204 activity such as molt, is especially important and the Bay apparently provides sufficient

205 resources to maintain such large numbers of aquatic birds (Warnock and Takekawa 1996),  
206 including Western Grebes.  
207  
208 Surgically implanted intracoelomic satellite and VHF transmitters with percutaneous antennae  
209 have been used to successfully track the movements of many bird species (Korschgen et al.  
210 1984, 1996 and other citations), including unraveling migratory patterns for some species such as  
211 the Spectacled Eider (*Somateria fischeri*, Petersen et al. 1999). A prior study (Gaydos et al.  
212 2011), demonstrated that a modified surgical technique could be use to successfully implant  
213 satellite transmitters in Western Grebes in a captive situation. Those data showed that Western  
214 Grebes implanted with satellite transmitters had healed by postoperative day 9, suggesting that  
215 the 44-56% mortality associated with this current study was not related to the immediate surgical  
216 procedure. It is impossible to know the causes of mortality because none of the birds that died  
217 were recovered; however, all birds exhibited high post-release movement within San Francisco  
218 Bay, which would not be expected of birds that were debilitated and dying. External to the  
219 surgical operation and recovery, possible causes of death related to surgical implantation of  
220 satellite transmitters post-surgery and healing include prolongation of impaired waterproofing,  
221 chronic low-grade infection, increased energy requirements due to transmitter weight, antenna  
222 drag or increased preening, increased predation or more likely, some degree of multifactorial  
223 interaction. Although not well described in birds, there is likely an interactive effect between  
224 stress, thermoregulation, behavior, nutrition, and immunity that conspires against survival after  
225 capture, handling, and surgical implantation of transmitters (Gaydos et al. 2011). Waterproofing  
226 issues and increased energy requirements could result in negative energy balance and cause  
227 death directly, or they could result in increased foraging or preening time and decreased

228 vigilance, leading to increased predation (Wilson et al. 2004).

229

230 Although not statistically significant, it is interesting that there was positive, but non-significant,  
231 correlation with bird weight and post-release survival, which suggests that bird size might have  
232 permitted larger birds to overcome some energy cost-associated with the transmitter weight  
233 and/or antennae drag. Transmitter weight ranged from 1.8-2.5% of Western Grebe body weight,  
234 well within the general standards (Caccamise and Hedin 1985). There might be something about  
235 Western Grebes where this doesn't apply. More likely, with Western Grebes being strictly  
236 aquatic birds, spending little if any time on land and being adapted only for brief flights during  
237 migrations, is that antenna drag while diving for foraging and traveling was a more significant  
238 energy burden. Future work should calculate the potential energy requirements of antenna drag  
239 and should investigate the use of shorter antennas if the cost appears to be substantial.

240

241 It is impossible to know if the behavior of the grebes in this study was affected by the  
242 transmitters. Several studies with other species suggest that birds that have devices, such as  
243 dataloggers, radios or satellite transmitters, whether external or internal, have altered behavior  
244 and/or survival (Burger et al. 1991, Bannasch et al. 1994, Latty et al. 2010, Fast et al. 2011,  
245 among others). We did, however, receive several reports of a Western Grebes from our study  
246 (percutaneous antennae visible) from members of Richardson Bay Audubon Sanctuary  
247 conducting winter bird surveys in the Bay in mid and late January 2012. This bird was seen in  
248 the NE corner of Richardson Bay, and by this date it would have been in its second winter since  
249 the implant of the transmitter.

250

251 The miniaturization of satellite transmitters (< 5 g) may offer new opportunities for testing  
252 external attachment without the typical problems associated with larger externally attached  
253 instruments, such as drag in water and air. One of the great advantages of internally placed  
254 transmitters is the retention of the transmitter that would normally not last more than a few  
255 months for externally placed devices. To a certain degree, retention can be increased with  
256 subcutaneous anchors (Lewis and Flint 2008, Newman et al. 2009), and we will continue to  
257 explore other promising new attachment methods.

258

259 This study demonstrates that just because a novel surgical technique is effective in a laboratory  
260 setting, it does not guarantee success when birds are released into the wild. The high level of site  
261 fidelity within San Francisco Bay highlights the importance of protection for this important bird  
262 area, in particular because of the recent declines in the population and their vulnerability to oil  
263 pollution. More work is needed before this surgical technique is ready for widespread use.

264

265

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277 [www.seadocsociety.org](http://www.seadocsociety.org)). Any mention of trade names is for descriptive purposes only and does  
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**Table 1.** Outcomes of the nine PTTs deployed on Western Grebes in San Francisco Bay.

<b>Bird ID</b>	<b>Sex</b>	<b>Body Mass (g)</b>	<b>% Body Mass<sup>a</sup></b>	<b>Maximum No. Days Alive</b>	<b>Total Cumulative Distance (km)</b>	<b>Final Status</b>
97620	Male	1134	2.29	5	129.7	Suspected mortality
97614	Female	1225	2.12	25	218.2	Suspected mortality
97621	Male	1435	1.81	39	246.6	Suspected mortality
97615	Unknown	1025	2.54	47	571.7	Unknown
97618	Male	1102	2.36	68	295.6	Battery failure
97613	Male	1385	1.88	123	624.3	Suspected mortality
97619	Unknown	1190	2.18	143	283.8	Battery failure
97617	Female	1378	1.89	436	2940.4	Battery depleted
97622	Male	1455	1.79	454	2143.6	Battery depleted

<sup>a</sup>PTT implant as percentage of body mass.

## FIGURE LEGENDS

**Fig. 1** – Relationship between capture weight (grams) and post-release survival (days).

**Fig. 2** – Track lines for grebe # 97622 showing migration to Klamath Lake, Oregon, July – October 2011.

**Fig. 3** – Track lines for grebe # 97617 showing migration to San Diego, California, December 2011.

**Fig. 4** - Kernel density estimator overlaid on maps of the San Francisco Bay area for 4 grebes (#s 97613, 97615, 97617, 97622).

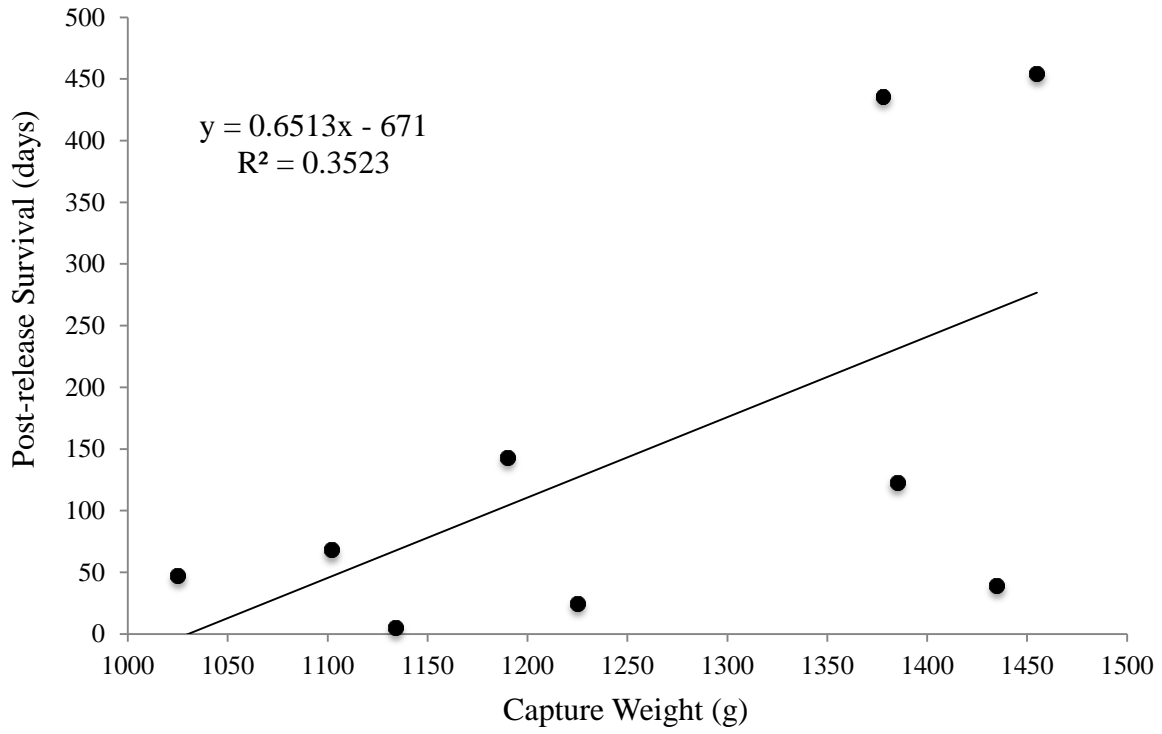


Fig. 1



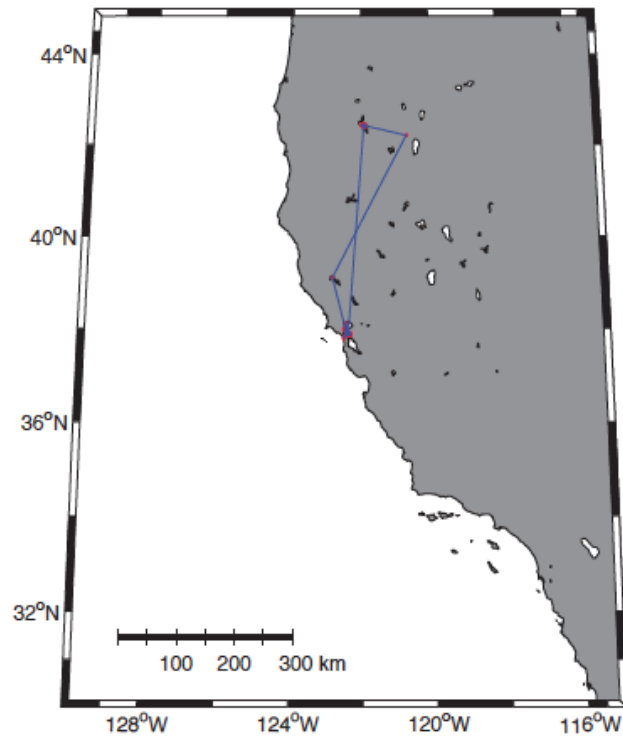


Fig. 2

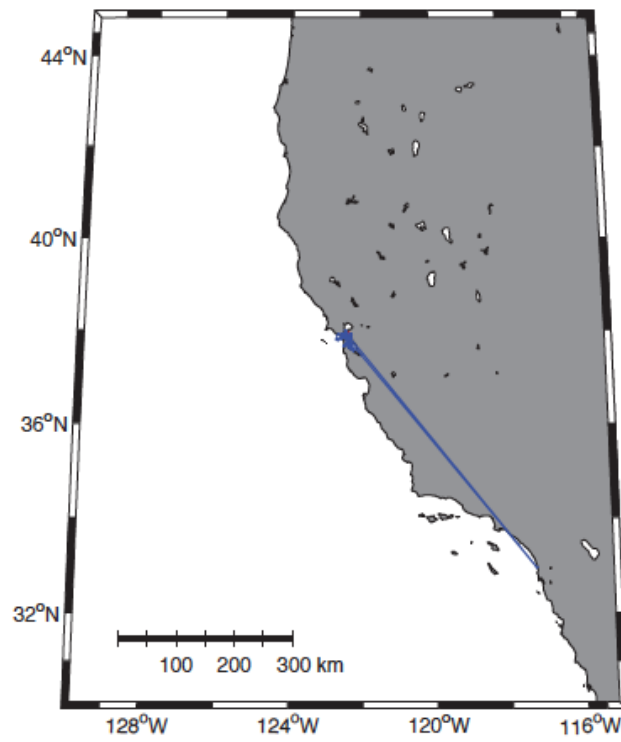


Fig. 3

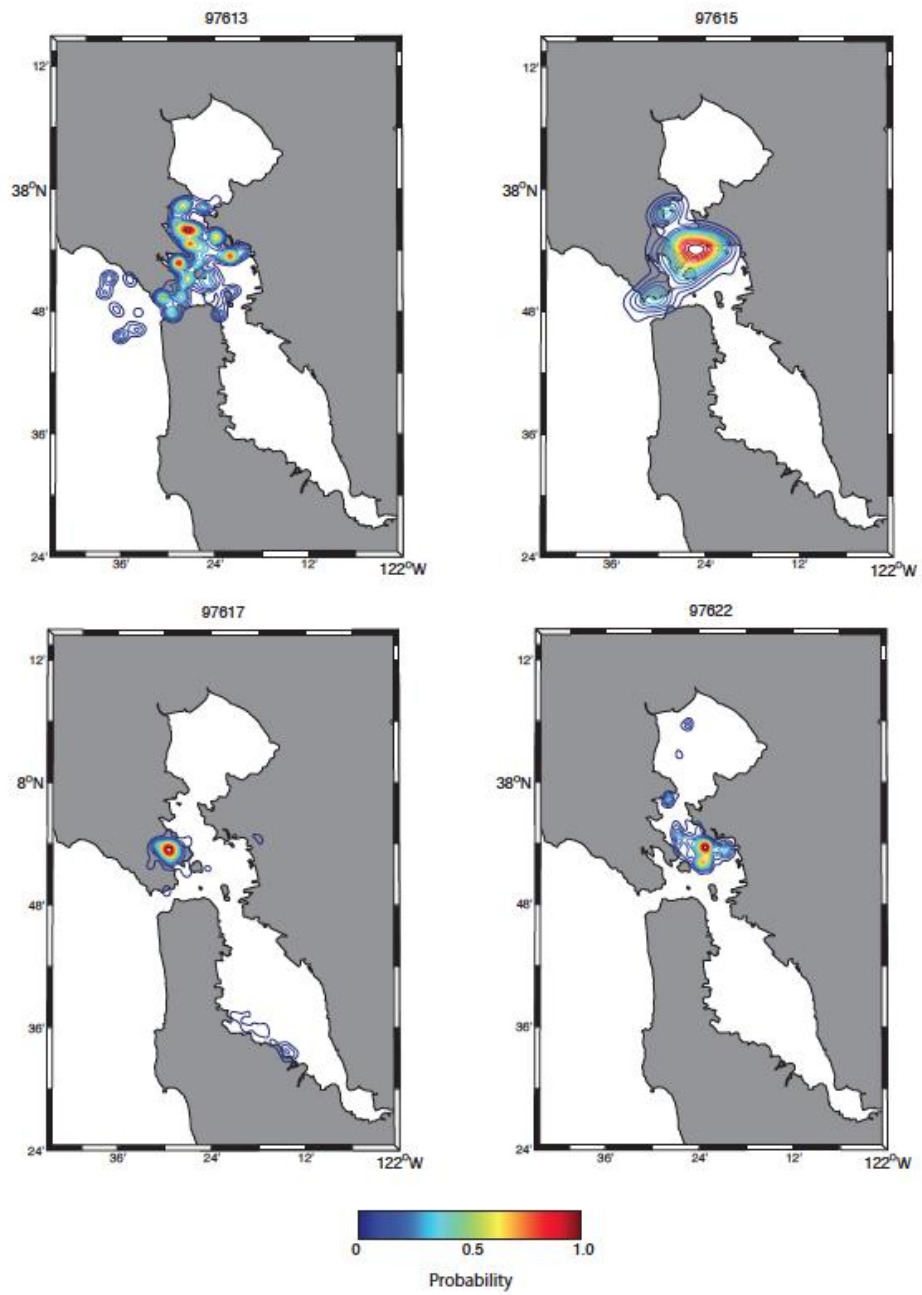


Fig. 4